

Enzymatic markers in monitoring pulmonary inflammation and damage

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DE GEBOCHELDE FLUITSPELER

In de grotten van de vroegere pre-Colombiaanse inwoners in het zuidwesten van Amerika is er van de zeer diverse tekeningen, schilderijen en krassen op de rotsen, maar één menselijke figuur, die een eigen identiteit, geslacht en naam heeft. Deze figuur is zonder enige twijfel van het mannelijke geslacht. Hij heeft een uitgesproken persoonlijkheid en is voor sommigen de verwezenlijking van een legende of een gunstig gezinde godheid. Hij wordt "Kokopelli" genoemd. Hoewel deze beroemde gebochelde fluitspeler al duizenden jaren oud is speelt hij nog steeds een rol in het dagelijks leven van de Navajo en Hopi Indianen in Mexico. De uitkervingen in de rotsen werden al door de vroegere Spaanse ontdekkingsreizigers opgemerkt en "piendras pintadas" of te wel beschilderde rotsen genoemd. De verschijningen en de legenden over Kokopelli variëren nogal. Hij wordt echter altijd afgebeeld als een grotesk gebochelde figuur en bespeelt een instrument, dat lijkt op een fluit. De afbeelding van Kokopelli wordt al aangetroffen in ruïnes van huizen, gebouwd omstreeks 2000 jaar voor Christus. In latere tijd, omstreeks de 16 de eeuw, wordt de afbeelding van Kokopelli gevonden in gezelschap van gewapende personen, gezeten op paarden of gekleed in monnikspijen. De Hopi Indianen, maakten verschillende regenpoppen om deze te kunnen verkopen aan de toeristen. Van deze poppen noemden ze er één "Kokopelli" en zijn vrouw "Kokopelli-mana". De naam Kokopelli is mogelijk afkomstig van de indiaanse woorden voor God (Koko) en een insect, voorkomend in de woestijn (Pelli). Dit agressieve insect, met een bochel op zijn rug, heeft slechte gewoonten, zoals het roven van larven van andere insecten. Oorspronkelijk werd Kokopelli afgebeeld met een overdreven fallus, echter de missionarissen dwongen de indianen dit symbool van onzedelijkheid weg te laten. Voor de indianen was de fallus het symbool van vruchtbaarheid. De gebochelde rug van Kokopelli is mogelijk veroorzaakt door werveltuberculose. Nog waarschijnlijker is de bochel te verklaren door het feit dat volgens het indiaanse bijgeloof gebochelde mensen zeer vruchtbaar zijn. Ook nu nog wordt Kokopelli door primitieve indianenstammen vereerd wanneer het graan wordt gezaaid of gewassen worden geplant.





ENZYMATIC MARKERS IN MONITORING PULMONARY INFLAMMATION AND DAMAGE

Proefschrift

in verrijking van de graad van doctor

aan de Universiteit Amsterdam

in gezag van de Rector Magnificus,

Prof. Dr. W. Nijman, rector van de Universiteit,

ingevolge het besluit van het College van Decanen,

in het openbaar te verdedigen op

vrijdag 13 juni 1999 om 10.00 uur

door

Margot Andréa Marie Cobben

geboren 28 november, 1964 te Haren



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in het openbaar te verdedigen op
vrijdag 18 juni 1999 om 16.00 uur

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Nicolle Andrée Marie Cobben

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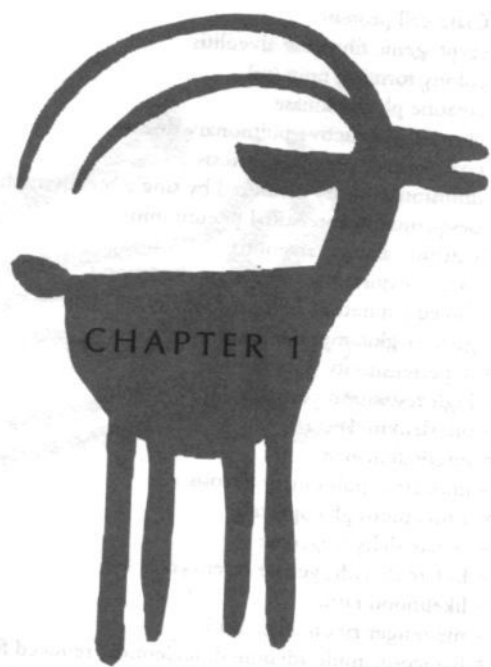
*Ter nagedachtenis aan mijn moeder,
voor mijn vader*







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CHAPTER 1



General introduction



1.1 INTRODUCTION

The diagnostic evaluation of patients with diffuse interstitial lung disease (DILD) poses a clinical challenge. An accurate diagnosis is important because of different therapeutic strategies and prognoses. The underlying disorders may be of infectious, non-infectious, immunologic, malignant, environmental or occupational etiology [1–4]. Thorough clinical assessment should be considered. Occasionally, basic diagnostic procedures, such as a precise history – including a history of exposure to respirable toxicants and/or drugs as well as a review of family medical history – together with a careful review of symptoms, appropriate laboratory tests, pulmonary function tests, and imaging procedures may be adequate. However, if a specific diagnosis is not made during the above evaluation, the next step prior to consideration of open lung biopsy, is to perform a bronchoalveolar lavage (BAL) and transbronchial biopsy during fiberoptic bronchoscopy [1–4]. Despite thorough clinical evaluation the diagnosis may still remain unclear. In these cases, a surgical procedure, *e.g.* open lung biopsy, minithoracotomy or video-assisted thoracoscopic surgery (VATS) should be considered as the final diagnostic step. However, each latter mentioned procedure has its own advantages, risks and limitations [1,4]. Therefore, there remains a need for identifying sensitive and reliable parameters to establish the health status of the lung in material obtained with less invasive and less expensive procedures. Moreover, the search for parameters useful to assess activity and severity of disease processes, with respect to obtaining prognostic information, is of major clinical and general health economic concern.

1.2 BIOMARKERS

In recent years, interest in the pathogenetic mechanisms of lung injury has focussed on cellular and biochemical mediators considered as potential biological markers of lung injury. Biological markers (or biomarkers) generally include biochemical, molecular, genetic, immunologic, or physiologic signals of events in biological systems [5]. Biomarkers are used both in the assessment of exposure and in the resultant health impairment. A generally adopted definition of a biomarker is “a measurement of environmental pollutant or the biological consequences after the contaminants have crossed one of the body’s boundaries and



entered human tissues or fluids, and which serves as an indicator of exposure, effect and/or susceptibility" [6]. Incorporation of biomarkers in epidemiologic research may reduce misclassification of exposure or resultant disease. Furthermore, perhaps most powerful, is the ability to account for variability and effect modification, *i.e.* the quantification of inter-individual susceptibility differences [5]. Thus, biomarkers may explain why at similar exposure some people get a disease and others do not. A biomarker may represent any event in the continuum of events between causal exposure and resulted disease (figure 1). Events in the upper three blocks can be considered as markers of exposure and events in the lower three to four blocks as markers of effect or disease. Markers of susceptibility, as indicated in the flow diagram, are indicators of increased (or decreased) risk at any stage in this framework [5,7].

There are several cell-specific markers that have been studied in plasma and air spaces (figure 2) [8]. The pulmonary endothelium is actively involved in the development of acute lung injury. Firstly, cell-cell adhesion is mediated, which is the initial step in leucocyte migration. Secondly, its barrier permeability is changed, which allows the movement of protein-rich fluid into the interstitium of the lung. In the third place, the pulmonary endothelium is able to release and metabolize vasoactive and inflammatory substances, such as serotonin, norepinephrine, bradykinin, prostaglandins, endothelins, nitric oxide and cytokines [8]. With this knowledge, several studies were performed to establish biomarkers in serum as well as in BAL fluid (BALF). To date, for example, pulmonary surfactant associated proteins were identified as biomarkers for type II cells and Clara cell protein for Clara cells [8–11]. The main functions of type II cells are synthesis and secretion of pulmonary surface active material [12]. Clara cells are non-ciliated epithelial cells predominantly occurring in terminal and respiratory bronchioles, and are recognized as progenitor cells of the bronchiolar epithelium. They share, along with type II alveolar epithelial cells, several common properties, including the secretory function [13]. Furthermore, KL-6, a mucinous high molecular weight glycoprotein, produced and secreted by type II pneumocytes, was considered as marker of epithelial cell injury as well as fibrosis activity [14,15]. The number of cytokines and other biological mediators related to the pathological processes within the respiratory tract continues to increase. In this respect, early response cytokines, tumour necrosis factor- α (TNF- α), interleukin-1 β (IL-1 β) and the potent neutrophil activating cytokine, IL-8 were related to the development of acute lung injury [8,16]. More recently, nuclear

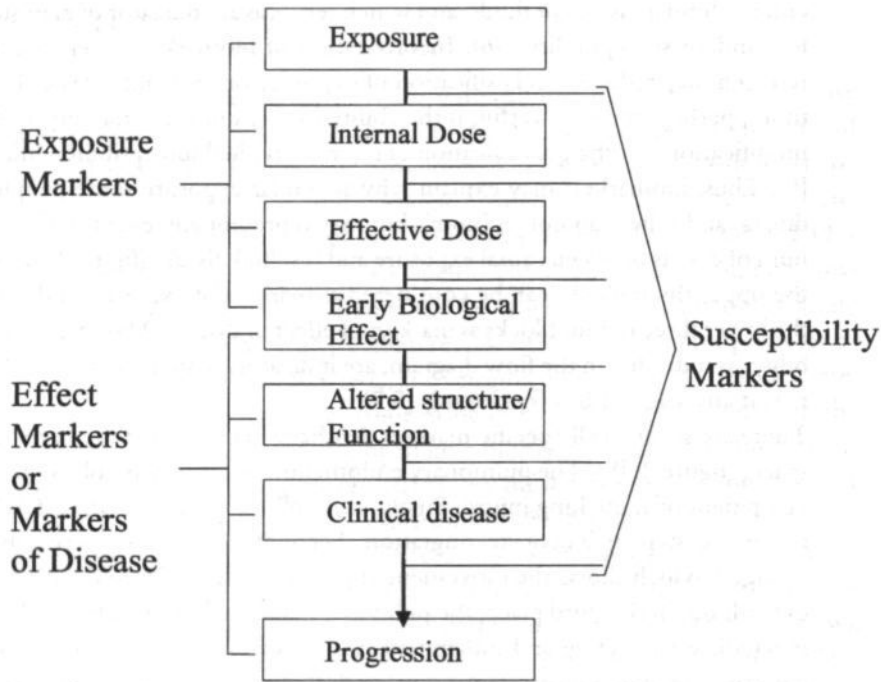


Figure 1. *Biological markers components in sequential progression between exposure and disease. Adapted from Schulte [5] and Borm [7].*

regulatory factor-kappa beta (NF-kappa B) has been regarded as a transcription factor critical in the regulation and activation of several inflammatory genes [17,18]. Moreover, increasing attention has been paid to toxic oxygen metabolites released by stimulated neutrophils and macrophages. It has been suggested that these metabolites promote one of the major mechanisms of acute lung injury [8,19]. However, so far, almost none of the biological mediators has really achieved clinical usefulness. Therefore, the identification of new potential practical useful biological markers is still of benefit.

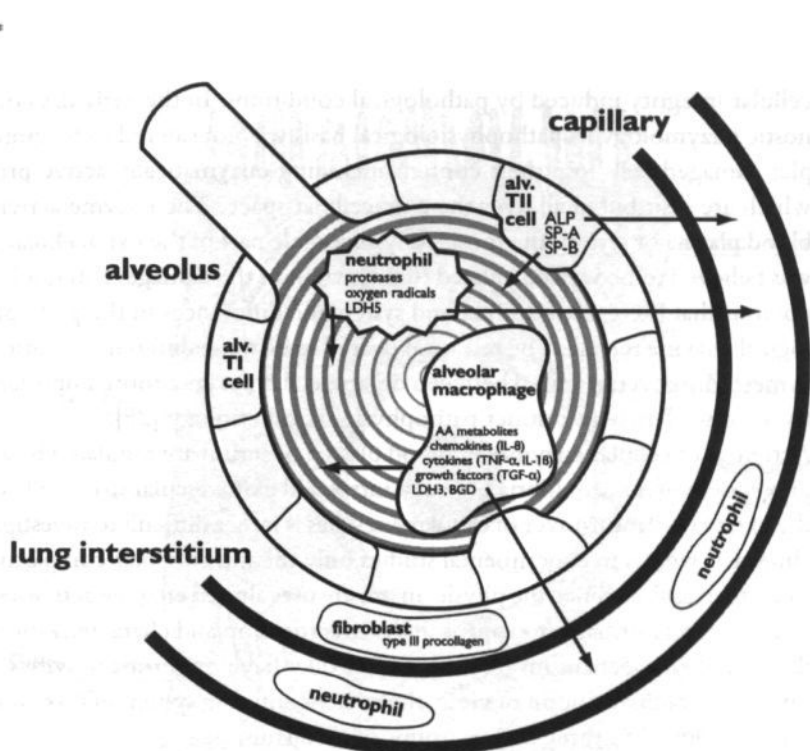


Figure 2. The main compartments of the lung (air spaces, interstitium and vascular space) in which important markers of inflammation are present. Measurements have been made in the air spaces (bronchoalveolar lavage or edema fluid), and from circulating blood (plasma or serum). AA = archidonic acid; ALP = alkaline phosphatase; BGD = beta-glucuronidase; LDH = lactate dehydrogenase; SP-A, SP-B = surfactant proteins A and B; TI cell = type I pneumocyte; TII cell = type II pneumocyte. Adapted from Pittet [8].

1.3 ENZYMATIC MARKERS

Cellular enzymes, such as lactate dehydrogenase (LDH), alkaline phosphatase (ALP) or β -glucuronidase (BGD) can be used as sensitive markers of cellular integrity and cellular toxicity induced by pathological conditions [20–22]. In contrast to LDH [23] and ALP [22,24], less is known about the possible usefulness of measurement of BGD activities related to pulmonary disorders in humans.

1.3.1 RELEASE OF CELLULAR ENZYMES

Cellular enzymes in the extracellular space, although of no metabolic function, are still useful because they can serve as sensitive indicators of disturbance of the



cellular integrity induced by pathological conditions. In the early days of diagnostic enzymology its pathophysiological basis was considered to be quite simple: damaged cells lose their content including enzymatically active proteins, which are distributed all over the extracellular space. The enzyme activities in blood plasma or serum, the most easily accessible part of the extracellular space, was believed to be well correlated to the extent of the damage. Later, it became obvious that there exist distinct and systematic differences in the pathophysiological enzyme release. The release appeared to be quite different for various enzymes. Moreover, it varied between organs, cell types and, more important, between disorders with distinct pathophysiological etiology [25].

Activities of cellular enzymes in blood plasma or serum are regulated by a complex system of flux equilibria between intra- and extravascular spaces. However, the extravascular turnover of cellular enzymes is rather difficult to investigate. In clinical as well as in experimental studies only the intravascular compartment is easily to examine. Since the physician mostly uses altered enzyme activities in serum, it seems justifiable to approach the investigation and characterization of all the described mechanisms from the effect they have on serum activities. From this more pragmatic point of view, the rather confusing system of flux equilibria can be reduced to three major groups of mechanisms:

1. mechanisms of *release*, responsible for the entry of cellular enzymes into the extracellular space,
2. mechanisms of *distribution and transport*, responsible for the adjustment of activities in the intra- and extravascular compartment,
3. mechanisms of *elimination*, responsible for the disappearance of activities from the intravascular compartment.

Pathologic enzyme release may be caused by leakage of enzymes from cells. Under normal physiologic conditions enzymes are retained within their cells of origin by the membrane surrounding the cell (figure 3). The membrane is a metabolically active part of the cell, and its integrity depends on the cell's energy production. Any process that impairs the energy production will cause deterioration of the cell membrane. The membrane will leak and, if cellular injury becomes irreversible, the cell will die. The concentrations of enzymes within the cell are tens of thousands times greater than the concentrations in extracellular fluid. Small amounts of enzyme can be detected with a high sensitivity by their catalytic activity. Therefore, an increase of enzyme activity in the extracellular fluid or serum is an extremely sensitive indicator of even minor cellular damage.

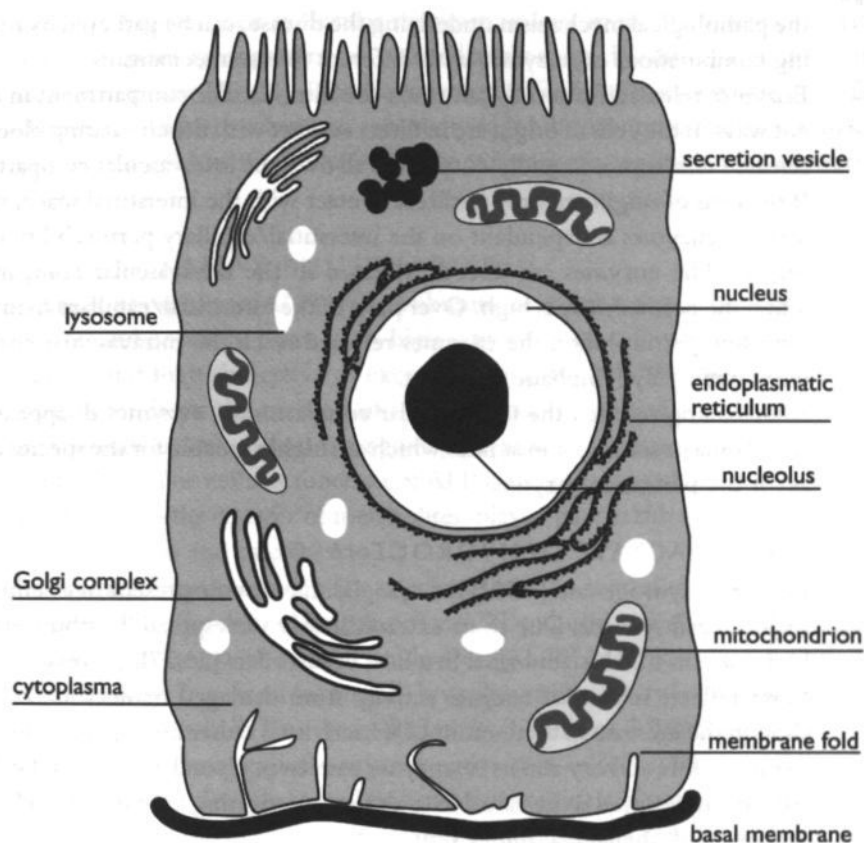


Figure 3. Schematic diagram of the ultrastructure of a cell, showing some of the organelles.

Direct attacks on the cell membranes *e.g.* by agents such as viruses, organic chemicals or by ischaemia are obvious causes of enzyme release. Another cause of pathologic enzyme release is altered enzyme production. This process does not necessarily involve cell damage in the sense of increased membrane permeability. Enzyme induction by drugs or toxic substances can result in increased enzyme concentrations in serum. Release of enzymes from damaged cells and changes in the rate of enzyme production constitute the most important mechanisms by which changes in serum or plasma are caused. More information about



the pathological mechanism underlying the disease, can be gathered by measuring combinations of enzymes with different release mechanisms.

Enzymes released from cells can reach the intravascular compartment in different ways. If the cells of origin are in direct contact with the circulating blood, the released enzymes are rapidly distributed all over the intravascular compartment. If the cells of origin are only in direct contact with the interstitial space, the release of enzymes is dependent on the interstitial/capillary permeability of that region. The enzymes are directly released in the intravascular compartment when the permeability is high. Over parts of the interstitial/capillary membrane with low permeability, the enzymes released reach the intravascular compartment mainly by lymphatic transport.

After having reached the intravascular compartment, enzymes disappear from the plasma or serum again at rates which are highly specific for the species as well as for the particular enzyme.

1.3.2 LACTATE DEHYDROGENASE

Lactate dehydrogenase (LDH) is a cytoplasmic enzyme present in essentially all major organ systems. Due to its extraordinarily widespread distribution in the body, serum LDH is abnormal in a host of disorders [26,27]. Increase of serum LDH reflects release of enzyme activity from damaged or necrotic cells. Although the increase in total serum LDH activity is rather non-specific, measurement of LDH activity and its isoenzyme pattern in pleural effusion and in BALF have been reported to be valuable tools for investigating lung injury such as pulmonary endothelial cell injury [20].

Lactate dehydrogenase (EC 1.1.1.27) is a hydrogen transfer enzyme that catalyses the oxidation of L-lactate to pyruvate with mediation of NAD^+ as hydrogen acceptor, the final step in the metabolic chain of anaerobic glycolysis [26,27]. For further information about this enzyme and clinical use in pulmonary diseases, please see chapter 2.

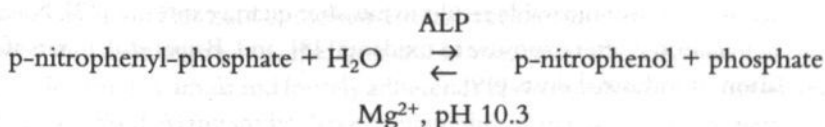
1.3.3 LACTATE DEHYDROGENASE ISOENZYMES

The cytoplasmic enzyme LDH is composed of four peptide chains of two types: M and H, each under separate genetic control. Heart (H) subunit or muscle (M) subunit are so named because of their predominance in these respective tissues. In this way five different isoenzymes, having different chemical and physical properties can be found. The isoenzymes all catalyse the same biochemical reac-

Alkaline phosphatase (ALP) is also a ubiquitous enzyme. It is present in nearly all

Pulmonary disorders which are associated with an increased ALP in BALF are

Alkaline phosphatase (EC 3.1.3.1.) causes the hydrolysis of phosphate esters and





Alkaline phosphatase exhibits optimal activity in vitro at a pH of about 10, although the optimal pH varies with the nature and concentration of the substrate acted upon, the type of buffer or phosphate acceptor present, and, to some extent, the nature of the isoenzymes. With improvements in the reaction conditions, the reaction mentioned here forms the basis of current recommended and standard methods of ALP assay [29].

1.3.5 BETA-GLUCURONIDASE

The lysosomal enzyme β -glucuronidase (BGD) is one of various acid hydrolases distributed throughout the body in lysosomes (figure 3), a cellular organelle involved in the acid digestion of phagocytized material [36]. Acid hydrolases, including BGD, are found in the lysosomes of pulmonary macrophages and recruited neutrophils present in the alveolar space. Selected acid hydrolases (β -N-acetylglucosaminidase and α -mannosidase) are also found in the lamellar bodies of type II cells [37]. Moreover, these hydrolases are found in the extracellular lining fluid. The lysosomal acid hydrolase, BGD, however, is barely detectable in the lamellar bodies and in the BALF of the non-injured lung [37].

The acid hydrolases in the lysosomes of alveolar macrophages and neutrophils, including BGD, can be secreted into the extracellular space when induced by inflammatory agents [38]. Several investigators have found that phagocytic cells, both macrophages and neutrophils, released BGD upon stimulation by various materials [39–41]. The enzyme activity appeared to be released from macrophages during phagocytosis of the particles or after death of the macrophages following phagocytosis. Several animal studies associated with pulmonary cell inflammation or damage reported increased activity of BGD in BALF. Vijeyeratnam *et al.* reported elevated BGD activity in BALF of rats with pulmonary histiocytosis after oral iprindole admission [42]. Benson *et al.* reported elevated BGD activity in BALF of rats after exposure to particles of nickel subsulfide [43]. In addition to this, Henderson *et al.* reported elevated BGD activity in BALF of rats after instilled quartz particles, and inhalation of diluted diesel exhaust [44,45]. Lindenschmidt *et al.* also reported increased activity in BALF of rats after instillation of fibrogenic and non-fibrogenic dusts [46]. Antonini *et al.* demonstrated comparable results in rats after quartz exposure [47], Nakashima *et al.* in hamsters after exposure to oxidants [48], and, Bajpai *et al.* in rats after instillation of industrial dusts [49].



The extremely large (up to 70-fold) increase in BGD activity in BALF is most likely associated with the activation of phagocytic cells by the particles in the lung. Beta-glucuronidase could also originate from lysed phagocytic cells. Henderson *et al.* evaluated the role of the polymorphonuclear neutrophils (PMNs) in the inflammatory response of the lung to quartz in rats with and without depletion of blood leucocytes. In this study [45], neutrophil depletion did not affect the BALF activities of lysosomal enzymes. This indicated that the PMNs were not the main source of the increased enzyme activities observed in response to quartz [45].

Most of the data regarding increases in BGD activity in BALF were gathered within animal models of lung injury. However, recently BGD activity was found to be dramatically increased in BALF obtained from some cases with acute respiratory distress syndrome (ARDS) (unpublished data, patients described in [50]).

Beta-glucuronidase (EC 3.2.1.31) catalyses the hydrolysis of aliphatic and aromatic β -D-glucuronides. Major natural substrates are polysaccharides, mucopolysaccharides, and steroid glucuronides. A substrate commonly used to measure the enzyme's activity besides phenolphthalein glucuron is p-nitrophenyl- β -D-glucuronide. One International Unit (IU) of enzyme activity is defined as the amount of enzyme required to liberate 1.0 μ mol of product formed per minute at 37°C. The optimum pH may be 4.5 to 5.2, depending on the source of the enzyme and the substrate used.

1.4 BRONCHOALVEOLAR LAVAGE

The sampling of cells and solutes from the lower respiratory tract has given fundamental information about the pathological reactions in various disorders. A widely used method for sampling the distal air spaces of the lung in patients with lung injury is bronchoalveolar lavage (BAL). Usually, BAL is performed after premedication and local anaesthesia of the bronchial tree. A flexible fiberoptic bronchoscope is wedged in a subsegmental bronchus. The fluid used is a sterile saline solution. This fluid is instilled through the biopsy channel of the bronchoscope into a subsegment of the lung and immediately aspirated and recovered. Soluble proteins, lipids and loosely adherent cells in the air spaces are gathered in the lavage fluid. Many studies have been published in which cell populations and



potential mediators of lung injury have been measured in BALF [3,4,8,19,51]. Cellular changes in BALF during acute inflammation include an influx of PMNs and activation of alveolar macrophages (AMs) [8,20]. The influx of these cells into the alveolar space is indicative of an inflammatory response. Other mediators of inflammatory response, such as tumour necrosis factor (TNF), interleukines, various arachidonate metabolites, surfactant lipids and associated proteins, also may be of help [8,20,52,53].

One of the first physiologic characteristic of acute lung injury is an increase in protein permeability across the endothelial and epithelial barriers of the lung. Protein, measured in BALF, is an indicator of an increase in the permeability of the alveolar/capillary barrier. For screening of lung injury, the analysis of BALF can be limited to a relatively small number of parameters. In general, the total and differential cell counts, LDH, ALP and perhaps one lysosomal enzyme, along with the total protein content of BALF, are sufficient to measure the degree of the inflammatory response [20]. Assessment of concentrations of these various soluble components of the BALF may contribute to estimate inflammatory processes in the lung.

1.5 AIMS OF THE STUDY

The studies presented in this thesis were performed on serum, pleural fluid, BALF and lung tissue samples. All samples were obtained during a four-year period from 1993–1997. The material was processed directly and the data were collected and additionally analysed.

The aim of the study was to evaluate the individual and combined clinical value of three different enzymatic markers of lung tissue damage. The studied enzymes were, LDH (a cytoplasmic enzyme, indicating cytotoxic damage), ALP (an indicator of type II cell secretory activity) and BGD (a lysosomal enzyme), respectively.

The activity of the cytoplasmic enzyme, LDH, is determined as a marker for cytotoxicity. LDH is present in all major organ systems. The most important aim of this study was to investigate the usefulness of monitoring LDH and its isoenzymes as indicators of pathological conditions in the lung, such as cell damage or inflammation. In chapter 2, the usefulness of measurement of LDH activity and

Occupational exposure to mineral dust, such as coal dust, may cause pathophysiological changes in the lung. The respirable dust particles appeared to be cytotoxic to AMs. This cytotoxic effect persisted after cessation of exposure. In the pathogenesis of pulmonary fibrosis complicated processes are involved. The aim of the study presented in chapter 3 was to investigate whether the serum LDH activity and its isoenzyme pattern was related to pulmonary damage caused by coal dust exposure. Therefore, the relationship between serum LDH activity, its isoenzyme pattern and pulmonary function impairment was investigated in patients with a history of coal dust exposure.

In the analysis of pleural effusions the LDH activity is widely used, in particular to distinguish transudates from exudates. However, LDH activity in pleural fluid is not clinically useful in the discrimination between various types of exudative effusions such as malignant and non-malignant effusions. The aim of the following studies was to evaluate the possible diagnostic value of LDH isoenzymes (chapter 5) and BGD (chapter 6) in the analysis of pleural effusions. In particular, attention was paid to the prospect that these enzymes allowed discrimination between parapneumonic and malignant effusions.



PMNs have different LDH isoenzyme patterns. If so, the LDH isoenzyme pattern might be used as an index of the specific cellular response caused by pneumotoxicants. To test this hypothesis, BALF samples were divided in those with predominantly PMNs and those with predominantly AMs. Additionally, the values of both groups were compared with values assessed from lung tissue samples.

Chapter 8 evaluates whether the cellular profile and/or enzyme activity of ALP, LDH and its isoenzymes in BALF have additional practical value to distinguish between BALF samples of infectious and of non-infectious etiology.

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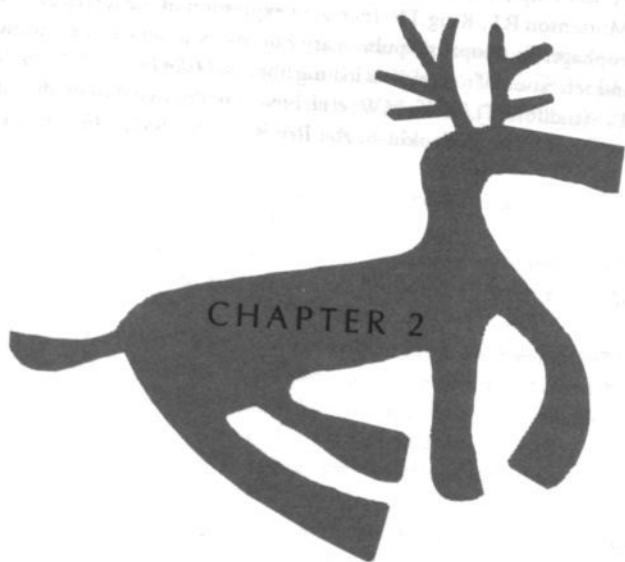
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Review

Usefulness of lactate dehydrogenase and its isoenzymes as indicators of lung damage or inflammation

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ABSTRACT

This review describes the usefulness of monitoring the activity of lactate dehydrogenase (LDH) and its isoenzyme pattern as indicators of pathological conditions in the lungs, such as cell damage or inflammation.

Cytoplasmic cellular enzymes, like LDH, in the extracellular space, although of no further metabolic function in this space, are still of benefit because they serve as indicators suggestive of disturbances of the cellular integrity induced by pathological conditions. Since LDH is an enzyme present in essentially all major organ systems, serum LDH activity is abnormal in a large number of disorders.

Although the increase in total serum LDH activity is rather non-specific, it is proposed that measurement of LDH activity and its isoenzyme pattern in pleural effusion and, more recently, in bronchoalveolar lavage fluid may provide additional information about lung and pulmonary endothelial cell injury.

INTRODUCTION

Cellular enzymes in the extracellular space, although of no further metabolic function in this space, are still of benefit because they serve as indicators suggestive of disturbances of the cellular integrity induced by pathological conditions. Lactate dehydrogenase (LDH) is a cytoplasmic enzyme present in essentially all major organ systems. The extracellular appearance of LDH is used to detect cell damage or cell death [1-3]. Due to its extraordinarily widespread distribution in the body, serum LDH is abnormal in a host of disorders [3-9]. It is released into the peripheral blood after cell death caused by, *e.g.* ischaemia, excess heat or cold, starvation, dehydration, injury, exposure to bacterial toxins, after ingestion of certain drugs, and from chemical poisonings [1,2]. Therefore, the total serum LDH is a highly sensitive, but non-specific test.

In order to optimize the diagnostic value, LDH isoenzymes can be measured [3]. Although the serum LDH isoenzyme pattern has a slightly better specificity, the clinical value is still rather low. In contrast, measurement of LDH and LDH isoenzymes in pleural fluid, and more importantly in bronchoalveolar lavage (BAL) fluid is useful to determine lung tissue damage and pulmonary endothelial cell injury [10-14].



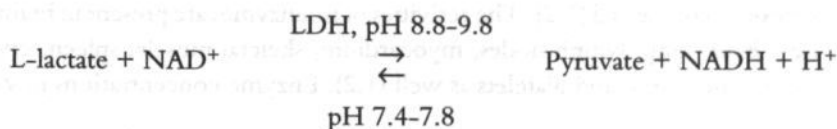
Cellular changes in BAL fluid (BALF) during acute inflammation include an influx of polymorphonuclear neutrophils (PMNs) and activation of alveolar macrophages (AMs) [15–18]. The neutrophil has been implicated as contributing to the lung injury incurred during an inflammatory response [15,18]. It has been suggested that the neutrophil influx plays a major role in increasing the permeability of the alveolar/capillary barrier and in producing cellular toxicity during the inflammatory response [18–20]. In line with this, it was indicated that the cytotoxicity of neutrophils was associated with, *e.g.* reperfusion injury and acute respiratory distress syndrome (ARDS).

If cell lysis occurs, or cell membranes are damaged, cytoplasmic enzymes, such as LDH and glutathione reductase (GR) are released into the extracellular space [4]. The enzymatic activities found in the BALF provide important information concerning the degree of cytotoxicity present, measured by extracellular activities of cytoplasmic enzymes, such as LDH, and the release of lysosomal enzymes [4]. Some increase in LDH is caused by transudation of serum, but in previous studies this has been a minor component of the increased LDH activity in BALF [21]. The LDH in BALF appeared to originate from lung cells, probably AMs or PMNs [22–24]. However, the exact cellular source of these enzymes is still unknown.

The usefulness of measurement of LDH activity and its isoenzymes in serum, but especially in BALF and pleural effusions as indicators of lung damage or inflammation is reviewed.

BIOCHEMISTRY AND PHYSIOLOGY OF LDH

Lactate dehydrogenase (EC 1.1.1.27) is a hydrogen transfer enzyme that catalyses the oxidation of L-lactate to pyruvate with nicotinamide-adenine dinucleotide (NAD)⁺ as hydrogen acceptor, the final step in the metabolic chain of anaerobic glycolysis. The reaction is reversible and the reaction equilibrium strongly favours the reverse reaction, namely the reduction of pyruvate (P) to lactate (L) [1,2,25].





A multiplicity of procedures for measurement of LDH activity have been developed over the last 25 yrs; the procedures use the forward ($L \rightarrow P$) and the reverse ($P \rightarrow L$) in almost equal numbers. The $L \rightarrow P$ assay has the following advantages: substrate inhibition by lactate is less than that produced by pyruvate and the reaction linearity is more prolonged than that of the $P \rightarrow L$ assay. Advantages of the $P \rightarrow L$ assay are: a less expensive assay formulation (because of the much lower concentration of the reactants), the greater absorbance with time (thus allowing more precise measurements) and greater stability of the working reagents once they are prepared as assay solutions.

The enzyme is composed of four peptide chains of two types: M and H, each under separate genetic control. Heart (H) subunit or muscle (M) subunit are so named because of their predominance in the respective tissues. In this way five different isoenzymes, having different chemical and physical properties can be found. The isoenzymes all catalyse the same biochemical reaction but differ in their molecular structure, and are more or less organ specific [1,2]. Therefore, isoenzyme patterns can be used to localize the source of LDH release. The isoenzymes differ in reactivity to substrates, sensitivity to inhibitors, resistance to heat inactivation, cold lability, and electrophoretic mobility in tertiary structure and charge. Therefore, isoenzymes are separable electrophoretically. The subunit compositions of the five isoenzymes in order of decreasing anodal mobility in an alkaline medium are: LDH1 (HHHH; H_4); LDH2 (HHHM; H_3M); LDH3 (HHMM; H_2M_2); LDH4 (HMMM; HM_3); and LDH5 (MMMM; M_4) [1,2]. Using the assay at 37°C by an enzymatic rate method, with pyruvate as a substrate, the reference ranges for LDH activity are 200–450 U/l in serum [25], and 10–50 U/l in BALF. The reference ranges in serum for LDH isoenzymes are: LDH1 19–30%; LDH2 32–48%; LDH3 12–22%; LDH4 5–11%; and LDH5 5–13% [25]. Moreover, in BALF, reliable determination of LDH isoenzymes is only possible when the total LDH activity in BALF is higher than 50 U/l.

TISSUE ACTIVITIES OF LDH AND ITS ISOENZYMES

Activity of LDH is present in almost all cells of the body and is found only in the cytoplasm of the cell [1,2]. The activities of isoenzymes are present in brain, kidney, liver, lung, lymph nodes, myocardium, skeletal muscle, spleen, erythrocytes, leucocytes, and platelets as well [1,2]. Enzyme concentrations in various



tissues (in U/g wet weight) are very high compared to those in serum: liver, 9,000; heart, 25,000; kidney, 15,000; skeletal muscle, 9,000; lung, 9,500. Thus, tissue concentrations are about 500-fold higher than those normally found in serum, and leakage of the enzyme from even a small mass of damaged tissue can increase the observed serum activity of LDH to a significant extent. In addition to their higher enzyme concentration, many of these tissues show a different isoenzyme composition. In cardiac muscle, erythrocytes, and kidney the electrophoretically faster moving isoenzymes LDH1 and LDH2 predominate. In liver and skeletal muscle the more cathodal LDH4 and LDH5 isoenzymes predominate. Isoenzymes of intermediate mobility account for the LDH of many tissues. Specific percentages of isoenzymes for the lung are estimated: LDH1 10%, LDH2 20%, LDH3 30%, LDH4 25% and LDH5 15% [1].

Elevation of total LDH activity in lung tissue was found several days after exposure of rodents to high or low levels of O_2 , to cadmium aerosols, or to NO_2 [8,26–28]. However, these studies did not distinguish between LDH of lung tissue and of infiltrating cells. In a study using histochemical staining techniques, a viral pulmonary infection in mice resulted in a decreased LDH activity in lung cells after 6 days. In unexposed mice, LDH activity was highest in the bronchial and type II alveolar epithelial cells [28]. Thus, there seems to be a time-related effect, and, therefore, knowing the moment the LDH activity was measured is of vital importance interpreting the analysis results. Similarly, in extrinsic allergic alveolitis, the BALF profile was also recently found to be highly dependent on the time-point at which the material was obtained in relation to the last exposure to the causative antigen [29].

Henderson *et al.* [30] found a predominantly interstitial reaction rather than an exudative one, with mononuclear phagocytes and lymphocytes rather than PMNs being the predominant inflammatory cell types after inhalation of toxicants inducing pulmonary injury in hamsters. The elevated LDH tissue activity remained for more than 3 weeks after exposure, suggesting some residual subacute inflammation. Airway enzymatic and cytologic responses were shown to be potentially useful as indicators of lung damage in toxicological screening, as well as screening of lung injury of unknown origin [18,22,30,31].



SERUM LDH IN PULMONARY DISEASE

Lung-related disorders as possible sources of serum LDH abnormalities have been under reported, and isoenzyme patterns are seldom measured. A summary of several pulmonary disorders associated with elevated serum LDH activity in human is given in table 1.

Table 1. *Pulmonary diseases associated with increased serum LDH activity.*

Pulmonary disorder	Main pathogenetic process
Obstructive and other diseases	Cell damage or cell death
Chronic obstructive pulmonary disease (COPD)	
Pneumothorax	
Pulmonary embolism	
Microbial pulmonary diseases	Inflammation
Bronchopneumonia	
Tuberculosis	
<i>Pneumocystis carinii</i> pneumonia (PCP)	
Acquired immunodeficiency syndrome (AIDS)	
Interstitial lung diseases	Inflammation and/or cell damage
Desquamative interstitial pneumonitis (DIP)	Remodelling/fibrosis
Idiopathic pulmonary fibrosis (IPF)	
Hypersensitivity pneumonitis (HP)	
or extrinsic allergic alveolitis (EAA)	
Drug-induced pneumonitis	
Pulmonary alveolar proteinosis (PAP)	
Pneumoconiosis, silicosis pulmonum	
Acute respiratory distress syndrome (ARDS)	
Acute respiratory insufficiency	
Lung malignancy	Increased proliferation and
Non small cell lung cancer	intracellular activity/ cell death
Small cell lung cancer	

These disorders all have in common that cell damage or inflammation or both are involved in the pathogenesis [9-11,31-38]. Lactate dehydrogenase is one of the enzyme systems preferentially produced and retained by cancer cells, being necessary to maintain tumour growth. Increased LDH in sera of patients with lungcarcinoma, without metastasis is believed to be from tumour cells and is considered to be proportional to the metabolic and proliferative activity of the



tumour [39]. Accordingly, high production of LDH suggests either a large tumour bulk or a rapid proliferation in a smaller tumour. Lactate dehydrogenase in lung cancer is correlated with survival [40] and with the patients' performance as defined by the Karnosky index [41].

An increase in airway LDH activity might arise from diverse sources, including: 1) rupture (necrosis) of airway and/or alveolar epithelial cells, AMs, or other pulmonary cell types; 2) increased flux of plasma derived LDH through an air/blood barrier rendered more permeable by pulmonary injury (e.g. oedema, haemorrhage); and 3) elevated plasma LDH concentration resulting in an increased plasma/alveolus concentration gradient with consequent increased rate of passage of LDH across the air/blood barrier of a normal lung [13]. Studies have suggested that the pulmonary interstitium can be seen as a significant part of the blood-lymph barrier when looking at protein transport.

Lung parenchymal cells, or local inflammatory cells including AMs and PMNs, may be a potential source of elevation of serum LDH associated with pulmonary diseases. *In vitro* studies have demonstrated LDH leakage from type II pneumocytes, pulmonary endothelium and AMs following cellular damage caused by various stimuli. Injury to the basolateral aspect of endothelial cells would be expected to release LDH into the alveolar interstitium, and a compromised alveolar epithelial barrier would allow access of the enzyme into alveolar spaces. Mechanisms for increased activity of enzymes in the lavage fluid are usually considered to reflect cell damage, increased production and release from cells, and impaired catabolism [1]. Thus, LDH might be released from injured cells of the lung into the pulmonary interstitium and alveoli, or from damaged inflammatory cells that infiltrate the lung [14].

Only a few human studies have been reported. De Remee [36] reported elevated serum LDH activity in five cases of interstitial pneumonitis, suggesting that LDH would be helpful in differentiating interstitial pneumonitis from sarcoidosis. More recently, Matusiewicz *et al.* [10] reported serum LDH to be a simple though non-specific test, which appears to reflect changes of disease activity in patients with cryptogenic fibrosing alveolitis (CFA) and extrinsic allergic alveolitis or hypersensitivity pneumonitis, but not sarcoidosis. Moreover, in CFA increasing percentage of PMNs in BALF correlated with serum LDH activity, whereas in sarcoidosis the percentage BALF lymphocytes correlated with serum LDH, suggesting different sources of the LDH activity [10].



Determination of the LDH isoenzymes might be of additional value to establish the origin of the elevated LDH activity. Moreover, the alkaline phosphatase (ALP) activity has been observed histochemically in type II pneumocytes [21,22,42], which have been shown to proliferate following exposure to a pulmonary toxicant and to replace the damaged type I pneumocytes [43]. Therefore, increases in ALP activity in BALF were noted as a marker of type II cell damage and/or proliferation [5,22]. It is well-known that the type II pneumocyte is important in the repair of alveolar epithelium after injury and responds to oxidant stress (such as hyperoxia). It was suggested that adaptive responses to oxidant injury occur in type II pneumocytes after exposure to minerals. Future studies are needed to clarify the possible relationship between LDH and ALP activity and outcome in patients suffering from pulmonary diseases, as well as the role in the follow-up regarding prognosis and evaluation of medical treatment.

SERUM LDH ISOENZYME PATTERNS IN PULMONARY DISEASE

The isomorph pattern of LDH isoenzymes in serum - showing percentage of LDH2 greater than the percentage of LDH1, with relatively lower percentages of LDH3, LDH4, and LDH5 - is common. In addition, diagnostically more specific patterns are identified. Several studies have shown the diagnostic use of the measurement of LDH activity in pulmonary diseases. However, the further role of LDH isoenzymes has not been extensively explored. The lung pattern is characterized by proportional increases in isoenzymes 3, 4 and 5, compared to the isoenzyme patterns in normal serum [9,44]. It has been suggested that increased plasma LDH3 activity reflects acute lung injury causing cell damage and cell death, as LDH3 was to be found elevated in the plasma when pulmonary embolism occurred [9,44]. On occasions, a raised LDH activity may be the only evidence to suggest the presence of a hidden embolus. The serum LDH3 activity could be elevated because of the massive destruction of platelets after the formation of an embolus. Additionally, it is proposed that plasma LDH3 isoenzymes are released into the circulation from injured alveolar capillary endothelial cells. Therefore, LDH3 may be an useful biochemical index of acute immunologic antibody-mediated lung injury, with potential diagnostic and prognostic value in pulmonary disease [9]. Release of LDH3 activity from injured pulmonary pa-



renchyma into the circulation presumably produces the observed rise in plasma LDH3 activity. However, during acute rejection of a pulmonary graft in man, serum LDH4 and LDH5 increased [45]. Bansal *et al.* [6] found that AMs contained all five LDH isoenzymes, LDH5 being the most prominent. In patients with malignant diseases, in serum a shift in the isoenzyme pattern was observed towards the M-type, with an increase in the percentage of LDH4 and LDH5 isoenzymes [39]. In tissue of lung tumours, epidermoid carcinoma, adenocarcinoma and large cell carcinoma, isoenzyme patterns with a LDH4 peak were shown. Though small cell carcinoma had a peak at LDH3 and they indicated lower percentage of LDH1 and LDH2 [39,46,47]. Despite the reported predominance of certain LDH isoenzymes related to particular pulmonary disorders, fractionation of serum LDH often revealed the isomorphous, *i.e.* normal serum pattern, whereas fractionation of pleural effusion or of BALF showed a more specific increase in LDH isoenzyme fractions. To clarify the discrepancy between these isoenzyme patterns, it is important to compare the ratio of each isoenzyme/albumin in the respective fluid to the corresponding ratio in serum. Some of unusual LDH isoenzymes, such as LDH6 may have diagnostic importance, however, the majority are patient curiosities. The presence of LDH6 in serum carries a very poor prognosis, all earlier reported cases were critically ill. Ketchum *et al.* [48] described nine patients with LDH6 of whom eight died during their hospital stay. In another study of 18 patients who showed the LDH6 band, 15 died. However, no common cause was apparent for the LDH6. At the time LDH6 was observed in the serum all patients had hypotension, and the great majority of patients had documented episodes of hypoxaemia just preceding the LDH isoenzyme assay [48-51].

LDH IN BALF

Although serum LDH, serum ALP and serum protein cannot be used as indices of pulmonary disease, measurement of these parameters in BALF is a more specific alternative. LDH, known as a cytoplasmic enzyme, occurs extracellularly in BALF only in the presence of damaged cells [23,31]. Therefore, analysis of LDH activity in BALF is a potentially useful tool for evaluating lung tissue damage. Cellular as well as non-cellular constituents have been extensively studied. The analysis of soluble components in BALF, along with the cellular components,



has been used to rank the toxicity of respirable toxicants in the lung, and to determine the level of exposure to such toxicants that is required to produce a detectable inflammatory response in the lung [4,7,14].

Table 2. *Most sensitive changes in bronchoalveolar lavage fluid (BALF) in response to different types of pollutants.*

Pollutant	Components of BALF increased
Oxidant gases (NO ₂ , O ₃)	Neutrophils Protein
Soluble metal salts	Neutrophils Protein Lactate dehydrogenase
Insoluble toxic particles, including metal salts,	Neutrophils Protein Lactate dehydrogenase Acid hydrolytic enzymes

Modified from Henderson and Muggenburg, [4].

Some of the most commonly analysed soluble components of BALF are total protein (or albumin) concentrations, and the activity of enzymes such as LDH, ALP and acid hydrolytic enzymes, such as β -glucuronidase (BGD). There are measurable concentrations of these components in BALF from non-treated animals [7]; increases in the background activities can be used as a measure of the degree of the inflammatory response and, in some instances, are associated with a particular type of toxicant (table 2)[4]. In general, however, the changes observed are not toxicant-specific, but indicate the degree of the inflammatory response to any respirable toxicant. The parameters are also useful in determining the response of the lung to systemic toxicants that are known to damage the lung [13].

In several experiments LDH, ALP and protein were used as biochemical indices of pulmonary damage in BALF, *e.g.* in rat models, they were found to be correlated with the degree of inflammatory cell influx in BALF from lungs exposed to pathogenic particulates [4,22,31] (table 2).

Lysosomal enzyme activities, such as BGD, N-acetylglucosaminidase, or acid proteinase activities, provide a measure of macrophage activation or lysis [4,5]. ALP activity in BALF has been associated with increased secretory activity of al-



veolar type II cells, or injury of these cells [22-24]. Other mediators of inflammatory response, such as tumour necrosis factor, various arachidonic acid metabolites, proteases and antiproteases, and interleukines also may also be of help [4,18,19]. However, for screening the analysis of BAL fluid can be limited to a relatively small number of parameters. The total and differential cell counts, LDH, ALP, and perhaps one lysosomal enzyme, along with the protein content of the BALF, are sufficient to measure the degree of the inflammatory response [4].

Changes in serum LDH do not always reflect changes in lavage LDH [11]. However, looking at lavage, LDH and its isoenzymes may be extremely helpful in elucidating pathogenic mechanisms in diffuse pulmonary diseases. Although total lavage LDH activity represents only about 0.1% of the total LDH activity available in lung tissue, the histologic changes suggest that the lungs are a likely contributor to the increase in LDH activity observed in the cell-free BALF in rats with induced lung injury [7].

In *Pneumocystis carinii* pneumonia patients, Smith *et al.* [32] noted markedly increased total LDH activity in BALF which was independent of BALF cell populations. The latter group also found that the BALF LDH/albumin ratio was significantly higher than the same ratio in serum [32]. This observation suggests that the lavage fluid LDH originates from pulmonary tissue, rather than reflecting transudation from blood to alveoli.

In the rat model, induced injury to the basolateral aspect of endothelial cells would be expected to release LDH into the alveolar interstitium, and a compromised alveolar epithelial barrier would allow access of the enzyme into alveolar spaces. Schultze *et al.* [14] suggested that the increased LDH activity in cell-free BALF found after experimentally-induced lung injury arises from lung tissue. Henderson and co-workers [30] also suggested that the source of LDH activity in BALF was most likely damaged lung cells. Thus, it appears that the increased serum LDH activity in several pulmonary disorders associated with inflammation and cell damage originates from the lung interstitium and flows back across a more permeable alveolocapillary membrane. Thereafter, the serum LDH activity increases, as has been reported, *e.g.* in *Pneumocystis carinii* pneumonia [32-34], pulmonary alveolar proteinosis [11], desquamative interstitial pneumonitis [10,36-38] and extrinsic allergic alveolitis [10]. An analogous situation is seen in sarcoidosis - characterized by the formation of non-caseating epithelioid cell granulomas, probably antigen driven - where increased amounts of immuno-



globulin G (IgG) produced at pulmonary sites of disease activity are considered responsible for the observed serum hypergammaglobulinaemia [52]. In contrast, mostly in lung cancer no increase of LDH activity in BALF was found (unpublished data).

LDH ISOENZYMES IN BALF

When homogenates of human pulmonary tissue were assayed for LDH, a particular and identifying isoenzyme pattern was found. Analysis of lung washing as a probe to detect early responses of the lung has used LDH activity in the airways of rats to detect the effect of a toxicant in the lung. Moreover, in animal studies, LDH isoenzyme patterns have been used to differentiate between various types of pulmonary injury and have helped to identify the sites of injury on a cellular level [53].

Analyses of the relative ratios of LDH isoenzymes in BALF and serum indicate that cationic isoenzymes 3, 4 and 5, are preferentially retained in the air spaces. Thus, despite increased permeability, it has been suggested that the alveolocapillary membrane continues to function as a sieving membrane, discriminating between proteins of equal molecular weight on the basis of electrical charge. Selective backflow of elevated LDH in BALF, in particular isoenzyme 2, to serum may be responsible for the isomorphic LDH pattern seen in the serum of patients with *Pneumocystis carinii* pneumonia. In pulmonary alveolar proteinosis, there are a number of cells capable of releasing LDH into the alveolar space, including type I and type II alveolar epithelial cells, AMs, and even bronchiolar epithelial cells. Hoffman and Rogers [11] found an isomorphic isoenzyme pattern in serum of pulmonary alveolar proteinosis patients. This was in marked contrast to the LDH isoenzyme pattern observed in the lavage effluent, which showed a lower percentage of LDH1 and LDH2 and a higher percentage of LDH3, LDH4, and LDH5. Moreover, the LDH elevation found consistently in the alveolar fluid points to this as the source of the serum LDH. The large quantities of LDH found in BALF and the isoenzyme pattern of the lavage LDH suggest that pulmonary cell death occurs to a considerable extent in pulmonary alveolar proteinosis.

Schultze *et al.* [14] reported that an intravenous injection of a small dose of monocrotaline pyrrole (MCTP), a potative, toxic metabolite of monocrotaline,



causes injury of lung tissue, but not of other organs. Reported changes in LDH activity in cell-free BALF were characterized by increases in the isoenzymes LDH4 and LDH5 and an elevated LDH4/LDH5 ratio in BALF only. They [14] suggested, because of the induced changes in the LDH isoenzyme pattern, that the increased LDH activity of cell-free BALF arised from lung tissue. Leakage of plasma into the interstitium and alveolar spaces may slightly contribute to the increase of LDH activity in cell-free BALF, in particular to the activity of LDH5. However, these sources alone cannot explain the alterations in LDH isoenzyme patterns that occur in cell-free BALF after treatment of rats with MCTP [14].

LDH AND ITS ISOENZYMES IN PLEURAL EFFUSION

LDH activity has been extensively used in the analysis of pleural effusion, especially in distinguishing between transudate and exudate, and also between malignant and non-malignant effusions as well [54–61]. However, few studies reporting the analysis of LDH isoenzymes in pleural effusion were found. The first results of studies of diagnostic value of pleural fluid LDH isoenzyme patterns have been conflicting. Richterich *et al.* [62] reported that the LDH isoenzyme pattern of benign effusions reflected the serum pattern, whereas malignant effusions contained more LDH4 and LDH5. In contrast, others [61] have reported that malignant effusions were characterized by maximal enzyme activity in LDH2, LDH3 and LDH4, whereas benign effusions were characterized by maximal enzyme activity of LDH4 and LDH5.

A study of pleural fluid of 122 patients of the John Hopkins Hospital in 1971 showed the following results [59]. In general, transudative pleural effusion – having a total LDH lower than 200 U/l or 60% of the serum value – showed a slightly higher percentage of LDH4 and LDH5 compared to the serum values.

Studies of the exudative effusions showed that some malignant effusions had an LDH isoenzyme pattern different from that of the benign exudates. The fraction of LDH2 was unusually high in approximately one third of the malignant exudative effusions, with a corresponding reduction in the LDH4 and LDH5 fractions. No relationship was found between the histologic pattern of the malignancy and the pleural fluid isoenzyme pattern. Moreover, no significant differences were found in the pleural fluid LDH isoenzyme patterns between the various groups of benign exudative effusions [58].



Vernon *et al.* [63] found an increase in percentage of LDH5 isoenzyme to be a good marker of malignant pleural effusion, except when the pleura is involved by malignant lymphoma or small cell lung carcinoma. The latter group [63] further concluded that the follow-up of the percentage of LDH5 isoenzyme in pleural fluid appears to be an accurate marker of the evolution of malignant pleural effusion. Dev *et al.* [64] found a significant difference in total LDH activity, LDH pleural fluid/serum ratio and LDH isoenzymes; minimum in cardiac failure and maximum in empyema. The value was intermediate in malignancy and other exudative conditions. The LDH5 isoenzyme activity ratio between pleural fluid and serum tended to be higher in pleural effusions of mesothelioma origin than in those from non-mesothelial tumours.

Thus, LDH electrophoretic patterns in pleural fluid can be a valuable tool in the diagnostic work-up of pleural effusions, especially in the differentiation between malignant and non-malignant origin of the pleural fluid, but more extensive examination is required.

SUMMARY

Lactate dehydrogenase, a cytoplasmic enzyme, present in essentially all organ systems is thought to be released only after cell death. Various cell types are frequently characterized by different LDH isoenzyme profiles. Therefore, LDH isoenzyme activity patterns can be used to localize the cellular injury. In BALF, LDH activity and its isoenzyme pattern give a direct indication of pulmonary cell damage. However, future research should focus on the specific pulmonary cells that contribute to the local LDH increase and the impact of intra-alveolar LDH on serum LDH activity. The screening of BALF by relative simple, well-established biochemical assays has proved to be useful as an indicator of the lack of lung injury and to be of value in damage evaluation, especially in animal studies. Further studies are required to define the limits of usefulness of BALF screening for detecting acute inflammatory responses in the lung, and to assess the link between the LDH activity and prognosis of pulmonary diseases.

Furthermore, it will be necessary to determine whether the alterations in the LDH isoenzyme composition in pleural effusion and BALF, observed in earlier studies, are restricted to particularly lung diseases or whether they represent a rather general pattern of LDH isoenzyme profile abnormalities.



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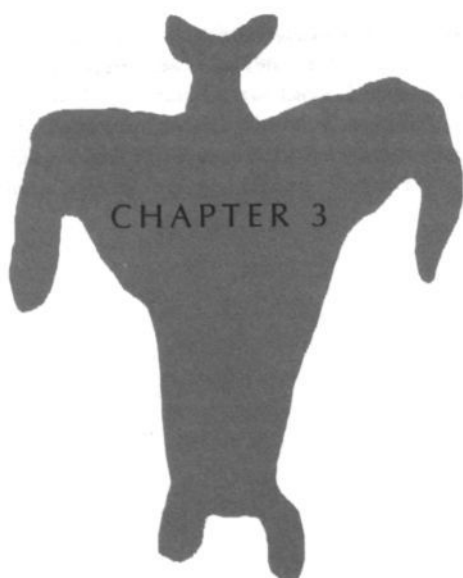
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CHAPTER 3



Serum lactate dehydrogenase and its isoenzyme pattern in ex-coalminers

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METHODS

PATIENTS

The study was performed in a population of ex-coalminers ($n=201$, age 72 ± 6 years), all men. They were admitted to our hospital for a medical check-up. All had a history of coal dust exposure, more than 20 years ago. Their medical history revealed no other relevant pulmonary disorders. The majority of the ex-coalminers ($n=144$, 72%) were smokers, having had a smoking history of many years. Of 43 ex-coalminers the smoking status was unknown, whereas only 14 were non-smokers. The chest radiograph was classified as normal in 52 cases (26%). The chest radiograph was classified as abnormal showing abnormalities varying between few nodules, normal lung markings visible and numerous opacities, and normal markings totally obscured ($n=149$; 74%) [23]. The characteristics of the studied population are summarized in table 1. The population of ex-coalminers was divided into two categories, based on the serum LDH activity. Group I consisted of ex-coalminers with a normal serum LDH activity ($n=42$; $\text{LDH} \leq 450 \text{ U/l}$), and group II consisted of cases with an elevated serum LDH activity ($n=159$; $\text{LDH} > 450 \text{ U/l}$).

A group of 48 healthy control subjects, all men (age 58 ± 13 years), without a relevant medical history, was used to assess normal values of serum LDH activity and its isoenzyme pattern. Of this latter group, the total protein, albumin, gamma-glutamyl transferase (GGT), alanine amino transferase (ALT) and creatine kinase (CK) were within normal limits.

PULMONARY FUNCTION TESTS

Pulmonary function tests were assessed. Forced expiratory volume capacity (FVC) and forced expiratory volume in one second (FEV_1) were determined using a spirometer (Jaeger, Masterlab, Wuerzburg, Germany). Diffusion capacity (DCO) was obtained by the single breath method and corrected for haemoglobin. The predicted values for each subject, based on sex, age and height, were obtained from standard tables [24]. Data were expressed as percentages of the predicted values.

LABORATORY TESTS

Simultaneously with pulmonary function tests, blood samples were taken and serum was stored frozen at -70°C until actual measurement of LDH and its



Table 1.

Characteristics of the studied cases of ex-coalminers.

	n	age (years)	weight (kg)	height (cm)	underground (years)	smoking (pack years ^a)
Total group	201	72 (50-92)	76 (40-117)	170 (153-194)	26 (10-42)	30 (3-90)
Group I	42	70 (56-85)	77 (46-102)	171 (157-184)	26 (12-41)	31 (10-80)
Group II	159	72 (50-92)	75 (40-117)	169 (153-194)	26 (10-42)	30 (3-90)

Data are expressed as means with range in parentheses. Group I: normal serum lactate dehydrogenase (LDH \leq 450 U/l); Group II: increased serum LDH (LDH $>$ 450 U/l). Note: no significant differences between the groups. ^a pack years of smokers.

isoenzyme pattern. In our laboratory the LDH activity is measured at 37°C by an enzymatic rate method, using pyruvate as a substrate. The test is performed on a Beckman Synchron CX-7 system with Beckman reagents (test kit 442660) and is optimized according to the recommendations of the Deutsche Gesellschaft für Klinische Chemie (DGKC-recommendations). The system monitors the reduction of pyruvate to L-lactate with the concurrent oxidation of β -nicotinamide adenine dinucleotide (NADH; reduced form) at 340 nm. The change in absorbance at 340 nm, caused by the disappearance of NADH, is measured over a fixed time interval and is directly proportional to the LDH activity. Lactate dehydrogenase activity is expressed in micromoles of substrate (pyruvate) converted per minute (U), per litre of serum at 37°C. The measuring range is 10-1800 U/l, for concentrations of 1800-3800 U/l the samples were automatically diluted with saline and re-analysed and for higher concentrations manual dilution was required. The reference range for LDH is 200-450 U/l in serum.

The surface charge difference is the basis on which the five LDH isoenzymes are separated by electrophoresis. After the LDH isoenzymes have been separated by electrophoresis, the agarose gel is incubated with a reaction mixture, containing the LDH substrate lactate, the coenzyme NAD⁺ and a tetrazolium salt. During this incubation, NADH is formed at the zones on the gel, where the LDH isoenzymes are present. The NADH generated is detected by its reduction of the tetrazolium salt to the coloured bands, which can be quantitated by scanning the gel at 600 nm. Blood samples were also analysed for urea, total protein, albumin, GGT, ALT and CK. Serum urea, LDH, ALT, CK total protein and albumin have been determined on a Synchron CX-7 analyser (Beckman Instruments Inc, California, USA), using test kits from Beckman Instruments Inc.



STATISTICAL METHODS

Pearson coefficient of correlation (r) was estimated in order to test against a relation between serum LDH activity and the performed pulmonary function tests. A p -value of less than 0.05 was considered to be significant. Differences between groups were statistically analysed by Student's t -test.

RESULTS

The laboratory results of the studied group of ex-coalminers as well as the normal values are given in table 2. Serum LDH activity was found to be elevated in 159 of the 201 ex-coalminers (79.1%). The total group of ex-coalminers showed a significant different LDH isoenzyme pattern compared to the control group (table 2). The LDH isoenzyme pattern was mainly characterized by an increase of the LDH3 fraction ($31 \pm 4\%$; $p < 0.0001$). Group II (LDH high, 703 ± 229 U/l, $n=159$) was characterized by a high LDH3 ($33 \pm 3\%$) in all cases, whereas in group I (LDH normal, 371 ± 55 U/l, $n=42$) in 37 of 42 cases (88.1%) a high LDH3 was found. So, in 196 out of the 201 studied cases of ex-coalminers (97.5%) a high percentage of LDH3 was demonstrated. Also, the percentage of LDH4 was high in group II ($12 \pm 3\%$) versus group I ($9 \pm 2\%$; $p < 0.001$), as well as the LDH4 activity (87 ± 41 , respectively 33 ± 9 U/l; $p < 0.001$). LDH4 was only increased in four out of 42 (9.5%) ex-coalminers with a normal LDH activity (group I) and in 101 out of 159 (63.5%) with high LDH activity (group II). So, in 105 out of the 201 studied cases (52.2%), a high LDH4 activity was demonstrated.

No differences were found in the serum LDH activity and its isoenzyme pattern between smokers ($n=144$) and non-smokers ($n=14$) in either of the pulmonary parameters. When comparing the group of ex-coalminers with normal chest radiograph ($n=52$) and the group with abnormal chest radiograph ($n=149$) no statistical differences were found in the serum LDH activity and its isoenzyme pattern, or in pulmonary function parameters, except for the DCO ($p < 0.05$). A slight negative correlation was found between the duration of working underground and the DCO in smokers as well as in non-smokers ($p < 0.05$).

An example of the serum LDH isoenzyme pattern of an ex-coalminer as well as a control subject is given in figure 1. All other laboratory values measured (liver function tests, CK and creatinine), were within normal ranges (table 2).



Table 2.

Results of laboratory tests of the studied cases of ex-coalminers and non-exposed controls.

Parameters	Controls (n=48)	Total group (n=201)	Group I (n=42)	Group II (n=159)
Albumin (g/l)	44±2 (43.7)	39±3 (38.9)	38±3 (38.9)	39±3 (38.8)
Urea (mmol/l)	6.6±1.6 (6.3)	6.2±2.0 (6.0)	6.0±1.6 (6.0)	6.3±2.0 (6.0)
Creatinine (mmol/l)	97±18 (98)	101±60 (95)	94±19 (96)	103±67 (94)
ALT (U/l)	21±8 (19)	19±10 (17)	19±7 (19)	19±10 (17)
GGT (U/l)	25±19 (20)	31±43 (23)	30±37 (22)	31±44 (23)
CK (U/l)	143±50 (140)	96±78 (76) ^{\$}	80±43 (69) ^{\$}	100±84 (77) ^{*\$}
LDH (U/l)	359±50 (367)	633±245 (573) ^{\$}	371±55 (372)	703±229 (632) [*]
LDH1 (%)	21±3 (20.9)	15±4 (15.0) ^{\$}	19±3 (19.5)	14±3 (13.9) ^{*\$}
LDH2 (%)	40±3 (40.3)	37±4 (37.0) ^{\$}	40±4 (40.9)	36±3 (36.4) ^{*\$}
LDH3 (%)	19±2 (18.7)	31±4 (31.9) ^{\$}	26±4 (25.4) ^{\$}	33±3 (32.5) ^{*\$}
LDH4 (%)	9±1 (8.8)	11±3 (11.2) ^{\$}	9±2 (8.6)	12±3 (11.9) ^{*\$}
LDH5 (%)	12±3 (11.7)	5±2 (4.6) ^{\$}	6±2 (5.4) ^{\$}	5±2 (4.4) ^{***}
LDH3/LDH5	1.70±0.65 (1.55)	7.35±3.80 (6.68) ^{\$}	4.90±1.66 (4.71) ^{\$}	8.00±3.94 (7.50) ^{*\$}
LDH4/LDH5	0.77±0.21 (0.74)	2.59±1.12 (2.37) ^{\$}	1.63±0.55 (1.58) ^{\$}	2.84±1.09 (2.81) ^{*\$}

Data are expressed as mean ± SD and with median values in parentheses. Group I: normal serum lactate dehydrogenase (LDH≤450 U/l); Group II: increased serum LDH (LDH>450 U/l); ALT=alanine amino transferase; GGT=gamma-glutamyl transferase; CK=creatine phosphokinase; LDH1-5=LDH isoenzymes. t-test *p<0.05, **p<0.01, ***p<0.001: group I versus group II. t-test \$p<0.0001: versus control subjects.

In table 3, the results of the pulmonary function tests of the different groups are summarized. Negative relations between the FEV₁ (% of the norm) and the LDH activity ($r=-0.26$, $p<0.001$), percentage of LDH3 ($r=-0.23$, $p<0.001$; table 4 and figure 2) and percentage of LDH4 ($r=-0.25$, $p<0.001$) were found in the total population of ex-coalminers. The FEV₁ was low in group II compared to group I ($p<0.05$). Also a negative correlation was found between total LDH and FVC ($r=-0.21$, $p<0.001$) and between percentage of LDH3 and FVC ($r=-0.19$, $p<0.004$). Of the 201 ex-coalminers, 190 managed to complete the single breath method for DCO measurement. In these latter cases, no relation was found between LDH activity and DCO (table 4). Moreover, no difference between the two groups with regard to the DCO or FVC were found (table 3).

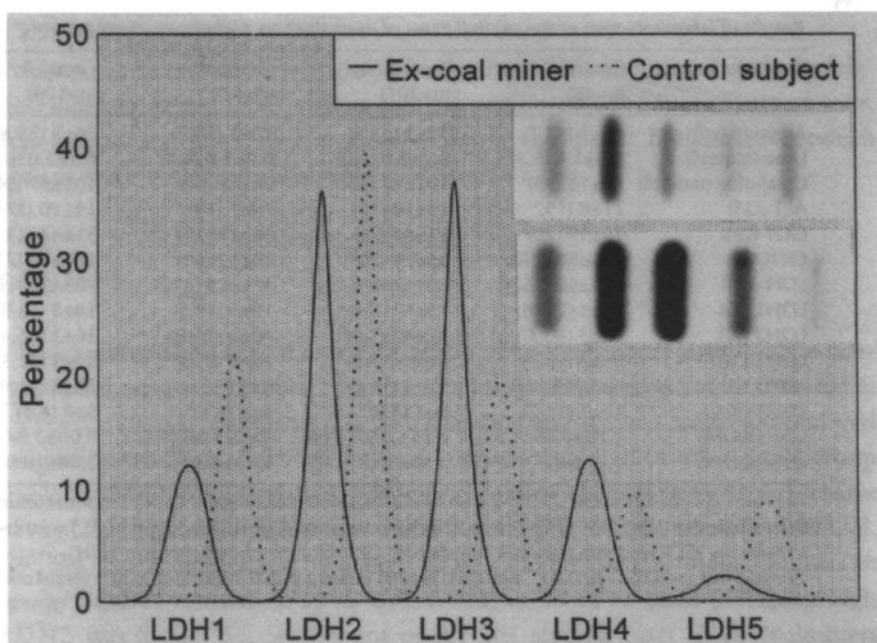
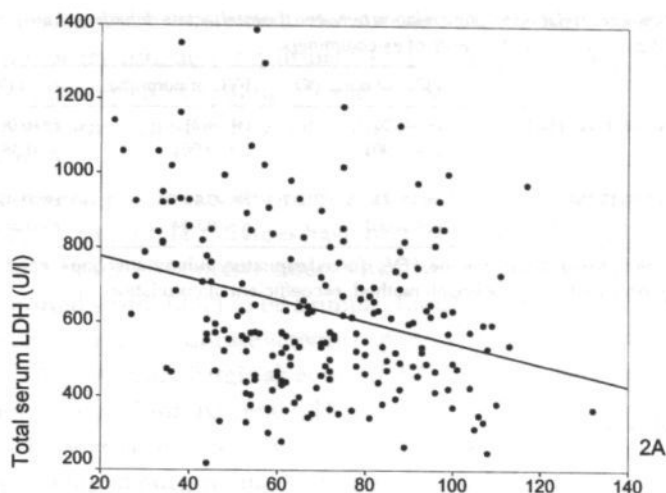


Figure 1. Lactate dehydrogenase isoenzyme (LDH) pattern of an ex-coalminer with a high LDH3 and a case with a normal isoenzyme pattern, visualized by electrophoresis and after scanning the gel at 600nm.

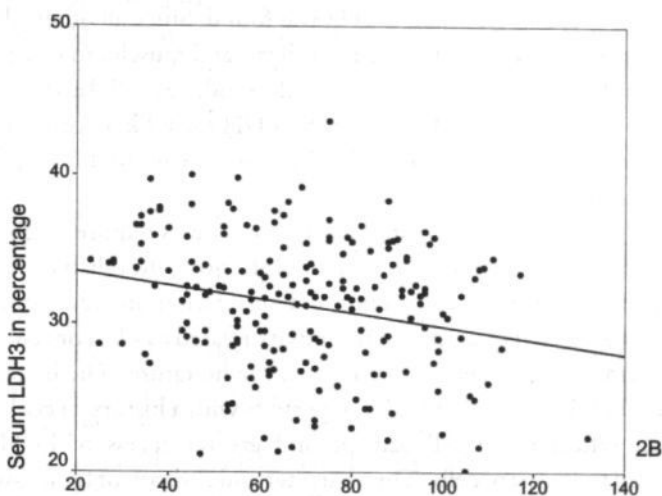
Table 3. Results of pulmonary function tests of the studied cases of ex-coalminers.

Flow volume (BTPS)	Total group (n=201)	Group I (n=42)	Group II (n=159)
FEV ₁ (l)	1.91 ± 0.69	2.16 ± 0.70	1.84 ± 0.67*
FEV ₁ of norm (%)	69 ± 22	75 ± 21	67 ± 22 [#]
FVC (l)	3.38 ± 0.78	3.65 ± 0.74	3.31 ± 0.78*
FVC of norm (%)	95 ± 19	98 ± 16	94 ± 19
FEV ₁ /FVC (%)	56 ± 14	58 ± 11	55 ± 15
	(n=190)	(n=40)	(n=150)
DCO (%)	68 ± 20	70 ± 21	68 ± 20

Data are expressed at mean ± SD. Group I: normal serum lactate dehydrogenase (LDH ≤ 450 U/l); Group II: increased serum LDH (LDH > 450 U/l). *p < 0.05 group I versus II, [#]p < 0.001 group I versus II. BTPS=body temperature and pressure, saturated with water vapour; FEV₁=forced expiratory volume after one second; FVC=forced expiratory volume; DCO=diffusion capacity measured by single breath method.



FEV₁: percentage of the norm



FEV₁: percentage of the norm

Figure 2.

Relation between the forced expiratory volume in one second (FEV₁) in percentage of the norm and serum lactate dehydrogenase isoenzyme (LDH) (2A) and relation between FEV₁ and percentage of LDH3 (2B) in the total group of ex-coalminers.



Table 4. *Pearson coefficient of correlation testing a relation between the total lactate dehydrogenase (LDH) activity in serum and pulmonary function tests of ex-coalminers.*

	FEV ₁ of norm (%)	FVC of norm (%)	DCO (%)
Correlation with total LDH (U/l)	r= -0.26 p < 0.001	r= -0.21 p < 0.001	r=-0.06 p=0.387
Correlation with LDH3 (%)	r= -0.23 p < 0.001	r= -0.19 p < 0.004	r=-0.07 p=0.361

p=p-value; FVC=forced expiratory volume; FEV₁=forced expiratory volume after one second; DCO=diffusion capacity measured by single breath method; r=coefficient of correlation.

DISCUSSION

In serum the percentage of LDH3 was high in almost all ex-coalminers (196 out of 201), whilst the total serum LDH activity was demonstrated to be high in 79% of the study population (159 out of 201). So, even in 88% of the ex-coalminers with a normal LDH activity, a high LDH3 was found. Since all other laboratory tests were normal (which excluded the liver, heart and muscles to be a potential source of serum LDH activity increase) and silica induces cell damage resulting in LDH release, these results indicate that the LDH most likely originates from the lung. Moreover, a negative relationship between the serum LDH3, as well as LDH activity, and the FEV₁ was found.

Adequate diagnosis of coal dust related respiratory effects requires evaluation of several influences on the respiratory system. Until now, no reliable clinical parameter has been identified to assess clinical deterioration including pulmonary damage. To our knowledge, serum LDH activity related to silica or coal dust exposure in human has not received emphasis in the literature. The increased activity of serum LDH, especially LDH3, in persons with a history of coal dust exposure, might reflect more cell damage and greater access of LDH to the circulation from pulmonary cells. The character and severity of lung tissue reaction to mineral dust is not predictable, because of differences in the variation in individual susceptibility that possibly involves immunologic mechanisms, lung structure, and/or clearance capacity [25]. However, higher cumulative dust exposure does not necessarily lead to higher profusion score on a chest radiograph. It is well documented that chest radiographs and high resolution computed tomography (HRCT) have value in the assessment of interstitial disease, but can-



not adequately differentiate the contribution of dust exposure as cause of the pulmonary function impairment [23]. In line with this, no differences were found between ex-coalminers with a normal chest radiograph versus those with an abnormal chest radiograph concerning the LDH activity and its isoenzyme pattern.

In several pulmonary disorders associated with cell death or cell damage, elevated serum LDH activities have been reported. De Remee [14] reported elevated serum LDH in five cases of interstitial pneumonitis. Matusiewicz *et al.* [8] reported serum LDH to be a simple, though non-specific test, which appears to reflect changes of disease activity in patients with cryptogenic fibrosing alveolitis (CFA), extrinsic allergic alveolitis (EAA) and hypersensitivity pneumonitis, but not in sarcoidosis. Recently, Drent *et al.* [22] described a similar increase of the LDH isoenzyme pattern in serum as well as in BALF obtained from a patient with a lipoid pneumonia. Moreover, a high LDH activity has been reported in *Pneumocystis carinii* pneumonia [26–28], pulmonary alveolar proteinosis [10] and desquamative interstitial pneumonitis [11,13,14]. So, several pulmonary disorders have been associated with elevated serum LDH activity. An increase in airway LDH activity might arise from diverse sources, including rupture (necrosis) of the airway and/or alveolar epithelial cells, AMs or other pulmonary cell types, increased flux of plasma derived LDH through an air/blood barrier rendered more permeable by pulmonary injury (*e.g.* oedema, haemorrhage), and elevated plasma LDH concentration resulting in an increased plasma/alveolus concentration gradient, with a consequent increased rate of passage of LDH across the air/blood barrier of a normal lung [16,29]. Thus, LDH might be released from injured cells of the lung into the pulmonary interstitium and alveoli or from damaged inflammatory cells that infiltrate the lung after treatment. However, less is known about the utility of the LDH isoenzyme pattern in the assessment of pulmonary function impairment.

The LDH isoenzyme pattern of the lung is characterized by proportionally high LDH3 and LDH4 compared to the normal serum isoenzyme pattern. A high serum LDH3 activity was reported just after pulmonary embolism [12]. Release of LDH3 from injured pulmonary parenchyme presumably produces the observed rise in serum LDH3 activity. However, during acute rejection of a pulmonary graft in man, serum LDH4 and LDH5 were increased [30]. Bansal *et al.* [17] found that AMs contained all five LDH isoenzymes, with LDH5 being the most prominent.



Animal studies confirmed observations that the intrapulmonary instillation of silica particles results in an immediate severe inflammatory reaction. This early structural damage was followed by reparative processes that were manifested by replication of epithelial cells, endothelial cells and fibroblastic cells [31]. Furthermore, a focal centrilobular necrosis of type I pneumocytes cells was accompanied by a fibrinous exudate. This focal necrosis was rapidly repaired by proliferation of type II pneumocyte cells. An increase in cuboidal cells after silica exposure was first described by Policard *et al.* [32]. At present, type II cell hyperplasia is generally regarded as the standard reparative reaction after type I cell injury. Melloni *et al.* [33] showed LDH release from AMs in supernatants *in vitro* after 24 h of incubation with mineral dust. The latter group found that AMs were an important source of factors that normally stimulate type II cell proliferation and that this proliferative activity was enhanced by *in vitro* silica exposure. The cytotoxicity of silica for AMs has been considered to be a major component in the development of fibrosis. Cell death induced the release of mediators participating in the inflammatory and fibrogenesis processes [34]. When AMs ingest silica, they release chemical attractants, thereby inducing the required amplification of macrophage production and release. This process is perpetuated by serial ingestion, cell killing, release of crystals and rephagocytosis [35–38]. This accounts for the continuously high output of AMs, even after a brief exposure of silica. Brown *et al.* [39] demonstrated that supernatants of human AMs stimulated with silica contained large amounts of apparent growth factor activity for human lung fibroblasts. Since all miners in our study were retired, acute effects of coal dust exposure were excluded; repeated ingestion of old silica particles, still present in the lung, giving cell death even after more than 20 years, seems to be the cause of the elevated serum LDH activity in this group of retired miners. Bowden *et al.* [40] studied the fibroblast proliferation of silica-stimulated human AMs *in vivo*. By determination of hydroxyproline, they found a significant increase in collagen. Taken in conjunction with the morphological data, it is reasonable to conclude that as the cellular granulomas subside, the residuum of collagen becomes incorporated into the peribronchiolar connective tissue. The localization of this excess of collagen, and the absence of significant scarring on the walls of peripheral air sacs, may account for the remarkable paucity of symptoms in many of the people who are exposed to silica, and for the poor correlation between the radiologic demonstration of peribronchial scars and the lack of significant impairment of pulmonary function. Moreover, simple mineral dust



exposure, in the absence of smoking, pneumoconiosis or both, did not increase the prevalence of emphysema, seen by HRCT [41].

In agreement with Dubar *et al.* [42], we did not find differences between smokers and non-smokers. The latter group [42] studied the immediate effect of cigarette smoke on cell injury, on cell viability and cytokine secretion by AMs from guinea pigs and healthy human subjects. They measured LDH release in a culture medium after smoke exposure together with measurement of IL-6 and TNF- α activities. The release of LDH from AMs in the culture medium was unchanged both immediately after tobacco smoke exposure and at the time of the cytokine evaluation (18–20 h later). They demonstrated that the exposure to tobacco smoke produced significant changes in the AM secretory function without alterations of the cell viability. A study which compared BALF of non-smokers versus light and heavy smokers showed no differences in release of LDH by AMs [43]. We also found no differences between the LDH activity in BALF between smokers and non-smokers [44]. Despite alterations of cell function, smoking causes no cell damage or death reflected by LDH release and elevated serum LDH activity.

In conclusion, coal dust exposure, even many years after the actual exposure, is reflected by an increase in the total serum LDH activity, mainly characterized by percentage of LDH3. Since all other liver function test were within normal limits, and, moreover, coal dust exposure induces pulmonary cell damage resulting in LDH release, these results indicate that the increased LDH originates from the lung. The total LDH as well as the LDH3 were found to be related to FEV₁ impairment in ex-coalminers. Future studies should focus on the LDH activity and its isoenzyme pattern in other relevant pulmonary disorders like pulmonary emphysema and should aim to clarify the clinical relevance, especially whether LDH could be a promising parameter in monitoring pulmonary damage. Moreover, BALF analysis should be performed in a comparable population to confirm the results of the present study.

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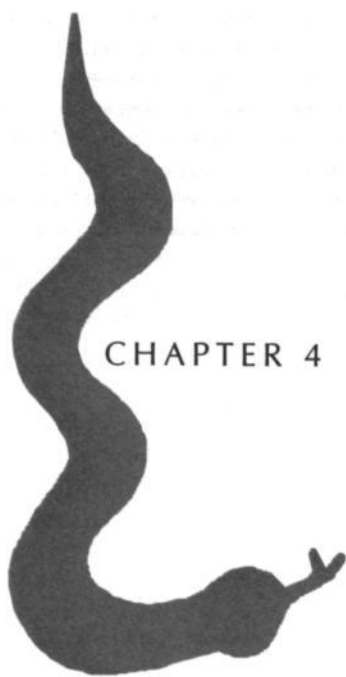
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CHAPTER 4

Serum β -glucuronidase activity in a population of ex-coalminers

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Submitted

ABSTRACT

Beta-glucuronidase (BGD), a lysosomal enzyme, is released into the circulation after phagocytosis/inflammation or cell death. Previously, we found an increase in the total serum LDH activity and changes in the LDH isoenzyme pattern after coal dust exposure. The aim of this study was to investigate whether BGD activity is of additional value in the assessment of pulmonary inflammation caused by coal dust exposure.

Ex-coalminers ($n=191$, all male; age 72 ± 6 years) who were invited to our hospital for a medical check-up - with a history of coal dust exposure of more than 20 years ago - were included in this study. Forty-eight healthy subjects (all male; age 58 ± 13 years) - without a relevant medical history - were used as controls.

In ex-coalminers serum BGD activity was higher (1.008 ± 0.784 U/l) compared to the control group (0.416 ± 0.541 U/l, $p<0.02$), even in those subjects with a normal serum LDH ($n=39$; 0.860 ± 0.548 U/l, $p<0.05$). Moreover, ex-coalminers with a normal chest radiograph ($n=49$) demonstrated elevated serum BGD (0.809 ± 0.510 U/l, $p<0.05$) compared to the control group. However, no relation was found in the total group of ex-coalminers between serum BGD activity and pulmonary function parameters.

In conclusion, the serum BGD activity was increased in ex-coalminers, even in those subjects with a normal serum LDH activity, as well as in those with a normal chest radiograph. Our study adds *in vivo* human evidence to the already existing animal data that BGD is a potential biomarker useful in monitoring pulmonary inflammation caused by coal dust exposure.

INTRODUCTION

Coal workers' pneumoconiosis (CWP) is a chronic inflammatory and fibrotic lung disease caused by prolonged exposure to coal dust [1-5]. Coal dust exposure results in activation of phagocytic alveolar macrophages (AMs) followed by an acute inflammatory response, damage to the respiratory epithelial cells and interstitial matrix [6]. The cytotoxic activity of coal dust was found to be related to damage of cellular membranes, impaired host defence mechanisms and release of reactive oxygen compounds, hydrolytic enzymes and other inflammatory mediators. These processes may participate in the development of chronic lung

inflammation and fibrosis [4,5,7]. It is now evident that CWP can become apparent or progress further because of the persistent cytotoxic effect of silica, an important component of coal dust, even after cessation of exposure [2].

To characterize the nature and extent of coal dust induced airway injury there is a need for biomarkers [8,9]. Biomarkers include markers of exposure to external influences, markers of susceptibility to develop a specific disease and markers of pathophysiological changes related to the disease [9,10]. Biomarkers of exposure are important, in particular, if environmental or biological factors are studied, *e.g.* in case of occupational exposure. However, they are of less importance in monitoring disease activity. A biomarker of susceptibility may reveal why some coalminers are at risk of developing CWP and others are not. The potential of many cell mediators as biomarkers *e.g.*, Clara cell protein (CC-16) [11], surfactant associated protein [12], antioxidants and several cytokines [13,14] has been raised many times, but pathognomic criteria or "a golden standard" for monitoring the effect of coal dust exposure does not exist. Therefore, searching for other parameters useful to monitor exposure effects is still of benefit.

Previously, the authors demonstrated an increase in lactate dehydrogenase (LDH) and changes of the LDH isoenzyme pattern in serum of ex-coalminers [15]. Phagocytic cells, such as AMs and polymorphonuclear neutrophils (PMNs), help to clear the lung of inhaled particles, including inorganic dusts. Dust particles directly or indirectly stimulate these cells to release the earlier mentioned mediators. The increase of lysosomal enzymes appeared to be useful in monitoring phagocytic activity or lysis of phagocytic cells [16,17]. Beta-glucuronidase (BGD) was found to be increased in bronchoalveolar lavage fluid (BALF) of animals after instillation of respirable pneumotoxics [16-21]. However, the only human study using BGD as a marker of inflammation did not find an increase of BGD in BALF after a short period of ozone exposure [22]. In contrast, Thompson *et al.* found an increase of serum angiotensin-converting enzyme (ACE) and lysozyme, other lysosomal enzymes, after coal dust or silica exposure [23].

Consistent with the concept that inhalation of pneumotoxics results in the presence of activated inflammatory cells, we hypothesized that BGD could be considered as a biochemical marker of the inflammatory response caused by coal dust. Therefore, the aim of this study was to examine the serum BGD activity in ex-coalminers compared to non-exposed individuals. Furthermore, the relationship between BGD activity in serum with other clinical parameters was

studied. Particularly, the question, whether the BGD increase was linked with a LDH increase or appeared independently, was evaluated.

METHODS

STUDY POPULATION

The study was performed within a population of ex-coalminers ($n=191$, all male). These ex-coalminers were invited for a medical check-up. The ex-coalminers were not selected, nor were actual complaints reason for their visit to the outdoor patient department. All had a history of coal dust exposure, more than 20 years ago. Their medical history revealed no other relevant pulmonary disorders. The majority of the ex-coalminers ($n=134$) were smokers, with a smoking history of many years. Only 14 were non-smokers, whereas of 43 ex-coalminers the smoking status was unknown (for personal characteristics, see table 1). The chest radiograph was classified as normal in 49 ex-coalminers. The chest radiograph was classified as abnormal ($n=142$) showing abnormalities varying between few nodules, normal lung markings visible and numerous opacities, and normal markings totally obscured.

A group of 48 healthy control subjects, all male (age 58 ± 13 yrs, 15 smokers and 33 non-smokers) - without a relevant medical history - was used to assess reference values of serum BGD, LDH activities and its isoenzyme pattern, total protein, albumin, urea, creatinine, gamma-glutamyl transferase (GGT), alanine amino transferase (ALT) and creatine kinase (CK).

PULMONARY FUNCTION TESTS

Pulmonary function tests were assessed. Forced expiratory volume capacity (FVC) and forced expiratory volume in one second (FEV_1) were determined using a pneumotachograph (Jaeger, Masterlab, Wuerzburg, Germany). Diffusion capacity (DCO) was obtained by the single breath method and corrected for haemoglobin (Jaeger, Masterlab, Wuerzburg, Germany). The reference values for each subject, based on sex, age and height, were obtained from standard formula [24]. Data were expressed as percentages of the reference values.

LABORATORY TESTS

Blood samples were taken and serum was obtained after routine centrifugation (12 minutes, 2000g). Serum was stored frozen at -70°C until actual measurement. The activity of BGD was measured at 37°C , using p-nitrophenyl- β -D-glucuronide (4.68 mM) in 85 mM acetate buffer of pH 4.5, as a substrate. The product of the enzymatic hydrolysis is p-nitrophenol, which has a strong yellow colour in basic solutions due to the absorbance of light at a wavelength of 405 nm. The assay was run in an acetate buffer, pH 4.5, and the incubations were stopped at 30 minutes by the addition of a strong base (0.5 M sodium hydroxide) to develop the colour. The assay was run on an automatic plate reader (Cambridge 7520 Microplate Reader, Cambridge Technology, Inc, Watertown, MA, USA).

The LDH activity was measured at 37°C by an enzymatic rate method, using pyruvate as a substrate. The test was performed on a Beckman Synchron CX-7 system with Beckman reagents (testkit 442660) and was optimized according to the recommendations of the Deutsche Gesellschaft für Klinische Chemie (DGKC-recommendations) [25]. The system monitors the reduction of pyruvate to L-lactate with the concurrent oxidation of β -nicotinamide adenine dinucleotide (NADH; reduced form) at 340 nm. The change in absorbance at 340 nm, caused by the disappearance of NADH is measured over a fixed time interval and is directly proportional to the LDH activity. LDH activity is expressed in micromoles of substrate (pyruvate) converted per minute (U), per litre serum at 37°C . The measuring range is 10–1800 U/l, for concentrations of 1800–3800 U/l the samples were automatically diluted with saline and re-analysed and for higher concentrations manual dilution was required. For the determination of the LDH isoenzymes the Beckman Paragon Lactate Dehydrogenase Electrophoresis Kit was used (testkit No 655940, Beckman Instruments Inc, Mijdrecht, The Netherlands). Electrophoresis and scanning of the gels were performed with the Beckman Appraise System (Beckman Instruments Inc, Mijdrecht, The Netherlands).

Serum samples were also analysed for urea, total protein, albumin, GGT, ALT and CK and were determined on a Synchron CX-7 analyser (Beckman Instruments Inc, USA, California), using testkits from Beckman Instruments Inc.

STATISTICAL ANALYSIS

The significance of differences concerning personal characteristics, laboratory and pulmonary function parameters was tested using Student's *t*-test for continuous data and χ^2 tests for categorical data. For comparing the group of ex-coalminers with the healthy control group, with respect to laboratory and pulmonary function parameters, a Student's *t*-test or ANCOVA (age as covariate) was employed. Mann-Whitney *U*-test was used to compare ex-coalminers with normal and ex-coalminers with abnormal chest radiograph. Pearson correlation coefficients were used to test a relation, between serum LDH and BGD activity on the one hand and other laboratory parameters and the performed pulmonary function tests on the other. In addition, Pearson correlation was also used to assess the relationship between serum BGD and LDH. To analyse the association of BGD and LDH with other parameters, multiple regression analysis (stepwise) was performed using serum BGD and serum LDH activity as the dependent variables. For not normally distributed variables log transformations were done. Personal characteristics were entered blockwise before entering laboratory and pulmonary function parameters. A *p*-value of less than 0.05 was considered to be significant. All analyses were performed using the Statistical Package for Social Science (SPSS).

RESULTS

The characteristics of the studied population of ex-coalminers (*n*=191), are summarized in table 1. The laboratory data of the studied group of ex-coalminers, as well as reference values obtained from the healthy subjects, are presented in table 2. Serum BGD activity (1.008 ± 0.784 U/l; *F*(1,236)=6.1, *p*<0.02, figure 1) and serum LDH activity (633 ± 247 U/l; *F*(1,236)=30.24, *p*<0.001) appeared to be elevated in the group of ex-coalminers. In the population of ex-coalminers only a moderate correlation between the serum BGD and LDH activity (*r*=0.17, *p*<0.02), as well as the percentage of LDH3 (*r*=0.17, *p*<0.02) was found. No correlation was found with the other LDH isoenzymes. Serum BGD and LDH activity did not differ between smokers and non-smokers in the group of ex-coalminers, or in the control group. Furthermore, no relation was demonstrated between serum BGD activity and the pulmonary function pa-

Table 1.

Characteristics and pulmonary function parameters of the studied population of ex-coalminers and available data of the non-exposed control group.

	Ex-coalminers (n=191)	Controls (n=48)
age (years)	72±6 (70.6-72.6)	58±13 (54.1-61.5)**
weight (kg)	76±13 (74.0-77.6)	81±10 (78.4-84.4)*
height (cm)	170±7 (168.9-171.0)	182±12 (178.3-185.2)**
years underground	26±7 (24.5-27.0)	0**
smoking (pack years) ^a	30±16 (27.4-33.0)	24±17 (16.8-30.5)**
FEV ₁ of norm (%)	69±22 (66.1-72.5)	not done
FVC of norm (%)	95±19 (92.1-97.6)	not done
	n=178	
DCO (%)	69±20 (66.0-71.9)	not done

Data are expressed as mean ± SD and range in 95% confidence intervals in parentheses. Pulmonary function tests are expressed in body temperature and pressure, saturated with water vapour (BTPS): FEV₁=forced expiratory volume in one second; FVC=forced expiratory volume; DCO=diffusion capacity measured by single breath method. ^apack years of smokers, *p<0.005 and **p<0.0001 controls versus population of ex-coalminers.

Table 2.

Laboratory data of the studied population of ex-coalminers and non-exposed controls.

	Ex-coalminers (n=191)	Controls (n=48)
Albumin (g/l)	39±3 (38.1-39.1)	44±2 (43.0-44.3)**
Creatinine (mmol/l)	98±21 (94.6-100.6)	97±18 (92.8-103.2)
ALT (U/l)	19±10 (18.2-21.4)	21±8 (18.6-23.2)
GGT (U/l)	29±28 (25.3-33.2)	25±19 (20.1-30.8)
CK (U/l)	93±52 (85.3-100.6)	143±50 (130.1-159.7) [§]
BGD (U/l)	1.008±0.784 (0.890-1.140)	0.416±0.541 (0.259-0.573) [§]
LDH (U/l)	633±247 (597.3-667.7)	359±50 (365.9-377.0)*

Data are expressed as mean±SD and range in 95% confidence intervals in parentheses. ALT=alanine amino transferase; GGT=gamma-glutamyl transferase; CK=creatine kinase; BGD=β-glucuronidase. ANOVA (corrected for age): *F(1,236)=30.24, p<0.001, **F(1,236)=36.31, p<0.001, [§]F(1,236)=18.93, p<0.001 and [§]F(1,236)=6.1, p<0.02 versus population of ex-coalminers.

rameters given in table 1. Only a moderate negative correlation between the serum BGD activity and the FVC (r=-0.15, p<0.05) was found.

In the group of ex-coalminers with a normal chest radiograph (n=49), the serum BGD (0.809±0.510 U/l; F(1,76)=4.76, p<0.05) and serum LDH activity (659±233 U/l; F(1,78)=18.25, p<0.001) were significantly increased compared to the control group (table 2). When comparing the group of ex-coalminers with a normal chest radiograph and the group with an abnormal chest radio-

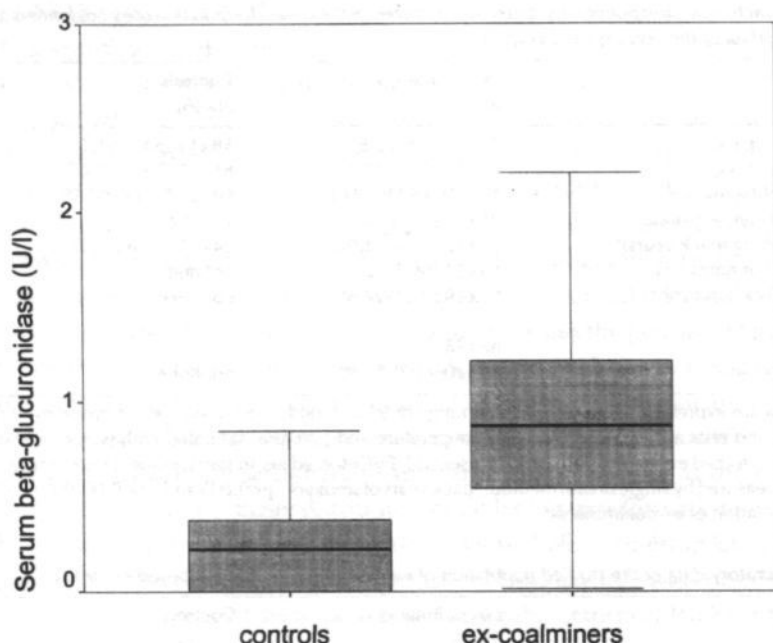


Figure 1.

Box-plot of serum β -glucuronidase activity in the group of ex-coalminers and in healthy control subjects; ex-coalminers versus controls: $p < 0.02$.

graph ($n=142$), no statistically significant differences were found in pulmonary function parameters, except for the DCO ($U=914.5$, $p < 0.02$), which was lower in the group with an abnormal chest radiograph. In a group with normal serum LDH activity ($n=39$) the serum BGD activity (0.860 ± 0.548 U/l; $F(1,84)=6.60$, $p < 0.05$) was also elevated compared to the control group.

To further analyse the association between serum BGD, LDH activity and pulmonary function and laboratory tests, multiple regression analysis was performed using BGD and LDH as dependent variables. The personal characteristics were forced blockwise into the equation. Within each block the variables were entered stepwise. After having controlled for these variables, the pulmonary function and laboratory variables were entered into the equation. No predicting factor was found in the control group for the serum BGD activity. In the total population of ex-coalminers only the FVC ($\beta = -0.28$, $R^2 = 7.7\%$;

$F(1,90)=7.5, p<0.01$) explained a proportion of the variance in BGD. No predicting variables for the dependent variable LDH were found in the total population of ex-coalminers.

DISCUSSION

To the best of our knowledge, this study is the first to describe a significant increase in serum BGD activity in a group of ex-coalminers compared to a non-exposed control group. The results suggest that exposure to coal dust is associated with elevated serum BGD activity. Even in the group of ex-coalminers with normal serum LDH activity or a normal chest radiograph, a high serum BGD activity was found. All other laboratory tests were normal, which highly likely excluded the liver, heart and muscles to be a potential source of increased serum BGD activity. Together with the knowledge that coal dust induces continuous phagocytosis and pulmonary cell damage resulting in BGD release, these results indicate that BGD activity in the studied ex-coalminers most likely originates from the lung.

The exact source of BGD activity in ex-coalminers was not directly addressed by the data in this investigation but can be speculated on. Beta-glucuronidase is known to be a membrane bound lysosomal enzyme, necessary in the hydrolysis of glucuronides, localized in the endoplasmic reticulum and in lysosomes [26]. Increased phagocytic activity of AMs and PMNs, and damage to alveolar capillary barrier are reflected by an increase of BGD and LDH activities, as well as increased protein concentrations in BALF [27,28]. Several animal studies associated with pulmonary cell inflammation or damage reported elevated activity of BGD in BALF after instillation of fibrogenic and non-fibrogenic particles [7,16,20,29-34]. Henderson *et al.* [32] evaluated the role of the PMNs in the inflammatory response of the lung to quartz in rats with and without depletion of blood leucocytes. Neutrophil depletion did not affect the BALF activity of BGD. These results suggest that AMs but not neutrophils are the most likely source of increased BGD activity in response to quartz [32]. However, other sources - such as epithelial cells, fibroblasts and type II pneumocytes - have to be considered. The creatine kinase - although within normal ranges - was higher in the control group than in the group of ex-coalminers, which is in line with the fact that muscles are not the potential source of the increased BGD activity in the

studied ex-coalminers. Consistent with other investigators [22,23], smoking history did not correlate with lysozymal activity in the studied ex-coalminers or in the control subjects, suggesting that the increase of serum BGD was not a smoking effect.

In the present study, the serum BGD activity was found to be elevated, even in the group of ex-coalminers with normal serum LDH activity. This could be explained by the fact that BGD only indicates a reaction of AMs to a certain pneumotoxicant but does not reflect the effect of this reaction to the lung parenchyma. The enzyme BGD can be released from inflammatory, phagocytotic cells, already before the actual lysis of the cell [35,36]. In contrast, LDH is released only after cell death induced by various mediators, which might be responsible for coherent functional impairment [31,36]. With this knowledge, it can be hypothesized that the serum BGD activity is a conceivable marker for activation of AMs induced by coal dust exposure. In contrast to serum LDH activity, no correlation was found between serum BGD activity and the studied clinical parameters, which indicates that serum BGD, at first sight, is not a marker of effect.

Coal workers' pneumoconiosis, unless following a benign course, is complicated by a chronic inflammatory response and progressive massive fibrosis, caused by prolonged exposure to coal dust. However, chronic inhalation of coal dust may also cause other respiratory effects such as emphysema, chronic bronchitis and airflow obstruction [37], which might account for the functional impairment as well. Moreover, it is tempting to speculate, as in other pulmonary disorders, that a genetic predisposition is involved which might explain the various reactions of exposed individuals. Previously, the significance of oxidative stress in the development of mineral dust-related respiratory disorders has received special attention. Since antioxidant status has also been related to obstructive disease, it was suggested that the impaired oxidant/antioxidant balance observed in coal workers may also play a role in the non-pneumoconiotic respiratory effects in these subjects [10,13]. Furthermore, the character and severity of lung tissue reaction to mineral dust is not predictable [37,38]. Higher cumulative dust exposure does not necessarily lead to a higher profusion score on a chest radiograph [39-41]. Schins *et al.* did find a difference in serum TNF-R75 between a retired group of ex-coalminers and controls, but demonstrated no relation between the severity of pneumoconiosis defined by conventional chest radiograph or by high resolution computed tomography (HRCT) and

plasma levels of cytokines, like TNF-R75 [5]. In line with this, we did not find differences in BGD and LDH activity between ex-coalminers with a normal and those with an abnormal chest radiograph. Furthermore, we already found a significantly elevated serum BGD activity in the group of ex-coalminers with normal chest radiograph compared to controls indicating that the increased BGD activity might reflect the intensity of inflammation in subjects after exposure to coal dust. We realize that the results of this study should be interpreted with care. In particular, the coincidence of chronic obstructive pulmonary disorders could be evaluated more carefully.

In conclusion, in this study a significant increase in serum BGD activity was demonstrated after coal dust exposure even in those subjects with a normal serum LDH activity and normal chest radiograph. Our data add in vivo human evidence to the already existing animal data that BGD is of potential practical value in monitoring pulmonary inflammation caused by mineral dust. To determine the significance of BGD measurement with regard to the development or progression of CWP a longitudinal design is necessary. Further studies should focus on the BGD activity in serum as well as in BALF to illuminate its usefulness in monitoring pulmonary inflammation in addition to other biomarkers.

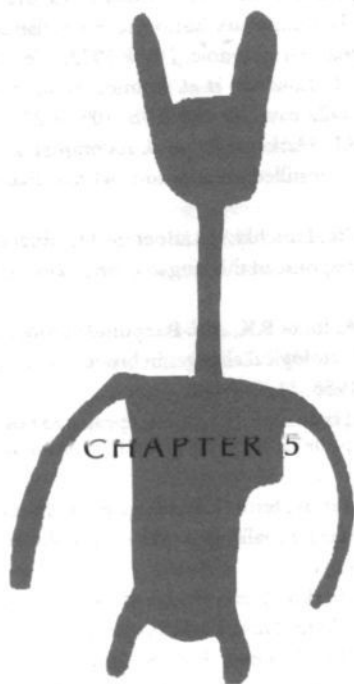
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CHAPTER 5





Diagnostic value of lactate dehydrogenase isoenzyme pattern in pleural effusions

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**ABSTRACT**

Lactate dehydrogenase (LDH) isoenzymes have been used to classify the nature of pleural effusions. Nevertheless, studies have reported conflicting results. The objective of this study was to evaluate the diagnostic value of the LDH isoenzymes in analysis of pleural effusions.

Pleural fluid samples obtained from three respective diagnostic groups: group I transudative effusions ($n=23$), group II parapneumonic effusions ($n=29$) and group III malignant effusions or pleuritis carcinomatosa ($n=41$) were evaluated. Total LDH activity and LDH isoenzyme pattern were significantly different between transudative (group I) and exudative (group II and III) effusions. Group II and III showed a low percentage of LDH1 ($p<0.001$), whereas the percentages of LDH4 ($p<0.001$) and LDH5 ($p<0.001$) were higher compared to group I. Moreover, in exudative effusions the percentages of LDH1 ($p<0.005$), LDH4 ($p<0.005$), as well as LDH5 ($p<0.005$) were significantly different between parapneumonic and malignant effusions. In contrast to the percentages, the absolute values of LDH isoenzymes did not differ between group II and group III. Logistic regression analysis yielded a strong discrimination between group I and II+III, simultaneously using LDH, glucose and protein as explanatory variables. Logistic regression analysis yielded only a weak discrimination between group II and III, by simultaneously using LDH, glucose and the absolute values of LDH2 and LDH4 as explanatory variables.

In conclusion, the LDH isoenzyme pattern differed between pleural effusions of transudative and exudative origin. However, including the LDH isoenzyme activities in the biochemical work-up of pleural effusions did not reveal an additional discriminatory value in the assessment of the classification of these effusions.

INTRODUCTION

Pleural effusions have classically been divided into transudates and exudates. The pleural fluid LDH activity has among others, been used in the analysis of pleural effusions especially, to discriminate transudates from exudates [1-6]. However, total LDH activity in the pleural fluid is of little value in the discrimination of various types of exudative effusions such as malignant from non-malignant effu-



sions [1,3-9]. Cytoplasmic, cellular enzymes, such as LDH in the extracellular space are suggestive indicators for disturbances of the cellular integrity induced by pathological conditions. As LDH is present in essentially all major organ systems [10-12], LDH measurement is a sensitive, but rather non-specific test. The concentration of the pleural fluid LDH is a reliable indicator of pleural inflammation [14,15]. Even though the total pleural fluid LDH activity is not useful in distinguishing among various exudative pleural effusions, one might suppose that LDH isoenzymes could be of additional value in the differentiation [13]. Only few studies report on the analysis of LDH isoenzymes in pleural effusions and the results have been conflicting [9,10,16,17].

The aim of this study was to evaluate the possible diagnostic value of LDH isoenzymes in the analysis of pleural effusions, especially in the differentiation between parapneumonic (effusions caused by a pneumonic infection with negative bacterial cultures of the pleural effusions) and malignant effusions (effusions caused by malignant involvement of the pleura).

MATERIAL AND METHODS

PATIENTS

During a 2-year period, prospectively all patients referred to the pulmonary ward because of pleural effusion diagnosis were studied ($n=135$; age 66.2 ± 14.9 years). For this study, only diagnostic thoracenteses were considered, and, when more than one was performed only data of the first were studied. On all pleural fluid samples, the following analyses were performed: glucose, protein, LDH, LDH isoenzymes, cell count, amylase, bacterial and fungal culture, acid-fast bacilli smear and culture and cytology. Simultaneously, a sample of serum was obtained to measure biochemical properties. The pleural effusions were individually classified as transudate or exudate after careful evaluation of all clinical and biochemical data with respect to the criteria of Light [15]. According to Light, exudative pleural effusions meet at least one of the following criteria, whereas transudative effusions meet none:

1. pleural fluid protein divided by serum protein greater than 0.5,
2. pleural fluid LDH divided by serum LDH greater than 0.6,
3. pleural fluid LDH greater than two-thirds the upper limit of normal for serum LDH.



The following were excluded for this study: effusions of undetermined origin, effusions with more than one possible cause, empyemas, tuberculosis and haemothorax. Out of the exudative effusions, parapneumonic and malignant effusions were selected. The diagnosis was based on biochemical, cytologic and bacteriologic examination of the fluid. So, finally 93 cases were used for the present study. An effusion was considered parapneumonic when this effusion was associated with a pneumonia, pulmonary abscess, or bronchiectasis and when the pleural fluid demonstrated a predominance of polymorphonuclear leucocytes, but negative bacterial cultures. An effusion was considered malignant when malignant cells were demonstrated in the pleural fluid, pleural biopsy specimen, or at autopsy. Other causes of effusions were excluded.

CONTROLS

A group of 48 healthy control subjects (age 58 ± 13 years), without relevant medical history, was chosen to assess reference values of serum LDH and its isoenzymes. Serum values of LDH, gamma-glutamyl transferase (GGT), alanine amino transferase (ALT), creatine phosphokinase (CK), creatinine and protein were within normal ranges.

LABORATORY TESTS

The pleural fluid was immediately centrifuged, or if necessary, stored at 4°C and centrifuged within 2 hours at 1000g for 5 minutes. The supernatant was collected and the LDH activity was measured on a Beckman Synchron CX-7 system (testkit No 442660) according to the recommendations of the Deutsche Gesellschaft für Klinische Chemie (DGKC-recommendations). The reference ranges for serum LDH are 200–450 U/l. For determination of the LDH isoenzymes the Beckman Paragon LDH Electrophoresis Kit was used (testkit No 655940, Beckman Instruments Inc, Mijdrecht, The Netherlands). Electrophoresis and scanning of the gels were performed with the Beckman Appraise System (Beckman Instruments Inc, Mijdrecht, The Netherlands).

STATISTICAL METHODS

Data are expressed as mean \pm SD. In order to detect statistically significant differences between the three patient groups, for each of the discriminatory variables separately, data were analysed by the Kruskal-Wallis one-way analysis of variance (ANOVA) test. The Mann-Whitney *U* test was used for pairwise comparison.



sons. Because 10 comparisons were made, a probability value smaller than 0.05/10 being 0.005 was considered statistically significant (Bonferroni's correction).

Logistic regression analysis was used to test the discriminatory effect of explanatory variables simultaneously. Primary interest was to discriminate transudative effusions (group I) from exudative effusions (group II and III combined); second interest was in discriminating parapneumonic effusions (group II) from malignant effusions (group III). In these analyses likelihood ratios (LR) were used; variables with a significance larger than 10% were left out of the logistic regression models. The results are presented by means of log odds ratios, observed versus predicted group membership, and receiver operating characteristics curves [18]. For discriminating group II from group III, predicted probabilities are calculated per quartile of one explanatory variable, adjusted for the other explanatory variables in the logistic regression model by putting them on their mean value [19].

RESULTS

Of the 93 patients finally studied, 23 of the obtained pleural effusions were classified as transudates (group I), 29 as parapneumonic effusions (group II) and 41 as malignant effusions (group III). Some biochemical properties are detailed in table 1. Serum LDH did not show statistically significant differences between the three groups. The pleural fluid LDH isoenzymes in patients with transudative pleural effusions was similar to their serum isoenzyme pattern and not significantly different from a normal control group (tables 1 and 2). The pleural fluid to serum LDH activity ratio was 0.35 ± 0.09 in group I, 3.40 ± 5.38 in group II, and 3.40 ± 6.38 in group III. The mean pleural fluid LDH isoenzyme percentages are shown in table 1. The mean percentage LDH1 was significantly higher in group I as compared to both group II ($p < 0.005$) and III ($p < 0.005$), as well as group II compared to group III ($p < 0.005$). The mean percentage LDH4, as well as LDH5 were significantly higher in group III as compared to both group II ($p < 0.005$) and I ($p < 0.001$), as well as group III compared to group II ($p < 0.005$). The mean pleural fluid LDH isoenzyme absolute concentrations showed statistically significant differences between the transudative effusions (group I) and



Table 1. - Biochemical characteristics of the pleural effusions, lactate dehydrogenase (LDH) isoenzymes as a percentage of the total pleural effusion LDH obtained from the studied groups, as well as in serum obtained from a healthy control group.

	n	Leucocytes (10 ⁹ /l)	Glucose (mmol/l)	Protein (g/l)	LDH (U/l)	LDH1 (%)	LDH2 (%)	LDH3 (%)	LDH4 (%)	LDH5 (%)
Controls [#]	48				359±50 367 (219-475)	21.2±3.4 20.9 (14.0-27.7)	39.7±2.5 40.3 (33.8-44.1)	18.6±1.9 18.7 (13.8-23.2)	8.7±1.4 8.8 (6.2-11.5)	11.8±3.2 11.7 (3.6-23.3)
Transudative effusions (I)	23	1.1±1.7* 0.6 (0.1-7.5)	7.5±1.8* 7.2 (5.4-12.3)	19.5±7.2*** 19.7 (6.9-32.4)	164±41*** 178 (43-233)	33.6±20.0*** 25.7 (6.7-79.2)	28.6±9.5* 30.8 (12.1-43.3)	15.6±6.0 16.7 (2.3-27.1)	11.4±6.8** 11.6 (3.1-23.9)	10.8±8.8** 8.7 (0.6-29.6)
Parapneumonic effusions (II)	29	2.9±3.3 1.5 (0.2-13.0)	6.6±3.4 6.0 (1.0-16.3)	39.3±14.3 37.7 (18.6-73.8)	4326±17338 482 (123-94150)	18.0±16.3 12.8 (1.2-60.3)	25.8±12.2 25.8 (7.9-56.2)	18.8±8.1 17.0 (6.6-40.6)	15.2±8.1 15.5 (1.4-28.1)	21.9±19.1 19.8 (1.3-67.6)
Malignant effusions (III)	41	7.1±22.1* 1.0 (0.1-101.0)	5.5±2.6 5.7 (0.7-13.5)	42.4±9.6 42.1 (22.0-74.6)	1361±2502 776 (210-14796)	11.7±7.4** 10.6 (2.3-29.4)	23.6±10.6 21.2 (5.9-47.6)	19.0±8.3 16.5 (5.4-43.2)	19.2±8.3** 21.2 (2.0-31.3)	26.6±17.1** 24.8 (1.8-66.2)
p-value [§]	NS	<0.003	<0.0001	<0.001	<0.0001	NS	NS	<0.0006	<0.006	<0.006

Data are expressed as mean ± standard deviation and median with range in parentheses. [#]serum LDH and its LDH isoenzyme pattern of the healthy control subjects. ^{*}Kruskal-Wallis ANOVA test; p-value <0.005 statistically significant (Bonferroni's correction). ^{*}p<0.01 Mann-Whitney versus group II, ^{**}p<0.005 Mann-Whitney versus group II. [†]p<0.005 Mann-Whitney versus group III, ^{††}p<0.001 Mann-Whitney versus group III.



Table 2. - Lactate dehydrogenase (LDH) activity in serum, LDH and the LDH isoenzyme activity in the pleural effusions obtained from the studied groups, as well as the normal serum values of a healthy control group.

	n	Sera	Pleural effusions					LDH5 (U/l)
		LDH (U/l)	LDH (U/l)	LDH1 (U/l)	LDH2 (U/l)	LDH3 (U/l)	LDH4 (U/l)	
Controls	48	359±50 367(219-475)						
Transudative effusions (I)	23	485±110 449 (298-665)	164±41** 178 (43-233)	56±33** 46 (13-109)	48±21** 45 (15-82)	22±13** 26 (3-53)	18±12** 17 (6-47)	16±16* 14 (1-53)
Parapneumonic effusions (II)	29	497±356 380 (282-1857)	4326±17338 482 (123-94150)	118±212 71 (25-1130)	465±1523 138 (38-8191)	660±2487 17 (18-13275)	1154±4973 63 (2-26456)	1991±8480 70 (3-45097)
Malignant effusions (III)	41	564±78 422 (236-2886)	1361±2502 776 (210-14796)	137±305 68 (30-1775)	278±495 161 (57-2885)	293±653 130 (29-3728)	320±609 175 (17-3388)	403±605 192 (7-3018)
p-value [§]		NS	<0.0001	0.05	<0.0001	<0.0001	<0.0001	<0.0001

Data are expressed as mean ± standard deviation and median with range in parenthesis. [§]Kruskal-Wallis ANOVA test; p-value <0.005 statistically significant (Bonferroni's correction). *p<0.005 Mann-Whitney versus group II, **p<0.001 Mann-Whitney versus group II. +p<0.001 Mann-Whitney versus group III.



Table 3. Logistic regression analysis for discriminating between group I (transudative effusions; $n=22$) and groups II (parapneumonic effusions) + III (malignant effusions) combined ($n=61$). Results are expressed as log odds ratios of groups II+III versus group I per unit increase of the explanatory variables (10 missing values); likelihood ratio tests used.

Explanatory variable (unit) p-value	Log odds ratio	Likelihood ratio test
LDH (U/l)	0.0198	0.000
Glucose (mmol/l)	0.3716	0.0665
Protein (g/l)	0.1675	0.0194
(constant:	-11.8354)	

LDH=lactate dehydrogenase

Table 4. Observed versus predicted group membership following from the estimated logistic regression model in table 3.

		Predicted group membership (n)		
		Transudative effusions (I)	Parapneumonic effusions (II) + Malignant effusions (III)	Total
Observed group membership				
Transudative effusions (I)	20		2	22
Parapneumonic effusions (II) + Malignant effusions (III)	2		59	61
Total	22		61	83

the exudative effusions (group II and III), but not between group II and III, respectively.

As can be seen from tables 3, 4 and figure 1, logistic regression analysis yielded a strong discrimination between group I and group II plus III combined, given three independent variables simultaneously used in the model: LDH, glucose and protein. All other independent variables were far from significant when added to the model (p -values well beyond 0.10). Between group II and III only a weak discrimination was found, given the variables LDH, glucose, and the LDH2 and LDH4 activities (see tables 5,6 and figure 1). All other independent variables were far from significant when added to the model (p -values well beyond 0.10). It has to be mentioned that the results given in tables 4 and 6 (and also in figures 1 and 2) are slightly too optimistic. This is because the goodness-of-fit of a model to observations from which the model has been estimated is

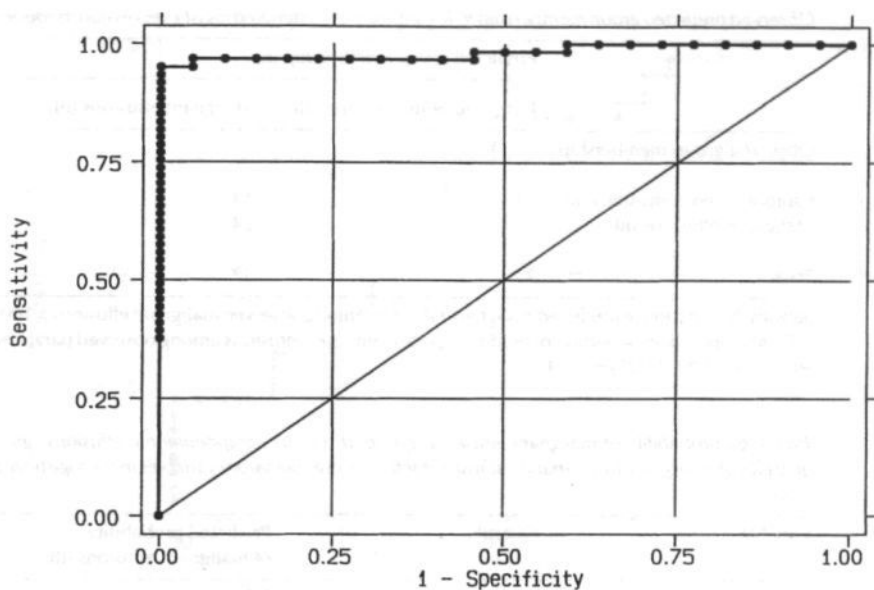


Figure 1. Receiver-operating characteristic curve of the linear predictor score given by table 3: transudative effusions (group I) versus exudative effusions (group II + III). The total lactate dehydrogenase activity (U/l); the glucose (mmol/l) together with the protein (g/l) concentration were used in a linear combination (table 3), no other variables were necessary. Sensitivity is the probability of correctly predicting group II + III (proportion (predicted II+III/observed II+III)). Specificity is the probability of correctly predicting group I (proportion (predicted I/observed I)). Area under the receiver-operating characteristic curve is 0.9821.

Table 5. Logistic regression analysis for discriminating between parapneumonic effusions (group II, n=27) and malignant effusions (group III, n=31). Results are expressed as log odds ratios of group III versus group II per unit increase of the explanatory variable (12 missing values); likelihood ratio tests used.

Explanatory variable (unit)	Log odds ratio	Likelihood ratio test p-value
LDH (U/l)	-0.0030	0.0234
Glucose (mmol/l)	-0.1750	0.0645
LDH2 (U/l)	0.0051	0.0252
LDH4 (U/l)	0.0088	0.0356
(constant:	1.4495)	

LDH=lactate dehydrogenase



Table 6. Observed predicted group membership following from the estimated logistic regression model in table 5.

	Predicted group membership (n)		
	Parapneumonic effusions (II)	Malignant effusions (III)	Total
Observed group membership			
Parapneumonic effusions (II)	14	13	27
Malignant effusions (III)	7	24	31
Total	21	37	58

Sensitivity = portion of predicted malignant effusions among observed malignant effusions = $100(24/31) = 77.4\%$. Specificity = portion of predicted parapneumonic effusions among observed parapneumonic effusions = $100(14/27) = 51.9\%$.

Table 7. Predicted probability of malignant effusions (group III) versus parapneumonic effusions (group II) in quartiles of an explanatory variable, adjusted for the other explanatory variables in the logistic regression model.

Variable	Quartile	Predicted probability of malignant effusions (III)
LDH (U/l)	1. <327	1.00
	2. 327-776	1.00
	3. 776-1377	1.00
	4. >1377	0.57
Glucose (mmol/l)	1. <3.7	0.66
	2. 3.7-5.75	0.55
	3. 5.75-7.3	0.50
	4. >7.3	0.33
LDH2 (U/l)	1. <98.6	0.17
	2. 98.6-157.5	0.22
	3. 157.5-271.9	0.29
	4. >271.9	0.65
LDH4 (U/l)	1. <35.6	0.00
	2. 35.6-123.5	0.00
	3. 123.5-305.8	0.01
	4. >305.8	0.45
Mean		0.51

LDH=lactate dehydrogenase

better than to new observations. Table 7 gives the predicted probabilities of belonging to the group III rather than to group II per quartile of an explanatory variable, while adjusting for the other explanatory variables in the logistic regression model by putting them on their mean value. These predicted probabilities are calculated from the logistic regression model of table 5, fitted in the

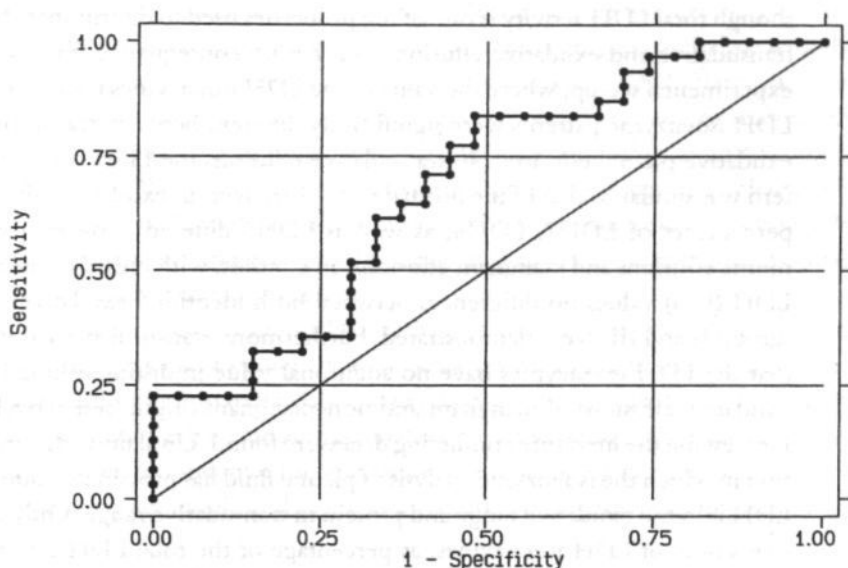


Figure 2.

Receiver-operating characteristic curve of the linear predictor score given by table 5: exudative effusions group II (parapneumonic) versus group III (malignant). The total lactate dehydrogenase (LDH) activity (U/l), glucose (mmol/l), LDH2 and LDH4 activity (U/l) were combined in a linear predictor score (table 5). Sensitivity is the probability of correctly predicting group III (proportion (predicted III/observed III)). Specificity is the probability of correctly predicting group II (proportion (predicted II/observed II)). Area under the receiver-operating characteristic curve is 0.6834.

group of 58 patients belonging to either group II or group III, with non-missing values for the four variables involved. For not too high LDH activity and high LDH2 and LDH4 activity (implying that the other LDH parameters are low) the probability of group III becomes higher than that of group II. Also a low glucose concentration increases the probability of group III.

DISCUSSION

This study showed that, in agreement with others, including LDH, glucose and protein as independent variables in the logistic regression yielded a strong discrimination between pleural effusions of transudative and exudative origin. Al-



though total LDH activity is one of the properties used to discriminate between transudative and exudative effusions, this has no consequences for the present experimental set-up, where the value of the LDH isoenzymes is examined. The LDH isoenzyme patterns were significantly different between transudative and exudative pleural effusions. In transudative effusions the LDH isoenzyme pattern was similar to that of the normal sera. Moreover, in exudative effusions the percentages of LDH1, LDH4, as well as LDH5 differed between parapneumonic effusions and malignant effusions. In contrast, with regard to the absolute LDH (U/l) values no differences between both identified exudative effusions (group II and III) were demonstrated. Furthermore, statistical analysis indicated that the LDH isoenzymes have no additional value in distinguishing between exudative effusions of malignant and non-malignant origin, respectively.

Reviewing the literature conflicting data were found. Until now, the only situation in which the isoenzyme analysis of pleural fluid has proven its value is when LDH is in the exudative range and protein in transudative range. Only the relative values of LDH isoenzymes, as percentage of the total LDH activity were studied. The present study evaluated the absolute LDH isoenzyme activity in the different pleural effusions as well. In agreement with Richterich *et al.* [20], the LDH isoenzyme pattern of the benign effusions, *i.e.* transudative effusions reflected the serum pattern. In contrast, Fröhlich *et al.* [21] reported that benign effusions were characterized by maximal activity of LDH4 and LDH5. This was in line with the results of a study by Light *et al.* [9]. These authors reported that transudative pleural effusions - having a total LDH lower than 200 U/l or 60% of the serum value - had a slightly higher percentage of LDH4 and LDH5 compared to the serum values. Our results showed that mainly the percentages LDH4 and LDH5 are helpful in discriminating malignant effusions from benign exudative effusions, *i.e.* parapneumonic effusions. Others showed that malignant effusions were characterized by increased activity of LDH2, whereas Richterich *et al.* [20] as well as Fröhlich *et al.* [21] reported an increase of the percentages of LDH3 and LDH4. Vergnon *et al.* [17] found an increase of the LDH5 isoenzyme to be a good marker of a malignant pleural effusion, except when the pleura is involved by malignant lymphoma or small cell lung carcinoma. Moreover, they suggested that the LDH5 isoenzyme in pleural fluid appears to be an accurate marker in the follow-up of malignant pleural effusions.

In the present study significant differences in the LDH ratio pleural fluid to serum were found between the transudative effusions (group I) and exudative ef-



fusions (group II and III, respectively). Moreover, the isoenzyme pattern in transudative effusions is similar to the serum isoenzyme pattern. In line with this, Dev *et al.* [16] found a significant difference in total LDH, LDH ratio pleural fluid to serum and LDH isoenzymes between transudative and exudative effusions. The value was intermediate in malignancy and other exudative conditions. The LDH5 ratio pleural fluid to serum tended to be higher in pleural effusions of mesothelioma origin than in those from non-mesothelial tumours. No relationship was found between the histologic pattern of the malignancy and the pleural fluid isoenzyme pattern.

In conclusion, pleural fluid LDH activity, together with glucose and protein concentrations had a strong discriminatory power in the initial classification of pleural effusions into transudate and exudate. From our data it became clear that LDH isoenzymes have no additional discriminative value, either for discriminating between transudative and exudative effusions, or for the discrimination between parapneumonic and malignant effusions or pleuritis carcinomatosa.

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Usefulness of monitoring β -glucuronidase in pleural effusions

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ABSTRACT

Lactate dehydrogenase (LDH), protein and glucose concentrations in pleural effusions have been used widely to discriminate transudates from exudates. However, these markers are of little value in the discrimination between the various subtypes of exudative effusions.

The objective of the study was to evaluate the additional value of β -glucuronidase (BGD), a lysosomal enzyme in the analysis of pleural effusions, especially between malignant and non-malignant effusions.

Pleural fluid samples obtained from four respective diagnostic groups: transudates ($n=21$, group I), parapneumonic effusions ($n=9$, group II), malignant effusions or pleuritis carcinomatosa ($n=31$, group III) and empyema ($n=14$, group IV) were evaluated.

Beta-glucuronidase was significantly different between transudative (group I) and exudative (group II+III+IV) effusions ($p<0.001$) as well as between parapneumonic and malignant effusions ($p<0.03$), parapneumonic effusions and empyema ($p<0.002$) and malignant and empyema ($p<0.002$), respectively. Logistic regression analysis yielded a strong discrimination between transudates and exudates (group II+III+IV) simultaneously using LDH and protein as explanatory variables. However, only a weak discrimination between the exudative groups (II, III and IV) was found. Including BGD in the logistic regression analysis yielded only little additional value in discriminating parapneumonic and malignant effusions.

Beta-glucuronidase activity differed between pleural effusions of various origin. However, including BGD in the biochemical work-up of pleural effusions did not reveal discriminatory value in the assessment of the classification of these effusions.

INTRODUCTION

The pleural fluid lactate dehydrogenase (LDH) activity together with glucose and total protein concentrations, are used in the discrimination between transudative and exudative effusions [1-6]. However, these parameters are of no clinical value in the classification of the various subtypes of exudative effusions, such as malignant from non-malignant effusions [1,3-9]. There is a need for clinical



parameters easy to assess, like enzymes, useful to distinguish exudative effusions of malignant and infectious etiology.

Enzymes are easy to monitor and are more stable than for example cytokines. Recently, we found that LDH isoenzymes have no additional value in the initial classification of pleural effusions either for transudate and exudate, or for discriminating between parapneumonic and malignant effusions [10]. Besides LDH, other indicators of cell damage or death have been identified. Hydrolytic enzymes are a major constituent of phagocytic cells, such as alveolar macrophages (AMs) and polymorphonuclear neutrophils (PMNs) [11-12]. These cells have shown to be involved in many aspects of the inflammatory response. Beta-glucuronidase (BGD) is known to be a membrane bound lysosomal enzyme, necessary in the hydrolysis of glucuronides, localized in the endoplasmatic reticulum and in lysosomes [13]. Release occurs from inflammatory, phagocytotic cells, such as AMs or PMNs, as a result of increased cell membrane permeability, before the actual lysis of the cell. So lysosomal enzymes, such as BGD, are useful to detect phagocytic activity or lysis of phagocytic cells. In contrast LDH - a cytoplasmic enzyme - is released only after cell lysis and is used to detect cell death. Therefore, one might suppose, that BGD could be of additional value in distinguishing between the various causes of exudative pleural effusions.

The aim of this study was to evaluate the possible diagnostic value of BGD in the analysis of pleural effusions, especially in the differentiation between parapneumonic (effusions caused by a pneumonic infection with negative bacterial cultures of the pleural effusions), empyema (effusions caused by a pneumonic infection with positive bacterial cultures) and malignant effusions (effusions caused by malignant involvement of the pleura).

MATERIAL AND METHODS

PATIENTS

During a 1-year period, prospectively, all patients referred to the pulmonary ward because of pleural effusion diagnosis were studied. For this study, only diagnostic thoracenteses were considered, and, when more than one was performed only data of the first were studied.

On all pleural fluid samples, the following analyses were performed: glucose, protein, LDH, BGD, cell count, amylase, bacterial and fungal culture, acid-fast



bacilli smear and culture and cytology. Simultaneously, a sample of serum was obtained to measure biochemical parameters. The pleural effusions were individually classified in transudate or exudate after careful evaluation of all clinical and biochemical data with respect to the criteria of Light [14]. According to Light, exudative pleural effusions meet at least one of the following criteria, whereas transudative effusions meet none:

1. pleural fluid protein divided by serum protein greater than 0.5
2. pleural fluid LDH divided by serum LDH greater than 0.6
3. pleural fluid LDH greater than two-thirds the upper limit of normal for serum LDH.

Out of the exudates, parapneumonic, malignant effusions and empyema were selected. The diagnosis was based on biochemical, cytologic and bacteriologic examination of the fluid. The following were excluded for this study: effusions of undetermined origin, effusions with more than one possible cause, tuberculosis and haemothorax. An effusion was considered parapneumonic when this effusion was associated with a pneumonia, pulmonary abscess, or bronchiectasis and when the pleural fluid demonstrated a predominance of polymorphonuclear leucocytes, but negative bacterial cultures. A malignant effusion was considered when malignant cells were demonstrated in the pleural fluid, pleural biopsy specimen, or at autopsy. Empyema were diagnosed by positive gram stain and/or bacterial culture. Finally, pleurafluid samples of 75 patients (age 67.1 ± 13.6 years) were used for the present study.

CONTROLS

A group of 48 healthy control subjects (age 58 ± 13 years) - without relevant medical history - was used to assess reference values of serum BGD. Serum values of LDH, gamma-glutamyl transferase (GGT), alanine amino transferase (ALT), creatine kinase (CK), creatinine and protein were within normal ranges.

LABORATORY TESTS

The pleural fluid was immediately centrifuged, or if necessary, stored at 4°C and centrifuged within 2 hours at 1000g for 5 minutes. The supernatant was collected and BGD activity was measured at 37°C using p-nitrophenyl- β -D-glucuronide as a substrate. The assay was run in an acetate buffer on an automatic plate reader (Cambridge 7520 Microplate Reader, Cambridge Technology, Inc, Watertown, MA, USA.) The LDH activity was measured on



a Beckman Synchron CX-7 system (testkit No 442660) according to the recommendations of the Deutsche Gesellschaft für Klinische Chemie (DGKC-recommendations). For determination of total protein a Synchron CX7 analyser and testkits from Beckman instruments were used.

STATISTICAL METHODS

Data are expressed as mean \pm SD. In order to detect statistically significant differences between three patient groups, for each of the discriminatory variables separately, data were analysed by the Kruskal-Wallis one-way analysis of variance (ANOVA) test. The Mann-Whitney *U* test was used for pairwise comparisons. Because 5 comparisons were made, a probability value smaller than 0.05/5 being 0.01 was considered statistically significant (Bonferroni's correction).

Logistic regression analysis was used to test the discriminatory effect of explanatory variables simultaneously. Primary interest was in discriminating group I from group II, III and IV combined; second interest was in discriminating group II from group III. In these analyses likelihood ratio (LR) tests were used; variables with a significance larger than 10% were left out of the logistic regression models. The results are presented by means of log odds ratios, observed versus predicted group membership, and receiver operating characteristics (ROC) curves [15]. For discriminating group II from group III by only the variable BGD, predicted probabilities are calculated per quartile [16].

RESULTS

Of the 75 patients studied, 21 of the obtained pleural effusions were classified as transudative effusions (group I), 9 as parapneumonic effusions (group II), 31 as malignant effusions (group III) and 14 as empyema. Some biochemical parameters are detailed in table 1. The BGD activity was significantly higher in the exudate pleural effusions (group II, III and IV) compared to the transudate effusions (group I) ($p < 0.001$) as well as between the parapneumonic and malignant effusions ($p < 0.03$), parapneumonic effusions and empyema ($p < 0.002$) and between malignant effusions and empyema ($p < 0.002$). The BGD activity between all three groups (I-III) was also significantly different ($p < 0.002$) (table 1).

As can be seen from tables 2 and 3, logistic regression analysis yielded a strong discrimination, as expected, between group I and II+III+IV, simultaneously us-



ing the LDH and the BGD activity as explanatory variables. This good discrimination was already found using LDH alone and including BGD in the logistic regression analysis yielded no statistical additional value in this comparison. Using only the BGD activity as explanatory variable between transudates and exudates revealed a sensitivity of $100 \times (10/21) = 47.6\%$ and a specificity of $100 \times (46/53) = 86.8\%$, area under the ROC curve 0.7803 (figure 1). However between group II and III a weak discrimination was found given the variable BGD. The BGD activity in the logistic regression analysis revealed better discrimination than the LDH activity alone (see tables 4, 5 and figure 2). All other independent variables were far from significant when added to the model (*p*-value well beyond 0.10). In empyema the BGD activity, like the LDH activity, was very high but gave no additional discrimination (table 1).

Table 6 gives the predicted probabilities of belonging to group III rather than to group II per quartile of the variable BGD. These predicted probabilities are calculated from a logistic regression model only containing the variable BGD. The high prior probability of belonging to group III rather than to group II (*i.e.* 31/39) does not vary much across the BGD quartiles (0.58 to 0.94): it is still as high as 0.58 in the first quartile.

It has to be mentioned that the predictability of the model is only checked in the same data set as from which the model was estimated. Hence, the results in the predicted versus observed group membership tables (*e.g.* as in table 3) may be (slightly) too optimistic.

DISCUSSION

This study shows that the BGD activity is significantly different between transudative and exudative pleural effusions. Moreover, in exudative effusions, the BGD activity differed between parapneumonic, malignant effusions and empyema. Further statistical analysis indicated that BGD has no additional value in distinguishing between exudative effusions of malignant and non-malignant etiology.

To the best of our knowledge, this study is the first to evaluate the possible role of BGD in the diagnostic work-up of pleural effusions. Several animal studies on bronchoalveolar lavage fluid reported elevated BGD activity after exposure to particles associated with pulmonary cell inflammation of damage [17-21]. Re-



Table 1. - Biochemical characteristics and β -glucuronidase (BGD) in pleural effusions obtained from the studied groups, as well as in serum obtained from a healthy control group.

	n	Leukocytes ($10^9/l$)	Glucose (mmol/l)	Protein (g/l)	LDH (U/l)	BGD (U/l)
Controls ^a	48				359 \pm 50 367 (219-475)	0.416 \pm 0.541 0.252 (0.000-2.785)
Transudative effusions (I)	21	0.8 \pm 0.8 ⁺ 0.6 (0.1-2.9)	7.1 \pm 1.7 ⁺⁺ 6.8 (4.8-11.0)	23.8 \pm 10.5 ⁺⁺⁺ 25.3 (5.9-44.4)	197 \pm 80 ⁺⁺⁺ 179 (90-376)	0.247 \pm 0.395 ⁺⁺ 0.063 (0.000-1.386)
Parapneumonic effusions (II)	9	2.6 \pm 1.8 2.2 (0.7-6.7)	5.8 \pm 1.8 6.0 (4.0-7.4)	46.5 \pm 8.8 45.5 (36.5-66.1)	862 \pm 670 482 (205-2194)	0.321 \pm 0.304 ⁺ 0.252 (0.000-0.922)
Malignant effusions (III)	31	1.5 \pm 1.1 1.3 (0.2-5.1)	5.6 \pm 2.8 5.6 (0.2-11.9)	43.5 \pm 9.1 46.0 (19.9-56.1)	1235 \pm 1141 784 (200-5104)	0.743 \pm 0.579 0.680 (0.000-2.558)
Empyema (IV)	14	12.9 \pm 24.8 ⁺⁺⁺ 4.2 (1.9-86.2)	3.7 \pm 2.6 ⁺⁺ 3.3 (0.6-8.5)	39.1 \pm 18.9 ^{\$} 43.0 (3.3-70.7)	19218 \pm 26756 ⁺⁺ 10117 (289-94150)	13.309 \pm 15.830 ⁺⁺⁺ 5.834 (0.126-51.030)
p-value ^b		<0.002	NS	<0.0001	<0.0001	<0.002

Data are expressed as mean \pm standard deviation and median with range in parenthesis. ^aSerum lactate dehydrogenase (LDH) and β -glucuronidase (BGD) of the healthy control subjects. ^bKruskal-Wallis ANOVA test (between group I-III); p-value<0.01 statistically significant (Bonferroni's correction). NS=not significant. ⁺p<0.01 Mann-Whitney versus group II, ⁺⁺p<0.001 Mann-Whitney versus group II, ⁺⁺⁺p<0.03 Mann-Whitney versus group III, ^{\$}p<0.002 Mann-Whitney versus group III, ^{\$}p<0.001 versus group I.



Table 2. *Logistic regression analysis for discriminating between group I (transudative effusions; n=19) and groups II (parapneumonic) + III (malignant effusions) combined (n=39). Results are expressed as log odds ratios of groups II (parapneumonic) + III (malignant effusions) versus group I (transudative effusions) per unit increase of the explanatory variables (3 missing values); likelihood ratio tests used.*

Explanatory variable (unit)	Log odds ratio	Likelihood ratio test p-value
Lactate dehydrogenase (U/l)	0.0442	0.0000
β-glucuronidase (U/l)	6.1915	0.0052
(constant:	-14.8462)	

Table 3. *Observed versus predicted group membership following from the estimated logistic regression model in table 2.*

	Predicted group membership (n)		Total
	Transudative effusions (I)	Parapneumonic effusions (II) + Malignant effusions (III)	
Observed group membership			
Transudative effusions (I)	18	1	19
Parapneumonic effusions (II) + Malignant effusions (III)	1	38	39
Total	19	39	58

Sensitivity = portion of predicted parapneumonic effusions + malignant effusions among observed parapneumonic effusions + malignant effusions = $100 \times (38/39) = 97.4\%$. Specificity = portion of predicted transudative effusions among observed transudative effusions = $100 \times (18/19) = 94.7\%$.

Table 4. *Logistic regression analysis for discriminating between parapneumonic effusions (group II, n=8) and malignant effusions (group III, n=31). Results expressed as log odds ratios of group III versus group II per unit increase of the explanatory variable (1 missing value); likelihood ratio tests used.*

Explanatory variable (unit)	Log odds ratio p-value	Likelihood ratio test
Lactate dehydrogenase (U/l)	0.0003	0.4248
Glucose (mmol/l)	-0.0320	0.8631
β-glucuronidase (U/l)	2.1436	0.0336

The effect of β-glucuronidase as single variable was: log odds ratio = 2.0217 with $p=0.0357$.

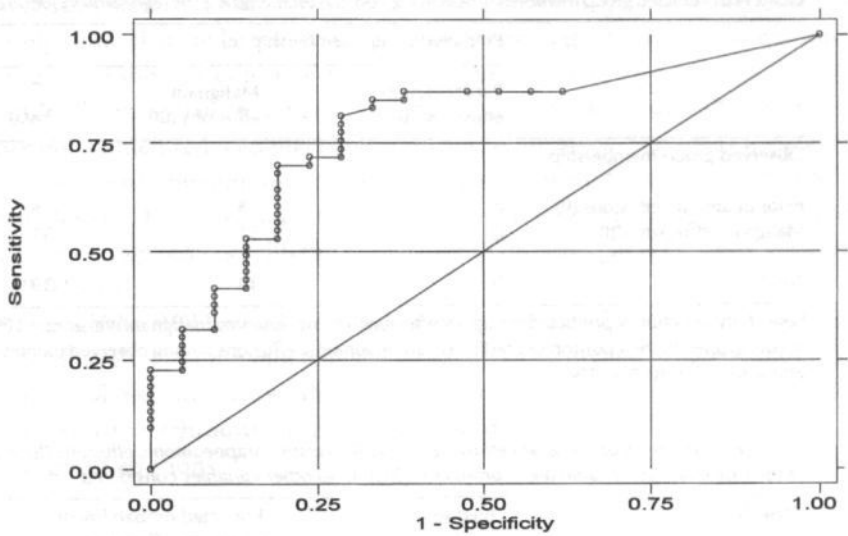


Figure 1. Receiver-operating characteristic curve of the linear predictor score using β -glucuronidase as predicting variable: transudative effusions (group I) versus exudative effusions (group II+III+IV). Area under ROC curve=0.7803.

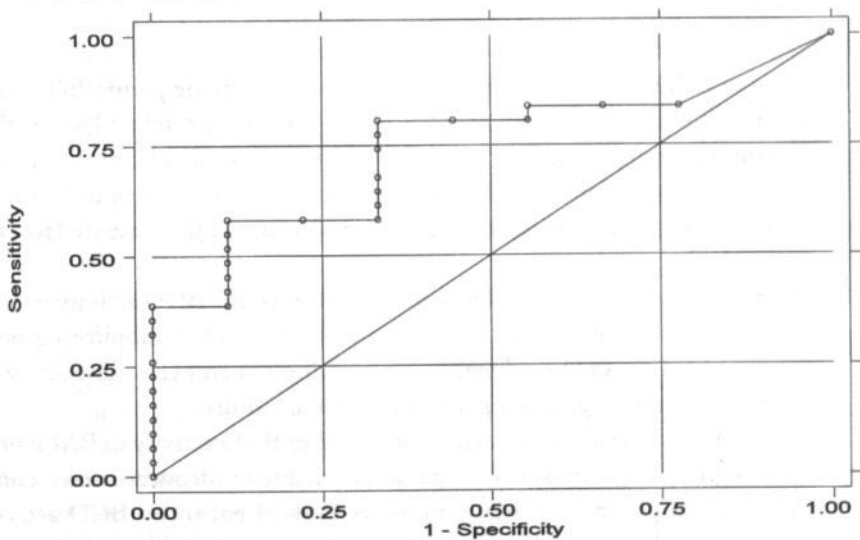


Figure 2. Receiver-operating characteristic curve of the linear predictor score using β -glucuronidase as predicting variable: parapneumonic effusions (group II) versus malignant effusions (group III). Area under ROC curve=0.7419.



Table 5. Observed predicted group membership following from the estimated logistic regression model in table 4.

	Predicted group membership (n)		
	Parapneumonic effusions (II)	Malignant effusions (III)	Total
Observed group membership			
Parapneumonic effusions (II)	0	8	8
Malignant effusions (III)	0	31	31
Total	0	39	39

Sensitivity = portion of predicted malignant effusions among observed malignant effusions = $100 (31/31) = 100\%$. Specificity = portion of predicted parapneumonic effusions among observed parapneumonic effusions = $100 (0/8) = 0\%$.

Table 6. Predicted probability of malignant effusions (group III) versus parapneumonic effusions (II) in quartiles of the explanatory variable β -glucuronidase (BGD), no other variables considered.

Variable	Quartile	Predicted probability of malignant effusions (III)
BGD (U/l)	1. < 0.0065	0.5793
	2. 0.0065 - 0.365	0.6661
	3. 0.365 - 0.8515	0.8205
	4. > 0.8515	0.9362

lease of BGD, because of increased lysosomal membrane permeability, is useful to detect phagocytotic cell activity, already before the actual lysis of the cell. Therefore, increase of BGD activity is likely to occur before the increase of LDH activity. In line with this, recently, we found increase of the serum BGD activity even in ex-coalminers with a normal serum LDH compared to a healthy control group.

In the group of patients, suffering from empyema, the BGD activity was significantly increased similar to the LDH activity. However, monitoring both enzymes added no additional diagnostic usefulness as empyema already was confirmed by positive gram stain and/or bacterial cultures.

Pérez-Arellano *et al.* found significantly higher BGD activity in BALF obtained from a group of patients with lung infiltration due to adenocarcinoma compared to a control group [12]. Many tumours showed enhanced BGD activity and many studies in the past have been focussed on the use of the reactivity of glucuronides for tumour cell killing therapy [22]. This interest is relevant to the theory



that malignant cells elaborate enzymes that catabolize glycosaminoglycans (the compounds that are largely responsible for imparting viscosity of the intercellular ground substance) to low-molecular mass, low-viscosity subunits [23]. Accordingly, malignant cells may thus infiltrate a medium, so radically altered as to present a much reduced mechanical barrier to invasion. The BGD activity was high in the parapneumonic effusions as a result of the inflammatory cell activity, but the BGD activity was even higher in the group of malignant effusions, which is in agreement with the knowledge of increased BGD activity in tumour cells [13,24].

Although the BGD activity differed between pleural effusions of various origin, including BGD in the biochemical work-up of pleural effusions did not reveal additional discriminatory value, either for discrimination between transudative and exudative effusions, or for the discrimination between parapneumonic and malignant effusions.

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Relationship between enzymatic markers of pulmonary cell damage and cellular profile: a study in bronchoalveolar lavage fluid

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ABSTRACT

It has been suggested that alterations in bronchoalveolar lavage fluid (BALF) reflect pathologic changes in the lung. Cytoplasmic enzymes such as lactate dehydrogenase (LDH), alkaline phosphatase (ALP) and LDH isoenzymes are recognized indicators of cell damage or death. The aim of this study was to determine whether there is a relation between the enzyme activity and the cell types present in BALF.

Therefore, BALF samples obtained from patients with various pulmonary disorders were studied. Out of these samples a group with mainly polymorphonuclear neutrophils (PMNs; $n=15$; group I) and another with mainly alveolar macrophages (AMs; $n=10$; group II) were selected. By measuring LDH activity both before and after sonication of the cells present in BALF, we were able to estimate the LDH isoenzyme patterns of the different cell types.

The cell-free fraction of BALF of group II showed lower LDH and ALP activity compared to group I. The LDH isoenzyme pattern also differed, with the LDH3/LDH5 ratio being lower in all BALF samples with predominantly PMNs than in BALF samples with predominantly AMs. Lysis of the cells present in the BALF samples by sonication prior to LDH isoenzyme analysis provided no additional information beyond that found by analysis of the cell-free BALF.

In conclusion, determination of enzyme activity appears to be useful in monitoring pulmonary inflammation.

INTRODUCTION

Parameters measured in bronchoalveolar lavage fluid (BALF) to detect pulmonary damage or inflammation are most often quantitative measures of the degree of the inflammatory response [1-5]. Cellular changes in BALF during inflammation include an activation of alveolar macrophages (AMs) and influx of polymorphonuclear neutrophils (PMNs) [1-5]. The AMs constitute one of the first lines of cellular defence against inhaled particles and pathogens. The AMs release factors that attract neutrophils and other macrophages into the lung [3-5]. It has been suggested that the neutrophil influx plays a major role in increasing the permeability of the alveolar/capillary barrier and producing cellular toxicity during the inflammatory response. Furthermore, AMs clear inhaled particles by phago-



cytosis [4]. During phagocytosis, a number of active oxygen compounds are generated, which can also be injurious to the host organisms [6,7]. A rapid screening test for the early detection of pulmonary inflammation is needed to assess this pulmonary damage.

Recently, it has been suggested that biochemical changes in BALF may be useful for this purpose [8-10]. An increase in the activity of lactate dehydrogenase (LDH) in the recovered BALF or of other enzymes, which are normally intracellular, reflects cell damage or cell death in the airways. Several pulmonary disorders have been associated with elevated LDH activity in serum as well as in BALF [9,11]. An increase in airway LDH activity might arise from diverse sources, including rupture (necrosis) of airway and/or alveolar epithelial cells, AMs, or other pulmonary cell types [12]. Lung parenchymal cells, or local inflammatory cells including AMs and PMNs, may be a potential source of elevation of the LDH activity associated with pulmonary diseases [1,11-14]. Transudation of serum proteins due to increased permeability of the alveolar/capillary barrier is another potential source of LDH activity [9,15]. However, less is known about the characteristics of the LDH isoenzyme pattern related to pulmonary inflammation. Alkaline phosphatase (ALP) is a membrane bound enzyme mainly secreted by pulmonary type II cells along with surfactant and is also present in neutrophils [16-18]. The ALP activity in BALF has been associated with type II cell damage or stimulation [16-18].

The purpose of the present study was to determine 1) whether it is possible to identify different ALP, LDH activity and LDH isoenzyme pattern of AMs and PMNs, respectively, and 2) whether sonication of cells present in BALF has additional value in identifying the relation between the LDH isoenzyme pattern in cell-free BALF and the nature of the cells. We hypothesized that AMs and PMNs release different enzymes, particularly LDH isoenzymes. With this knowledge, the LDH isoenzyme pattern, therefore, could be used as an index of the cellular response involved in lung damage and/or inflammation. To test this hypothesis, we used BALF samples with predominantly PMNs and predominantly AMs, respectively, and compared both groups with lung tissue specimens.



METHODS

GENERAL EXPERIMENTAL DESIGN

The initial BALF specimens of 68 patients with various pulmonary disorders were studied. The study population included four patients who suffered from sarcoidosis (all male; one smoker and three non-smokers), four patients with drug-induced pneumonitis (all female; all non-smokers), five patients with pulmonary fibrosis (three male and two female; three smokers and two non-smokers), 16 patients with other interstitial lung disorders (nine male and seven female; eight smokers and eight non-smokers), 34 patients who suffered from pneumonia (24 male and 10 female; 20 smokers and 14 non-smokers) and five patients with lung cancer (all male; three smokers and two non-smokers). Out of this population BALF samples with mainly (>86%) PMNs ($n=15$: group I; 12 patients with pneumonia, three with idiopathic pulmonary fibrosis; six non-smokers, nine smokers) and predominantly (>86%) AMs ($n=10$: group II; four patients with pneumonia, six patients with non-infectious diffuse interstitial disease of unknown origine; four non-smokers, six smokers) were selected. A group of eight healthy volunteers (eight non-smokers), without a relevant medical history, was used as a control group (group III). Biochemical analysis of these samples were only done in the original BALF.

The lung tissue samples were obtained from resected normal lung tissue from patients with a $T_1N_0M_0$ squamous cell bronchial carcinoma without further relevant pulmonary history who underwent a lobectomy ($n=9$: group IV).

BRONCHOALVEOLAR LAVAGE

Bronchoalveolar lavage (BAL) was performed as reported previously during fiberoptic bronchoscopy [19]. The procedure is briefly described here. After premedication (atropine and sometimes diazepam) and local anaesthesia of the larynx and bronchial tree (lidocaine 0.5%) BAL was performed by standardized washing of the middle lobe with 4 aliquots of 50 ml sterile saline (0.9 % NaCl) at 37°C. After careful mixing, the BALF recovered was split into 2 portions and kept on ice in a siliconized specimen trap. The first portion was separated from cellular compounds by centrifugation (for 5 minutes with a force of 350g). After an additional centrifugation step (for 10 minutes with a force of 1000g), supernatants were directly stored at -70°C. The cells were washed twice, counted, and suspended in minimal essential medium (MEM; Gibco, Grand Island, New



York, USA) supplemented with 1% bovine serum albumin (BSA; Organon, Technica, Boxtel, the Netherlands). Preparations of the cell suspensions were made in a cytocentrifuge (Shandon, Scientific Ltd., Astmoor, England). Cytospin slides of BAL cells were stained with May-Grünwald-Giemsa (MGG; Merck, Darmstadt, Germany) for cell differentiation. At least 500 cells were counted.

Before chemical analyses the second BALF portion was carefully mixed and divided into two portions. One part was centrifuged at 2000g for 15 minutes. The LDH activity, LDH isoenzymes and the ALP activity were determined in the obtained cell-free supernatant (portion I). The other portion of BALF (portion II) was sonicated in five bursts of 60 seconds each (Sonorex Baudelin, type RK 102 H, 120-240 W, 35 kHz, Berlin, Germany). To prevent heat inactivation of the enzymes, the BALF containing tubes were kept on ice during sonication. Sonication causes lysis of all cellular constituents whereafter the cytoplasmic enzymes are released into the BALF. After lysis of the cells, this portion of the BALF was also centrifuged at 2000g for 15 minutes. The LDH activity, LDH isoenzymes and the ALP activity were determined in the sonicated BALF, now containing the cellular enzymes plus the enzymes already present before sonication. Cellular enzyme content or enzyme distribution was obtained by subtracting the enzyme activity present in the original cell-free supernatant (portion I) from the total enzyme activity of the sonicated BALF (portion II).

TISSUE PREPARATION

Lung tissue samples were washed in phosphate buffered saline (pH 7.4) and after gentle blotting to remove adhering moisture, the samples were weighed and immediately frozen at -70°C until use. Frozen samples of between 0.3 to 0.5 g tissue were homogenized (5% weight/volume) in ice-cold phosphate buffered saline (pH 7.4), in bursts of 7 seconds, with 7-seconds intervals (Sonorex Bandelinn, type RK 102 H, 120-140 W, 35 kHz Berlin, Germany). Sample homogenization and sonication were performed in tubes kept on ice. One part of the homogenate was used for a total protein determination, to allow determination of the LDH content per gram protein; 2 ml was used to determine the dry weight per ml homogenate, to allow determination of LDH per gram dry weight of tissue.

The suspension was then centrifuged at 3000g for 10 minutes in a cooled centrifuge. The supernatant was diluted 1:1 with a pasteurized plasma protein solution



(40 g/l from the Dutch Red Cross Blood Transfusion Centre, Amsterdam), the LDH activity and LDH isoenzymes were stable upon freezing in this plasma protein solution.

Total protein determination was performed on a Beckman Synchron CX-7 analyser, using a timed endpoint biuret method with Beckman reagents (testkit 442740).

LABORATORY TESTS

The LDH activity was measured at 37°C by an enzymatic rate method, using pyruvate as a substrate. The test was performed on a Beckman Synchron CX-7 system with Beckman reagents (testkit 442660) and was optimized according to the recommendations of the Deutsche Gesellschaft für Klinische Chemie (DGKC-recommendations) [20]. The reduction of pyruvate to L-lactate with the concurrent oxidation of β -nicotinamide adenine dinucleotide (NADH; reduced form) was monitored at 340 nm. The change in absorbance at 340 nm, caused by the disappearance of NADH, was measured over a fixed time interval and was directly proportional to the LDH activity. Lactate dehydrogenase activity was expressed in micromoles of substrate (pyruvate) converted per minute (U), per litre serum at 37°C. The measuring range is 10–1800 U/l, for concentrations of 1800–3800 U/l the samples were automatically diluted with saline and re-analysed and for higher concentrations manual dilution was required. The reference range in serum for LDH is 200–450 U/l.

The surface charge difference was the basis on which the five LDH isoenzymes were separated by electrophoresis using the Beckman appraise system (the LDH isoenzyme electrophoresis kit P/N 655940) [20]. After separation of the LDH isoenzymes by electrophoresis, the agarose gel was incubated with a reaction mixture containing the LDH substrate lactate, the coenzyme NAD^+ , and a tetrazolium salt. During this incubation NADH was formed at the zones on the gel, where the LDH isoenzymes were present. The NADH generated was detected by its reduction of the tetrazolium salt to the coloured bands, which could be quantitated by scanning the gel at 600 nm.

The ALP activity was measured at 37°C by an enzymatic rate method using p-nitrophenylphosphate as a substrate. The test was performed on a Beckman Synchron CX-7 system with Beckman reagents (testkit 442670). At an alkaline pH of 10.3, using a 2-amino-2-methyl-1-propanol (AMP) buffer, ALP catalyses the hydrolysis of the colourless organic phosphate ester substrate, p-nitrophe-



nylphosphate, to the yellow coloured product p-nitrophenol and phosphate. The rate of change in absorbance at 410 nm was monitored over a fixed-time interval. The rate of change in absorbance is directly proportional to the ALP activity, was expressed in micromoles substrate (p-nitrophenylphosphate) converted per minute (U), per litre serum at 37°C. The measuring range was 10–800 U/l, for concentrations of 800–1800 U/l the samples were automatically diluted with saline and re-analysed and for higher concentrations manual dilution was required. The same procedure was used for ALP measurements in both serum and BALF.

STATISTICAL EVALUATION

Pearson coefficient of correlation (r) was estimated in order to test against a linear relation in the different groups between the LDH activity, its isoenzyme pattern, the ALP activity and the detected cells in BALF. A probability value of less than 0.05 was considered to be significant. In the whole group a partial correlation was estimated, with correction made for group effect. The Mann-Whitney *U* test was subsequently used to evaluate the differences between selected BALF samples and lung tissue samples. A Wilcoxon matched-pairs signed ranks test was done to compare the percentages of LDH isoenzymes before and after sonification of BALF.

RESULTS

The cellular BALF sample analysis results are summarized in table 1. BALF samples of group I contained mainly PMNs ($91.4 \pm 3.7\%$), whereas BALF samples of group II contained predominantly AMs ($91.8 \pm 3.2\%$). Group I (mainly PMNs) contained significantly more cells than group II (mainly AMs). In table 2, the LDH, ALP activity and LDH isoenzymes of the different groups, in the original, non-sonicated BALF samples are presented. Group I contained significantly higher LDH and ALP activity and showed a different isoenzyme pattern. Furthermore, the LDH activity and LDH isoenzyme patterns in BALF with the different cell types present in BALF were examined in both groups. The respective activities in BALF were evaluated before and after lysis of the cells by sonification. The calculated activities (subtracting the enzyme activity present in the original cell-free supernatant from the enzyme activity of the sonicated BALF)



indicated the LDH and LDH isoenzyme activities of the different cells present in BALF. Group I showed higher LDH activity ($p < 0.02$) as well as ALP activity ($p < 0.02$) compared to group II. Moreover, these 2 selected groups showed a significant different LDH isoenzyme pattern (table 3).

The LDH isoenzyme pattern of group II, with mainly AMs, resembled the isoenzyme pattern of the lung tissue (group IV) the most, although the percentage of LDH5 was significantly different between groups II and IV (table 3). The LDH isoenzyme pattern of the cells present in BALF of group I (mainly PMNs) showed a low LDH3/LDH4, LDH3/LDH5 as well as LDH4/LDH5 ratio, compared to group II (mainly AMs; $p < 0.005$; see table 3). The LDH/albumin ratio and the ALP/albumin ratio were $8.2(7.1) \pm 7.4$ and $0.6(0.5) \pm 0.6$ in the group with mainly PMNs, and $4.6(3.2) \pm 5.5$ and $0.7(0.3) \pm 1.5$ in the group with mainly AMs, respectively.

Evaluation of the LDH isoenzyme pattern in BALF obtained from smokers and non-smokers with mainly AMs and mainly PMNs separately revealed no significant differences. However, only in the group with mainly AMs, the absolute cell count and the LDH activity were slightly higher in the smokers group than in the non-smokers group $25.5(22.8) \pm 11.2 \times 10^4/\text{ml}$ vs $10.1(10.1) \pm 8.3 \times 10^4/\text{ml}$ and $89(91) \pm 8 \text{ U/l}$ vs $72(74) \pm 5 \text{ U/l}$, respectively.

Although the isoenzymes activities were higher in the sonicated BALF, the percentages of isoenzyme were comparable in the sonicated and original, non-sonicated BALF (figure 1).

Furthermore, we examined possible relations between the variables in group I and group II separately. In group I (mainly PMNs), a relation was found between the LDH serum/BALF ratio and PMNs ($p < 0.0001$; $r = 0.99$), AMs ($p < 0.002$; $r = 0.97$), as well as lymphocytes ($p < 0.004$; $r = 0.96$), respectively. Also in BALF, a significant correlation between LDH and ALP was found ($p < 0.0001$; $r = 0.88$). In group II (mainly AMs), no such correlations were found. When considering the total combined group of BALF, a correction was made for the group effect by estimating partial correlations. In the complete group a correlation was found between the LDH serum/BALF ratio and AMs ($p < 0.001$; $r = 0.84$), PMNs ($p < 0.0001$; $r = 0.98$) and lymphocytes ($p < 0.0002$; $r = 0.90$). No partial correlation was found between ALP and the different cell types present in BALF.

Table 1. Cellular bronchoalveolar lavage fluid (BALF) characteristics of group I: mainly polymorphonuclear neutrophils (PMNs), group II: mainly alveolar macrophages (AMs), and group III: BALF obtained from a healthy control group.

	Group I (n=15)	Group II (n=10)	Group III (n=8)
Total cell count x 10 ⁴ /ml	496.8(174.5)±834.6* ^{###}	24.2(17.5)±18.4 ^{##}	14.3(14.3)±3.1
AMs x 10 ⁴ /ml	39.1(5.3)±71.1 [#]	22.3(16.3)±17.2 ^{##}	12.7(12.1)±3.1
AMs (%)	5.7(5.7)±3.9 ^{###}	91.8(93.4)±3.2	89.1(89.0)±4.4
PMNs x 10 ⁴ /ml	460.5(133.5)±772.6 ^{###}	0.6(0.4)±0.5 ^{##}	0.2(0.2)±0.2
PMNs (%)	91.4(91.8)±3.7 ^{###}	2.3(2.4)±1.3	1.7(1.6)±1.2
AMs/PMNs	0.07(0.07)±0.05 ^{###}	46.7(39.7)±25.5 ^{##}	86.1(65.1)±72.1
Lymphocytes x 10 ⁴ /ml	10.1(1.7)±20.5 [#]	0.8(0.6)±0.9	1.0(0.8)±0.8
Lymphocytes (%)	2.0(1.2)±1.9 [#]	3.7(3.1)±2.3	6.8(5.4)±5.1
smoker/non-smoker	9/6	6/4	0/8

Values are expressed as mean ± SD, with median in parentheses. *p<0.01, **p<0.001 and ***p≤0.0001: group I versus group II. #p<0.01, ##p<0.003 and ###p≤0.001: versus group III.

Table 2. Lactate dehydrogenase (LDH), alkaline phosphatase (ALP) activities, and percentage of LDH isoenzymes in bronchoalveolar lavage fluid (BALF) in group I: mainly polymorphonuclear neutrophils (PMNs); in group II, mainly alveolar macrophages (AMs), and in group III: healthy control. Data of original non-sonicated BALF samples.

	Group I (n=15)	Group II (n=10)	Group III (n=8)
LDH (U/l)	465(329)±434 ^{###}	88(83)±20 ^{##}	64(65)±10
ALP (U/l)	51.1(30.0)±57.0 ^{##}	15.2(8.5)±17.7 [#]	7.3(8.5)±4.7
LDH 1 (%)	4.3(3.3)±4.9 ^{###}	10.4(8.8)±5.2 ^{##}	14.5(12.7)±5.12
LDH 2 (%)	8.3(6.8)±1.1 ^{###}	20.5(20.8)±3.5 [#]	23.1(21.9)±3.6
LDH 3 (%)	15.6(15.1)±4.5 ^{###}	28.9(29.6)±3.1	29.1(29.3)±1.9
LDH 4 (%)	22.8(23.0)±1.9 [#]	26.0(25.6)±4.0	22.9(24.2)±4.1
LDH 5 (%)	48.9(51.5)±13.7 ^{###}	14.2(13.7)±6.2 [#]	10.5(10.4)±2.1
LDH3/LDH4	0.68(0.66)±0.15 ^{###}	1.12(1.10)±0.12 ^{##}	1.31(1.27)±0.24
LDH3/LDH5	0.42(0.30)±0.43 ^{###}	2.32(2.26)±0.81 ^{##}	2.86(2.63)±0.59
LDH4/LDH5	0.56(0.44)±0.41 ^{###}	2.04(2.03)±0.61	2.21(2.05)±0.36

Values are expressed as mean ± SD with median in parentheses. *p<0.05, **p<0.003 and ***p≤0.0001: group I versus group II. #p<0.05, ##p<0.01 and ###p<0.001: versus group III.

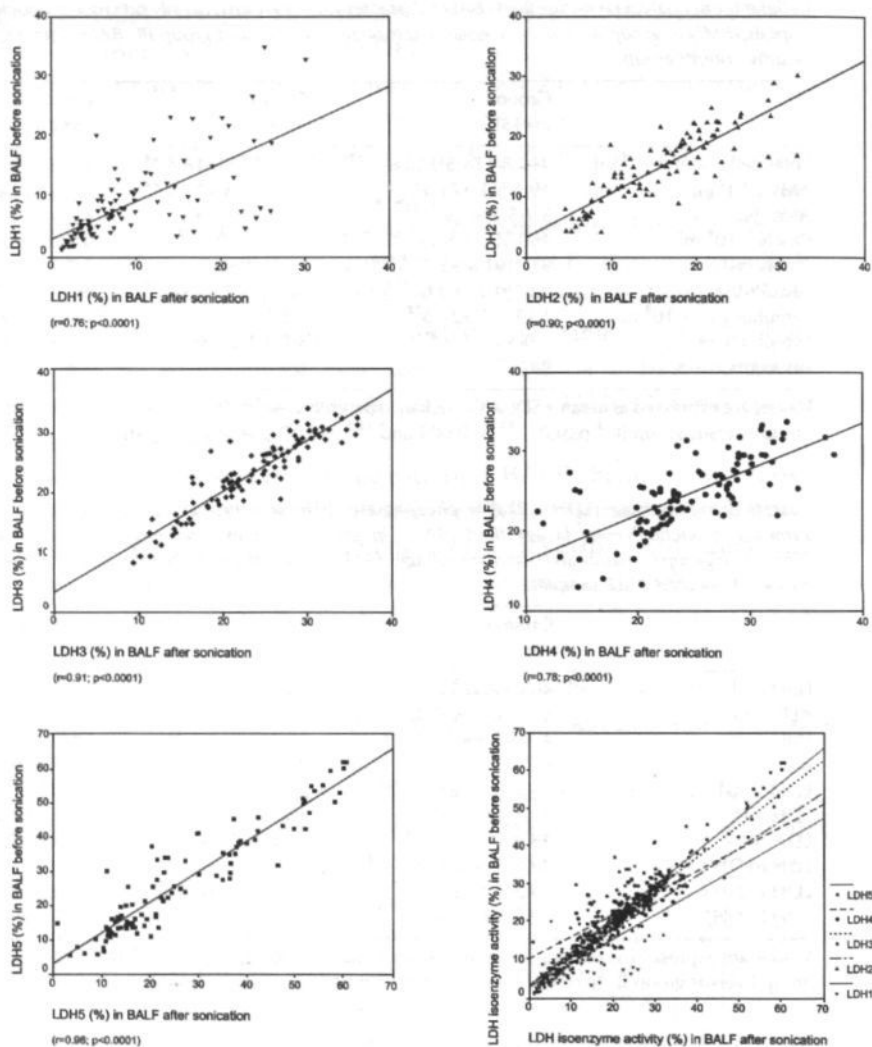


Figure 1. Bronchoalveolar lavage fluid (BALF) lactate dehydrogenase (LDH) isoenzyme percentages before (original BALF) and after lysis of cells present in BALF by sonication.

Table 3.

Lactate dehydrogenase (LDH), alkaline phosphatase (ALP) activities, and percentages of LDH isoenzymes in bronchoalveolar lavage fluid (BALF) in group I: mainly polymorphonuclear neutrophils (PMNs), in group II: mainly alveolar macrophages (AMs) (calculated activities by subtracting the enzyme activities present in the cell free supernatant of the original BALF from the enzyme activities present in the sonicated BALF), and in group IV: lung tissue.

	Group I (n=15)	Group II (n=10)	Group IV (n=9)
LDH (U/l)	497(209)±691*	88(83)2	
(U/g wet weight)			52(50)±15
ALP (U/l)	34.9(11.0)±63.0*	1.1(0.5)±3.2	
(U/g wet weight)			2.1(2.2)±0.9
LDH 1 (%)	5.5(1.9)±8.7**	12.4(4.9)±19.6#	7.2(7.3)±1.3
LDH 2 (%)	9.4(7.5)±7.5***	25.0(22.7)±8.3	19.5(19.6)±1.9
LDH 3 (%)	14.5(13.8)±5.7***	31.9(33.3)±6.7**	29.3(29.6)±1.5
LDH 4 (%)	21.1(21.5)±6.5	23.0(24.9)±8.9	23.9(24.8)±2.6
LDH 5 (%)	50.0(53.0)±15.7****	8.9(9.8)±5.4***	20.0(20.3)±2.2
LDH3/LDH4	0.83(0.67)±0.65****	1.90(1.33)±1.62	1.23(1.27)±0.13
LDH3/LDH5	0.35(0.25)±0.34****	5.26(2.73)±5.05***	1.48(1.45)±0.21
LDH4/LDH5	0.44(0.38)±0.32****	3.56(2.27)±2.83***	1.21(1.18) 0.23

Values are expressed as mean ± SD with median in parentheses. * $p < 0.02$, ** $p < 0.005$, *** $p \leq 0.0005$: group I versus group II. # $p < 0.03$, ** $p \leq 0.007$, *** $p \leq 0.0005$: versus group IV.

DISCUSSION

This study showed that the LDH and ALP activities were higher in the cell-free fraction of BALF that contained mainly PMNs compared to the cell-free fraction of BALF that contained predominantly AMs. This finding is consistent with the higher inflammatory response indicated by the PMNs [1,8,14,21]. The LDH isoenzyme pattern also differed, with the LHD3/LDH5 ratio being lower in all BALF samples with predominantly PMNs than in any BALF sample with predominantly AMs; the ratio was lower in the BALF samples with predominantly PMNs due to mainly high LDH5 values.

Sonication of cells present in BALF appeared not to influence these results. The LDH pattern in BALF with mainly AMs compared most closely with that of lung tissue. The exact mechanism of enzymes with respect to pulmonary cell damage and/or inflammation has to be clarified. In agreement with others, the LDH isoenzyme pattern of the lung was characterized by proportionally higher percentages of LDH3 and LDH4 compared to the normal serum isoenzyme pattern [13,22]. The high percentage of LDH5 in BALF obtained from group I with mainly PMNs compared to LDH5 in lung tissue indicate that the source of



this isoenzyme is more likely the PMNs than lung parenchymal cells. We realize that one of the limitations of the present study is that we did not directly test our hypothesis in isolated, exceptionally pure populations of human neutrophils and AMs. Analysis of these purified cell populations might have significantly strengthened the clinical data; however, differences between groups I and II already were highly indicative for a different enzyme release pattern between PMNs and AMs.

Many studies in animals report the relationship between the LDH activity and pulmonary disorders [13,17,23-31]. Only a few studies on humans have been carried out to investigate the relation between LDH and pulmonary disorders. Increased serum LDH activity was reported after pulmonary embolism [32], *Pneumocystis carinii* pneumonia, tuberculosis, bacterial pneumonia [33], diffuse interstitial pneumonitis [34], extrinsic allergic alveolitis [11], drug-induced respiratory distress [35], lipoid pneumonia [36] and idiopathic pulmonary fibrosis [11,37]. Previously, we found that coal dust deposition in the lung - even many years after the actual exposure - was reflected by an increase in the total serum LDH activity, mainly characterized by a high percentage of LDH3. Since all other liver function tests were within normal limits, the liver was excluded as an other possible source of LDH. Moreover, silica exposure (a component of coal dust) induced pulmonary cell damage resulting in LDH release; these results indicated that the increased LDH originated from lung parenchymal or inflammatory cells, predominantly AMs [38,39].

A marker of type II cell damage and/or proliferation such as ALP was reported to be increased in BALF after exposure to pneumotoxicants [1,16,17,40]. The type II pneumocyte is important in the repair of alveolar epithelium after injury and response to oxidant stress (such as hyperoxia). Capelli *et al.* [18] reported that an increase of the ALP/albumin ratio in BALF obtained from patients with diffuse interstitial disorders was associated with progression of fibrosis. They also found a significant negative correlation of ALP with resting PaO₂. Hypoxemia at rest is an advanced clinical feature of pulmonary fibrosis, reflecting disease severity rather than disease activity. In contrast to the LDH activity, in the present study an increase of ALP activity in BALF did not correlate with any of the cells identified in BALF, suggesting that the source of the ALP was type II cells, rather than neutrophils. This finding is in agreement with earlier studies in animals [16,17,40].

In agreement with Dubar *et al.* [41], we also did not find differences in LDH activities in BALF between smokers and non-smokers. Dubar *et al.* [41] studied the immediate effect of cigarette smoke on cell injury, on cell viability, and cytokine secretion by AMs from guinea pigs and human healthy subjects. They measured LDH release in a culture medium after smoke exposure together with measurement of interleukin (IL)-6 and tumour necrosis factor (TNF)- α activities. The release of LDH from AMs in the culture medium was unchanged both immediately after tobacco smoke exposure and at the time of the cytokine evaluation (18–20 hours later). Furthermore, this study [41] demonstrated that the exposure to tobacco smoke produced significant changes in the AM secretory function without alterations of the cell viability. A study which compared BALF of light and heavy smokers showed no differences in release of LDH by AMs between these groups [42]. Despite alterations of cell function, it has been suggested that smoking causes no cell damage or death reflected by LDH release and elevated serum LDH activity [41].

The activities of enzymes in BALF may provide a quantitative assessment of cell damage and pulmonary defence mechanisms. As mentioned before, not only the amount of the cells involved in an inflammatory response are of importance, but also the activity reflected by the release of, among other inflammatory mediators, enzymes indicating cell damage or death such as LDH and ALP. Moreover, ALP has been associated with type II cell secretion or damage. Type II cells are normally not present in BALF [18]. Therefore, monitoring biochemical changes may be of additional value to a total and differential cell count to establish the inflammatory cell status of a patient. Furthermore, the sensitivity of detecting an increase of the LDH and ALP activity in BALF appeared to be minimally dependent on the volume of fluid used for lavage in contrast to cell counting [15,18,19]. Moreover, in cases with negative culture results assessing enzymatic markers of inflammation and cell damage, such as ALP, LDH and LDH isoenzymes, can have the additional value of identifying which inflammatory cells are involved in the pathologic process. More important, these relatively cheap and easy to perform measurements are available in every hospital. Further studies should be conducted to correlate LDH, its isoenzymes and ALP with different pulmonary disorders.

In conclusion, the LDH isoenzyme pattern of BALF with mainly PMNs differed from BALF with mainly AMs. Sonication of cells in BALF had no additional value in the assessment of the LDH isoenzyme pattern. The LDH3/LDH5 ratio



appears to be useful as a rapid screening test for discriminating between lung inflammation in which mainly AMs are involved and inflammation by predominantly PMNs. The isoenzyme pattern of the AMs resembled the isoenzyme pattern of the lung. The LDH isoenzyme pattern of the lung was characterized by proportionally higher LDH3 and LDH4 compared to the normal serum isoenzyme pattern. Moreover, no relation was found between the ALP activity and the cells present in BALF. This suggests that - in contrast to LDH - these latter enzymes originate from cells not present in BALF. Future studies are needed to elucidate the role of the release of various enzymes in the mechanisms of inflammation and pathogenesis of various pulmonary disorders, as well as the clinical relevance of monitoring the enzyme activities in BALF.

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Diagnostic value of bronchoalveolar lavage fluid cellular profile and enzymes in infectious pulmonary disorders

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Submitted



ABSTRACT

Determination of the cellular profile of bronchoalveolar lavage fluid (BALF), lactate dehydrogenase (LDH) and alkaline phosphatase (ALP) appeared to be useful in monitoring pulmonary damage. The aim of this study was to investigate whether the cellular profile, LDH, its isoenzyme pattern and/or ALP in BALF are useful to distinguish between samples of an infectious and non-infectious etiology.

The BALF specimens of 80 patients were studied. Group I consisted of patients with a pulmonary infection ($n=33$) and group II of patients without signs of a pulmonary infection ($n=47$). Differentiation between these two groups was based upon the results of microscopy and quantitative cultures. The absolute as well as relative numbers of polymorphonuclear neutrophils (PMNs) was significantly higher in group I compared to group II ($p<0.0001$). The absolute number of PMNs showed a sensitivity of predicting the right group of 95.7% and a specificity of 84.8%. The LDH activity in BALF was significantly higher in group I than in group II ($p<0.0001$). The LDH4/LDH5 ratio in BALF was lower in group I compared to group II ($p<0.0001$) and appeared to be the best discriminator between the two groups with a sensitivity of 93.6% and a specificity of 93.9%.

In conclusion, the number of PMNs as well as the LDH activity - particularly its isoenzymes - in BALF appeared to be of potential practical value to distinguish between infectious and non-infectious pulmonary disorders.

INTRODUCTION

Bronchoalveolar lavage (BAL) recovers cells and non-cellular components from the lower respiratory tract and the alveolar spaces. It is thought that alterations in BAL fluid (BALF) and cells reflect pathologic changes in the corresponding parenchymal constituents. A number of studies have shown a good correlation between the type and number of inflammatory cells obtained by BAL and those observed in histologic sections of lung biopsy specimen or derived from mechanically disaggregated lung tissue in several interstitial lung disorders, such as idiopathic pulmonary fibrosis, sarcoidosis, and hypersensitivity pneumonitis [1].



Bronchoalveolar lavage is broadly indicated in every patient with unclear abnormalities demonstrated on chest radiographs of unknown etiology. The underlying disorders may be of infectious, non-infectious, immunologic, or of malignant etiology [1]. Hospital acquired pneumonia, including ventilator associated pneumonia, represent a major source of morbidity and mortality in hospital patients [2,3]. Usually, a pulmonary infection is diagnosed using a number of easily available parameters such as temperature changes, the number of serum leukocytes and bacteria present in the gram stain, as well as new or worsening infiltrates on chest radiograph. Each variable may have a reasonable sensitivity for pneumonia. However the specificity is rather poor as fever, serum leucocytosis, and/or radiologic abnormalities in hospital patients are often due to non-infectious causes [4]. Presently, cultures of BALF are a generally accepted tool in diagnosing pneumonia. A cutoff of quantitative cultures from BALF of $\geq 10^4$ cfu/ml is recommended and appropriate [5]. The sensitivity of BAL (histological diagnosis as gold standard) in the diagnosis of bacterial infections ranges from 60 to 90%; in mycobacterial, fungal, and most viral infections from 70 to 80% and in *Pneumocystis carinii* pneumonia 90 to 95% or higher [1,5]. However, quantitative cultures of any technique, either invasive or non-invasive, take 2 to 4 days before to evaluate. Accordingly, critical decisions concerning antibiotic treatment may be delayed. The use of specific markers – such as the presence of intracellular microorganisms [6], the levels of circulating serum cytokines [7], the levels of endotoxins and the detections of elastin fibres [4] – can provide a rapid diagnosis of pneumonia. However, most of these tests are not available in every hospital laboratory, and therefore, of less clinical relevance in the decision to initiate antibiotic treatment.

Parameters used to detect pulmonary inflammation in BALF most often are quantitative measures of the degree of the inflammatory response. Cellular changes observed in BALF during inflammation include an activation of alveolar macrophages (AMs) and an influx of polymorphonuclear neutrophils (PMNs). Biochemical changes in BALF are suggested to be useful to detect pulmonary injury [8]. An increase of the activity of lactate dehydrogenase (LDH) and alkaline phosphatase (ALP) or of other enzymes which are normally intracellular in the recovered BALF, reflects lung parenchyma cell damage or cell death. The ALP activity in BALF has been associated with type II cell damage or stimulation [9]. These latter cells are normally not present in BALF. Several pulmonary disorders have been associated with elevated LDH activity in serum as



well as in BALF [10]. Lung parenchymal cells and/or local inflammatory cells – including AMs and PMNs – may be potential sources of LDH in BALF.

Previously, we demonstrated that the LDH isoenzyme pattern differed between BALF samples with mainly PMNs (high LDH5) and BALF samples with mainly AMs (high LDH3) [11]. As a consequence, the LDH3/LDH5 ratio appeared to be significantly lower in BALF samples with predominantly PMNs compared to BALF samples with mainly AMs.

The aim of the present study was to evaluate whether the cellular profile and/or enzyme activity, *e.g.* ALP, LDH and its isoenzymes in BALF, have additional practical value to distinguish between samples of an infectious and non-infectious etiology.

METHODS

GENERAL EXPERIMENTAL DESIGN

The study was conducted at the University Hospital Maastricht, the Netherlands, from February 1996 till January 1998. Eighty BALF samples were used for this study. The indication for the lavage varied. Mostly, a pulmonary infection or a diffuse interstitial lung disease was suspected. Exclusion criteria were BALF recovery less than 35 ml and contamination with red blood cells and/or oropharyngeal cells. Additionally, these 80 BALF samples were divided into two groups: group I consisted of BALF samples obtained from patients with a confirmed pulmonary infection ($n=33$) (based on culture results $\geq 10^4$ cfu/ml) and group II consisted of BALF samples from patients without signs of pulmonary infection ($n=47$) (based on negative culture results $< 10^3$ cfu/ml). The positive culture results of the BALF samples obtained from group I were: *Haemophilus influenzae* ($n=7$), *Staphylococcus aureus* ($n=6$), *Pseudomonas aeruginosa* ($n=6$), *Escherichia coli* ($n=2$), *Proteus mirabilis* ($n=2$), *Streptococcus pneumoniae* ($n=1$), *Klebsiella pneumoniae* ($n=1$), *Klebsiella oxytoca* ($n=1$), *Citrobacter diversus* ($n=1$), *Pseudomonas aeruginosa* and *Proteus mirabilis* ($n=1$), *Staphylococcus aureus* and *Haemophilus influenzae* ($n=1$), *Streptococcus pneumoniae* and *Neisseria meningitidis* ($n=1$), *Escherichia coli* and *Klebsiella oxytoca* ($n=1$), *Escherichia coli* and *Haemophilus influenzae* ($n=1$), *Proteus mirabilis* and *Haemophilus influenzae* ($n=1$). The patients of group II suffered from: drug-induced interstitial lung disease and pulmonary fibrosis ($n=16$), acute respiratory distress syndrome (ARDS) developed for several rea-



sons (n=4), pulmonary manifestation of malignancy (n=3), sarcoidosis (n=2), cardiac failure and pulmonary oedema (n=2), lung contusion after trauma (n=3), immuno-compromised disorders with chest radiograph abnormalities (n=5), chemical pneumonitis after aspiration (n=2) and no diagnosis (n=10). A group of 8 healthy volunteers without a relevant medical history, was used as a control group.

BRONCHOALVEOLAR LAVAGE

Bronchoalveolar lavage was performed as reported previously during fibreoptic bronchoscopy [12]. The procedure is briefly described. After premedication (0.5 mg atropine intramuscular and sometimes 5–10 mg diazepam orally), and local anaesthesia of the larynx and bronchial tree (lidocaine 0.5%) BAL was performed by standardized washing of the involved lobe with four aliquots of 50 ml sterile saline (0.9 % NaCl) at 37°C. Upon arrival in the laboratory, the recovered volume of the BALF was recorded. The first fraction (bronchial fraction) was discarded and the remaining fractions were pooled. After mixing, the BALF was split into two portions, portion one was immediately sent to the department of clinical chemistry and portion two was used for cytologic and microbiological analysis. The total cell count was performed in a Fuchs-Rosenthal haemocytometer chamber. Cytocentrifugation was done with the Shandon Cytospin 3 apparatus (Shandon Scientific Ltd. Astmoor, England), using the following conditions; speed 650 rpm, time: 10 min, and acceleration rate: low. In order to obtain monolayer preparations, the number of drops per preparation was adjusted according to the total cell count. The preparations were air dried and subsequently stained according to the May-Grünwald Giemsa (MGG) and Gram staining methods. The differential cell count of the MGG stained preparations was performed by one observer counting 500 nucleated cells. The number of cells containing intracellular organisms was expressed as a percentage of all nucleated cells counted. In this study, BALF samples containing excessive amounts of red blood cells, squamous epithelial and/or ciliated cells, background debris or damaged nucleated cells were excluded from analysis. Also, BALF samples demonstrating *Pneumocystis carinii* cysts were excluded. Quantitative bacterial cultures were performed on appropriate media incubated both aerobically and anaerobically. Mycobacterial and fungal cultures were performed on all BALF samples. Cultures for viruses and *Legionella* spp. were done, when clinically indicated. Of infectious etiology, BALF samples were defined as those samples with



a quantitative culture yielding $\geq 10^4$ colony forming units cfu/ml. As of non-infectious etiology, BALF samples were categorized if standard bacterial cultures yielded micro-organisms in quantities less than 10^4 cfu/ml and if other cultures failed to reveal any pathogen and the absence of intracellular organisms at microscopic examination.

LABORATORY TESTS

In the second portion of the BALF samples, chemical analyses including LDH, LDH isoenzymes, ALP, total protein and albumin were performed additionally. The LDH activity was measured at 37°C by an enzymatic rate method, using pyruvate as a substrate. The test was performed on a Beckman Synchron CX-7 system with Beckman reagents (testkit 442660) and was optimized according to the recommendations of the Deutsche Gesellschaft für Klinische Chemie (DGKC-recommendations) [13]. The system monitors the reduction of pyruvate to L-lactate with the concurrent oxidation of β -nicotinamide adenine dinucleotide (NADH; reduced form) at 340 nm. The change in absorbance at 340 nm, caused by the disappearance of NADH was measured over a fixed time interval and is directly proportional to the LDH activity. The LDH activity was expressed in micromoles of substrate (pyruvate) converted per minute (U), per litre serum at 37°C. The measuring range is 10–1800 U/l, for concentrations of 1800–3800 U/l the samples were automatically diluted with saline and re-analysed and for higher concentrations manual dilution was required. The reference ranges in serum for LDH are 200–450 U/l.

The surface charge difference was the basis on which the five LDH isoenzymes were separated by electrophoresis on the Beckman Appraise system using the LDH isoenzyme electrophoresis testkit (P/N 655940) [13]. After separation of the LDH isoenzymes by electrophoresis, the agarose gel was incubated with a reaction mixture, containing the LDH substrate lactate, the coenzyme NAD^+ and a tetrazolium salt. During this incubation NADH was formed at the zones on the gel, where the LDH isoenzymes were present. The NADH generated, was detected by its reduction of the tetrazolium salt to the coloured bands, which could be quantitated by scanning the gel at 600 nm.

The ALP activity was measured at 37°C by an enzymatic rate method using p-nitrophenylphosphate as a substrate. The test was performed on a Beckman Synchron CX-7 system with Beckman reagents (testkit 442670). At an alkaline pH of 10.3, using a 2-amino-2-methyl-1 propanol (AMP) buffer, ALP catalyses the



hydrolysis of the colourless organic phosphate ester substrate, p-nitrophenylphosphate, to the yellow coloured product p-nitrophenol and phosphate. The system monitors the rate of change in absorbance at 410 nm over a fixed-time interval. The rate of change in absorbance is directly proportional to the ALP activity, which is expressed in micromoles substrate (p-nitrophenyl phosphate) converted per minute (U), per litre serum at 37°C. The measuring range is 10–800 U/l, for concentrations of 800–1800 U/l the samples were automatically diluted with saline and re-analysed and for higher concentrations manual dilution was required. Total protein and albumin have been determined on a synchron CX-7 analyser (Beckman Instruments Inc, California, USA), using test kits from Beckman Instruments Inc.

STATISTICAL EVALUATION

Data are expressed as mean \pm standard error of the mean. In order to detect statistically significant differences between the two patients groups, for each explanatory variable separately, the Mann-Whitney *U* test was used. Logistic regression was used to test the discriminatory effect of explanatory variables simultaneously. In these analyses likelihood ratio tests were used; variables with a significance larger than 10% were left out of the logistic regression models. The results are presented by means of receiver operation characteristics curves (ROC) [14].

RESULTS

The characteristics and serum laboratory results of the studied groups are summarized in table 1. The cellular profile, ALP, LDH and LDH isoenzyme activities in BALF samples of infectious and non-infectious etiology were examined. The cellular characteristics of BALF of the studied groups are given in table 2. The enzyme and protein concentrations are summarized in table 3. The percentage of AMs was significantly lower in the infectious group ($7.0 \pm 1.3\%$) compared to the non-infectious group ($47.4 \pm 3.7\%$, $p < 0.0001$). The absolute number as well as the percentage PMNs was significantly higher in the infectious group ($309.6 \pm 81.3 \times 10^4/\text{ml}$ and $90.5 \pm 1.5\%$) compared to the non-infectious group ($5.5 \pm 1.2 \times 10^4/\text{ml}$ and $21.6 \pm 3.4\%$, $p < 0.0001$ and $p < 0.0001$ respectively).



Table 1. Summary of characteristics and serum laboratory results of the two studied groups: group I (patients with bacterial pulmonary infection), group II (patients without a pulmonary infection) and of a healthy control group.

	Group I (n=33)	Group II (n=47)	Controls (n=8)
Male/female	24/9	23/24	4/4
Age (yrs)	60 ± 3	54 ± 2	56 ± 6
LDH (U/l)	727 ± 50 [#]	1026 ± 262 [#]	361 ± 8
ALP (U/l)	180 ± 47 [#]	160 ± 26 [#]	78 ± 3
Total protein (g/l)	50 ± 2.0 [#]	58 ± 2.2 [#]	72 ± 0.5
Albumin (g/l)	18 ± 1.2 ^{**}	25 ± 1.7 [#]	43 ± 0.3

Values are expressed as mean ± standard error of the mean. [#]p<0.02 group I versus group II. [#]p<0.001 versus controls. LDH=lactate dehydrogenase, ALP=alkaline phosphatase.

Table 2. Cellular characteristics in bronchoalveolar lavage fluid (BALF) samples of group I (infectious etiology), group II (non-infectious etiology) and of control subjects.

	Group I (n=33)	Group II (n=47)	Controls (n=8)
Recovery (ml)	57.7 ± 5.0 [#]	89.2 ± 5.3 ^{***}	95.7 ± 12.8
Total cell count × 10 ⁴ /ml	329.1 ± 84.8 ^{***}	25.6 ± 3.3 ^{***}	14.3 ± 1.3
PMNs × 10 ⁴ /ml	309.6 ± 81.3 ^{***}	5.5 ± 1.2 ^{***#}	0.2 ± 0.1
PMNs (%)	90.5 ± 1.5 ^{***}	21.6 ± 3.4 ^{***#}	1.7 ± 0.5
AMs × 10 ⁴ /ml	14.3 ± 3.3	12.3 ± 2.2	12.7 ± 1.2
AMs (%)	7.0 ± 1.3 ^{***}	47.4 ± 3.7 ^{***##}	89.1 ± 1.7
Lymphocytes × 10 ⁴ /ml	4.5 ± 1.5	7.1 ± 1.4 ^{**}	1.0 ± 0.3
Lymphocytes (%)	1.9 ± 0.5 [#]	27.5 ± 3.4 ^{***##}	6.8 ± 2.1
Eosinophils × 10 ⁴ /ml	0.091 ± 0.059	0.219 ± 0.057 ^{***#}	0.004 ± 0.004
Eosinophils (%)	0.044 ± 0.270	0.950 ± 0.270 ^{***#}	0.033 ± 0.033
Mast cells × 10 ⁴ /ml	0.185 ± 0.990	0.067 ± 0.015 [*]	0.030 ± 0.013
Mast cells (%)	0.075 ± 0.033	0.360 ± 0.120 ^{**}	0.200 ± 0.089

Values are expressed as mean ± standard error of the mean. AMs=alveolar macrophages, PMNs=poly-morphonuclear neutrophils. ^{*}p<0.05, ^{**}p<0.01, ^{***}p<0.0001: group I versus group II. [#]p<0.05, ^{**}p<0.01 and ^{***}p<0.0001: versus controls.

Between both studied patient populations with disorders of infectious and non-infectious etiology, respectively, the ALP activity, albumin and total protein revealed no significant differences (table 3). However, compared to the control group, these parameters were significantly higher in both studied groups (table 3). The LDH activity in BALF of group I (infectious etiology) was significantly higher (662±125 U/l) compared to group II (non-infectious; 147±22 U/l, p<0.0001). Moreover, the LDH isoenzyme pattern differed between both



Table 3.

Lactate dehydrogenase (LDH), percentage of LDH isoenzymes, alkaline phosphatase (ALP), total protein and albumin in bronchoalveolar lavage fluid (BALF) samples of group I (infectious etiology), group II (non-infectious etiology) and of healthy control subjects.

	Group I (n=33)	Group II (n=47)	Controls (n=8)
Total protein (mg/l)	614 ± 155 ^{***}	646 ± 163 ^{***}	28 ± 3
Albumin (mg/l)	297 ± 97 ^{***}	344 ± 96 ^{***}	17 ± 2
ALP (U/l)	55 ± 10 ^{***}	45 ± 7 ^{***}	7 ± 2
LDH (U/l)	662 ± 125 ^{***}	147 ± 22 ^{*#}	64 ± 4
LDH1 (%)	3.0 ± 0.3 ^{***}	8.6 ± 0.9 ^{*#}	14.5 ± 2.1
LDH2 (%)	6.9 ± 0.5 ^{***}	15.6 ± 0.8 ^{*#}	23.1 ± 1.5
LDH3 (%)	13.7 ± 0.6 ^{***}	25.2 ± 0.7 ^{*#}	29.1 ± 0.8
LDH4 (%)	22.1 ± 0.5	26.4 ± 0.6 [*]	22.9 ± 1.7
LDH5 (%)	54.3 ± 1.5 ^{***}	24.0 ± 1.6 ^{*#}	10.5 ± 0.9
LDH3/LDH5	0.27 ± 0.02 ^{***}	1.57 ± 0.20 ^{*#}	2.86 ± 0.24
LDH4/LDH5	0.44 ± 0.02 ^{***}	1.43 ± 0.12 ^{*#}	2.21 ± 0.15

Values are expressed as mean ± standard error of the mean. * $p < 0.0001$ group I versus group II. # $p < 0.05$, ** $p < 0.005$ and *** $p < 0.0001$ versus controls.

groups, particularly LDH5 (table 3). The LDH3/LDH5 and the LDH4/LDH5 ratio were significantly lower in the BALF samples of infectious etiology (0.27 ± 0.02 and 0.44 ± 0.02 U/l) compared to the non-infectious BALF samples (1.57 ± 0.20 and 1.43 ± 0.12 U/l, $p < 0.0001$). In serum, LDH was elevated in the infectious group (727 ± 50 U/l) as well as in the non-infectious group (1026 ± 262 U/l) compared to the controls ($p < 0.001$) but no significant difference was found between both groups respectively (table 1).

When using only the absolute number of PMNs per ml, the sensitivity of predicting the right group was $100(45/47) = 95.7\%$, with a lower specificity of $100(28/33) = 84.8\%$ (cutoff point: $23.7 \times 10^4/\text{ml}$) (figure 1 and 2). As it is shown in figure 3, with respect to the enzyme activity, logistic regression analysis revealed the best discrimination between both groups using the LDH4/LDH5 ratio with a sensitivity of $100(44/47) = 93.6\%$ and a specificity of $100(31/33) = 93.9\%$ (cutoff point 0.60). A LDH4/LDH5 ratio above 0.80 pointed to a non-infectious nature of the BALF with a 100% specificity, at the cost, however, of a lower sensitivity $100(33/45) = 73.3\%$. In contrast, a LDH4/LDH5 below 0.50 was indicative for an infectious nature of the BALF with a specificity of 100% and a sensitivity of $100(28/33) = 84.8\%$, respectively. Using the LDH3/LDH5 ratio a sensitivity of $100(45/47) = 95.7\%$ and a specificity of $100(29/33) = 87.9\%$ was found. The smoking history was of no influence on the presented results.

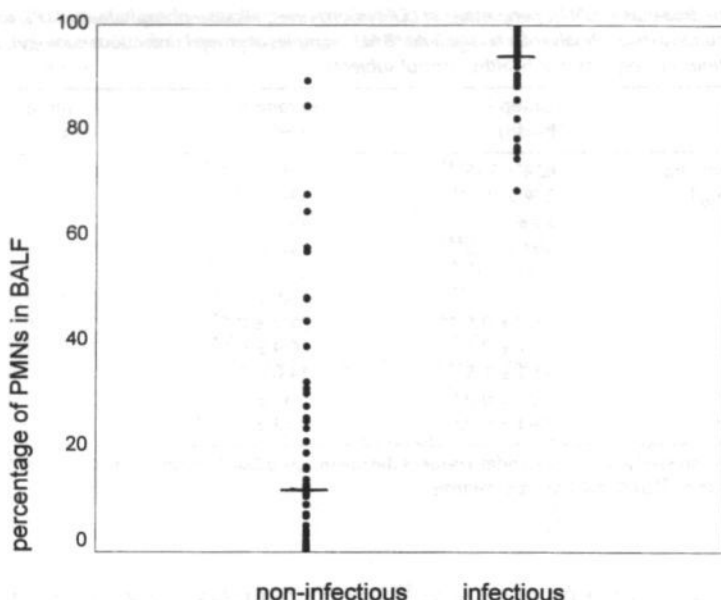


Figure 1. Scatterplot of polymorphonuclear neutrophils (PMNs) in bronchoalveolar lavage fluid of patients with a bacterial pulmonary infection and patients without a pulmonary infection.

In the group of non-infectious BALF samples, four patients were diagnosed as having ARDS. In this latter subgroup, the absolute number ($5.56 \pm 3.66 \times 10^4/\text{ml}$) and relative number of PMNs ($30 \pm 13\%$), the LDH ($168 \pm 54 \text{ U/l}$) and LDH4/LDH5 ratio (1.15 ± 0.34) in BALF, also were significantly different from the BALF samples of infectious etiology.

DISCUSSION

This study demonstrated that the cellular profile of BALF samples of infectious etiology was significantly different from samples of non-infectious etiology. Particularly the absolute and relative number of PMNs were significantly higher in the infectious group. Furthermore, the LDH activity in BALF was higher in the infectious group compared to the non-infectious group. Moreover, the

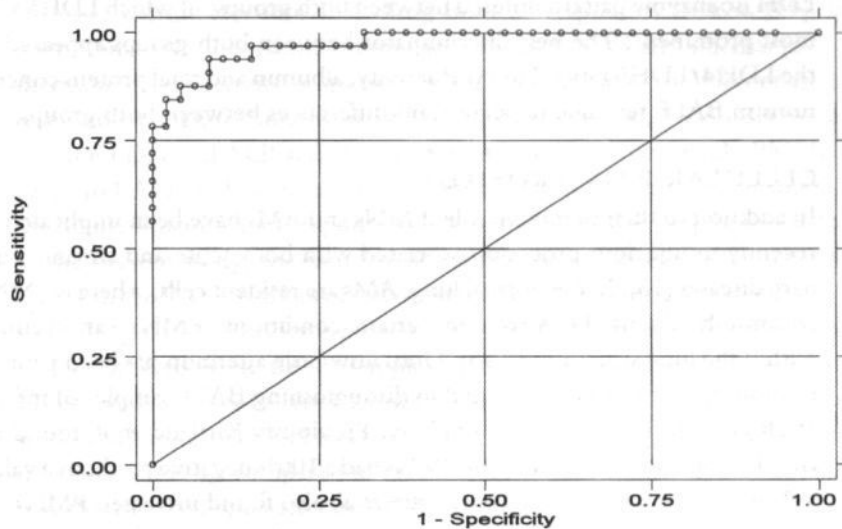


Figure 2.

Receiver-operating characteristic curve of the absolute number of polymorphonuclear neutrophils per ml. Area under the receiver-operating characteristic curve is 0.9774.

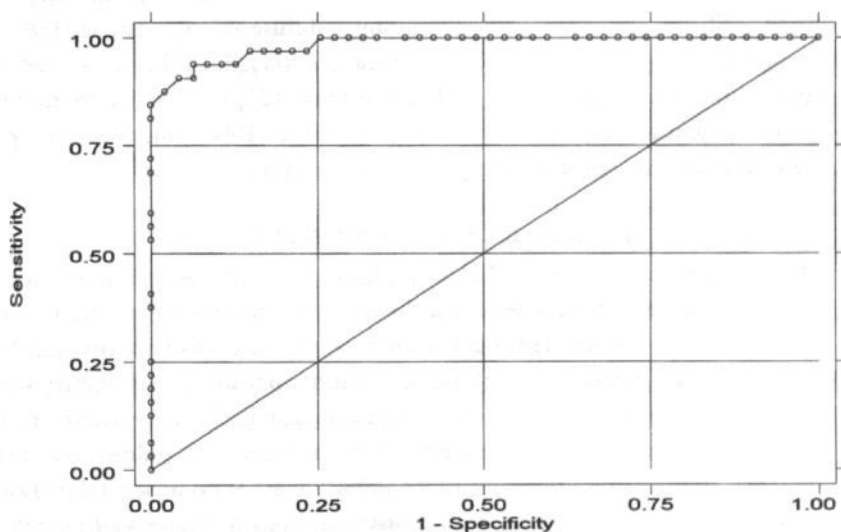


Figure 3.

Receiver-operating characteristic curve of the LDH4/LDH5 ratio. Area under the receiver-operating characteristic curve is 0.9847.



LDH isoenzyme pattern differed between both groups, of which LDH5 was the most prominent. The best discriminator between both groups appeared to be the LDH4/LDH5 ratio. The ALP activity, albumin and total protein concentrations in BALF revealed no significant differences between both groups.

CELLULAR BALF PROFILE

In addition to their defensive role, PMNs and AMs have been implicated more recently in injurious processes associated with both acute and chronic pulmonary diseases [15]. In the normal lung, AMs are resident cells, whereas PMNs are commonly absent. However, in certain conditions, PMNs can accumulate within the lung structures [1,15]. Until now little attention has been paid to the possible role of this particular cell in distinguishing BALF samples of infectious etiology from non-infectious etiology. Previously Kirtland *et al.* found that a BALF sample with less than 50% PMNs had a 100% negative predictive value for histologic pneumonia [16]. Marquette *et al.* also found increased PMNs in patients with pneumonia ($87 \pm 13\%$) in comparison to patients without pneumonia ($49 \pm 32\%$) [17]. In line with this in the present study, a high total cell count as well as increased number of PMNs was found in the BALF samples of infectious etiology compared the group of non-infectious etiology. Moreover, analysis of the cellular profile was useful to identify other causes of the pulmonary damage such as fibrosis, drug-induced pneumonitis, diffuse alveolar damage [18,19] and malignant infiltrates [5,20]. Furthermore, extracellular bacteria, neutrophils with intracellular bacteria [6] and elastin fibres [21] were more frequently observed in gram-stained samples of cytocentrifuged BALF obtained from patients with pneumonia compared to patients without pneumonia [4].

LACTATE DEHYDROGENASE ACTIVITY IN BALF

The enzyme activity in BALF may provide a quantitative assessment of cell damage and pulmonary defence mechanisms. As mentioned before, not only the amount of cells involved in an inflammatory response are of importance, but also the activity reflected by the release of inflammatory mediators or enzymes indicating cell damage or death such as LDH and ALP [22]. Many studies in animals reported the relationship between LDH activity and pulmonary disorders [22,23]. In human, high serum LDH activity was found in several pathological pulmonary conditions, such as pulmonary embolism, *Pneumocystis carinii* pneumonia, tuberculosis, bacterial pneumonia [24], diffuse interstitial pneumonitis,



extrinsic allergic alveolitis [25], drug-induced respiratory distress [19], lipoid pneumonia [18], idiopathic pulmonary fibrosis [25,26] and silicosis [27]. Furthermore, LDH activity in sputum appeared to be useful to differentiate lower respiratory tract infections from other clinical entities [28]. Previously, we found that the LDH and ALP activity was higher in BALF with mainly PMNs compared to BALF with predominantly AMs [11]. This finding was consistent with the higher inflammatory response indicated by the PMNs [29]. In line with this, in the present study, the number of PMNs, as well as the LDH activity was higher in the BALF samples of infectious etiology. Furthermore, in our previous study [11], the LDH isoenzyme pattern in BALF samples with predominantly PMNs differed from BALF samples with mainly AMs mainly due to high LDH5, and as a consequence a lower LDH3/LDH5 ratio. In the present study we found a different LDH isoenzyme pattern in the group of BALF samples of infectious etiology compared to the samples of non-infectious etiology. Comparable with the higher amount of PMNs in the infectious group, also a different LDH isoenzyme pattern was found, with a lower LDH3/LDH5 and LDH4/LDH5 ratio mainly due to the higher LDH5 activity observed. Moreover, besides the absolute amount of PMNs, the LDH4/LDH5 ratio appeared to be a good discriminator between the infectious and non-infectious group.

Distinguishing ARDS from active pulmonary infections in an early stage is of great clinical importance as both entities require a different therapeutic approach [30]. Meduri [31] and others [32] reported a marked neutrophilia in BALF in ARDS, predominantly in early ARDS. These studies particularly included patients with sepsis-induced ARDS. The non-infectious group of the present study included only four patients suffering from ARDS. Compared to the infectious group, these four cases had low number of PMNs, low LDH5 activity and a high LDH4 / LDH5 ratio. However, we realize that these results should be interpreted with care due to the rather limited samples size of the studied ARDS population and the different time between onset of ARDS and lavage. The results of BALF depend on the phase of ARDS (exudative, proliferative and fibrotic) [33]. Furthermore, different mechanisms may be present in ARDS that develops after trauma, infectious etiology such as sepsis or other conditions.

ALKALINE PHOSPHATASE IN BALF

Type II pneumocytes are important in the repair of alveolar epithelium after injury and response to oxidant stress (such as hypoxemia). Normally, type II cells



are not present in BALF [9,34]. The ALP activity, a marker of type II cell damage and/or proliferation was reported to be increased in BALF after exposure to pneumotoxicants [9,23,35] and associated with progression of fibrosis [34]. In the present study in both studied patient populations the BALF samples showed high ALP activity compared to the control group, indicating type II involvement in the pathophysiological process. However, no difference in ALP activity was found between BALF samples of infectious and non-infectious etiology, respectively. So, ALP activity, in contrast to LDH, did not differentiate between inflammatory processes of infectious or non-infectious etiology.

ADVANTAGE OF ADDITIONAL ENZYME DETECTION IN BALF

The sensitivity of detecting an increase of the enzyme activity in BALF was found to be minimally dependent on the volume of fluid used for lavage (data not shown) in contrast to cell counting [36]. Furthermore, assessing enzymatic markers of inflammation and cell damage – such as ALP, LDH and LDH isoenzyme activities – appeared to be of additional value to identify which inflammatory cells were involved in the pathologic process. So, if it is not possible to assess the total and differential cell count, monitoring biochemical changes may be of value to establish the inflammatory cell status of a patient. Measurement of LDH, ALP activity and LDH isoenzymes can be achieved within a two hours period. Thus, the detection of these enzyme activities is available within a very short delay. Moreover, these relatively cheap and easy to perform measurements are available in every hospital.

CONCLUSION

In conclusion, besides bacterial cultures of BALF obtained from patients suspected of infectious pulmonary disorders, assessment of the total and differential cell count and monitoring biochemical changes appeared to be of practical additional value. In this context, the absolute number of PMNs and even more the LDH4/LDH5 ratio in BALF were found to be sensitive to distinguish between disorders of infectious and non-infectious etiology. Further clinical studies are required to clarify the importance of our results and to evaluate the place of enzymatic markers in the context of clinical outcome.



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General discussion and summary



GENERAL DISCUSSION

Interest in the pathogenetic mechanisms of lung injury has focussed on the cellular and biochemical mediators considered as potential biological markers of lung injury. Cytoplasmic cellular enzymes, like lactate dehydrogenase (LDH) in the extracellular space, although of no further metabolic function in this space, are of benefit because they serve as indicators suggestive of disturbances of the cellular integrity induced by pathological conditions. If cell lysis occurs, cytoplasmic enzymes, such as LDH are released into the extracellular space. Therefore, the extracellular appearance of LDH is used to detect cell damage or cell death. Other cellular enzymes, such as alkaline phosphatase (ALP), a membranebound indicator of type II cell secretory activity or the lysosomal enzyme β -glucuronidase (BGD), an indicator of phagocytic activity, can also be used as sensitive markers of cellular integrity and cellular toxicity induced by pathological conditions.

Aim of this study was to evaluate the clinical value of these three different enzymatic markers to monitor lung cellular damage or inflammation. The studies presented in this thesis were performed on serum, pleural fluid, bronchoalveolar lavage fluid (BALF) and on lung tissue samples. The value of LDH, ALP and BGD activities in patients suffering from various lung diseases, *e.g.* patients with silicosis pulmonum, pleural effusions and infectious disorders was examined.

In **chapter 1**, the general introduction, the release mechanism of cellular enzymes is described. In addition, the clinical relevance of enzymatic markers LDH, LDH isoenzymes, ALP and BGD was discussed. Furthermore, the bronchoalveolar (BAL) procedure is described. Finally, the aims of this study provided an introduction to the experimental work described in the chapters 3 to 8.

Chapter 2 provided a review of the knowledge of the usefulness of monitoring the activity of LDH and its isoenzyme pattern as indicators of pathological conditions of the lung, such as cell damage or inflammation. Since LDH is an enzyme present in essentially all major organ systems, serum LDH activity is abnormal in a large number of disorders. Although the increase in total serum LDH activity is rather non-specific, it is proposed that measurement of LDH and its isoenzyme patterns in pleural effusion and, more recently, in BALF may provide additional information regarding lung and pulmonary endothelial cell injury.




The clinical value of monitoring LDH activity together with its isoenzymes in serum, in BALF as well as in pleural effusions was summarized.

In animal studies, high LDH activity was found after exposure to silica. The aim of the study presented in **chapter 3** was to investigate the serum LDH isoenzyme pattern after coal dust exposure and the possible relation to pulmonary function tests. Ex-coalminers ($n=201$), with a history of coal dust exposure more than 20 years ago, were included in the study. Healthy subjects - without a relevant medical history - were used as controls ($n=48$). The serum LDH activity was found to be elevated in 79.1% of the ex-coalminers ($n=159$). Moreover, in 97.5% of the cases a high percentage of LDH3 was demonstrated ($n=196$). A moderate negative relationship was found between the forced expiratory volume in 1 seconde (FEV_1) and the LDH activity, as well as between the FEV_1 and the percentage of LDH3, even in the subgroup with a normal LDH ($n=42$). All other liver function tests were within normal limits.

These results suggest that coal dust, even many years after the actual exposure, is related to an increase in the total serum LDH activity and to changes of the LDH isoenzyme pattern, mainly characterized by a high LDH3 activity.

Beta-glucuronidase, a lysosomal enzyme, is a biomarker of phagocytosis, inflammation or cell death. In quartz exposed animals, an increase in the extracellular activity of this enzyme implied damaged lysosomal membrane permeability. The aim of the study presented in **chapter 4** was to investigate whether 1) BGD activity is useful in the assessment of pulmonary damage caused by coal dust exposure and 2) whether LDH and BGD are separate entities or whether they are involved simultaneously in the pathophysiological conditions in the lung caused by coal dust. Therefore, the relationship between BGD and LDH activity in serum, as well as their relationship with other clinical parameters was evaluated in a population with a history of coal dust exposure as well as a non-exposed control group.

Ex-coalminers ($n=191$) were included in this study. Healthy subjects - without a relevant medical history - were used as controls ($n=48$). In the ex-coalminers serum BGD activity was higher compared to the control group. In addition, in a subgroup of ex-coalminers with a normal serum LDH ($n=39$) as well as in the subgroup with elevated serum LDH ($n=152$), serum BGD appeared to be elevated compared to the control group. Moreover, ex-coalminers with a normal



chest radiograph (n=49) also demonstrated elevated serum BGD activity compared to the control group.

These results demonstrated that the serum BGD activity was increased in ex-coalminers, even in those subjects with a normal serum LDH as well as in those with a normal chest radiograph. This indicates, that BGD can be considered as a potential biomarker in monitoring pulmonary inflammation caused by coal dust exposure.

Lactate dehydrogenase has been widely used in the analysis of pleural effusion, especially to distinguish between transudates and exudates. Lactate dehydrogenase isoenzymes have also been used to classify the nature of pleural effusion. However, various groups reported conflicting results. The objective of the study in **chapter 5** was to evaluate the additional diagnostic value of LDH isoenzymes in the analysis of pleural effusion.

Pleural fluid samples obtained from three respective diagnostic groups: transudative effusions (group I), parapneumonic effusions (group II) and malignant effusions or pleuritis carcinomatosa (group III) were evaluated. Total LDH activity and the LDH isoenzyme pattern differed significantly between transudative (group I) and exudative (group II and III) effusions. Exudative effusions showed a low percentage of LDH1, whereas the percentages of LDH4 and LDH5 were high compared to transudative effusions. Moreover, in exudative effusions the percentage of LDH4 and LDH5 were significantly higher in malignant effusions compared to parapneumonic effusions. In contrast to the LDH isoenzyme percentages, the absolute values of LDH isoenzymes did not differ. A moderate discrimination by logistic regression analysis was found between parapneumonic and malignant effusions, simultaneously using LDH, glucose and LDH2 and LDH4 activity as explanatory variables.

The LDH isoenzyme pattern differed between pleural effusions of transudative and exudative etiology. However, including LDH isoenzyme activities in the biochemical work-up of pleural effusions revealed no additional discriminatory value in the assessment of the classification of these effusions.

The aim of the study in **chapter 6** was to evaluate the diagnostic value of BGD in the analysis of pleural effusions, in particular in the differentiation between parapneumonic, infectious and malignant etiology. It is tempting to speculate that BGD, useful to detect phagocytic activity or lysis of phagocytic cells, such as



alveolar macrophages (AMs) or polymorphonuclear neutrophils (PMNs), is of additional value to distinguish various exudative pleural effusions. Furthermore, malignant cells elaborate enzymes, such as BGD, that catabolize glycosaminoglycans (the compounds that are largely responsible for imparting viscosity of the intercellular ground substance), which facilitates invasion of surrounding tissue. Pleural fluid samples obtained from four respective diagnostic groups: transudative effusions (group I), parapneumonic (group II), malignant effusions or pleuritis carcinomatosa (group III) and empyema (group IV), were evaluated. The BGD activity differed significantly between transudative (group I) and exudative (group II+III+IV) effusions, as well as between parapneumonic and malignant effusions, parapneumonic effusions and empyema, and malignant effusions and empyema. Logistic regression analysis yielded a discrimination between transudates and exudates using BGD, but the best discrimination was found using LDH and protein as explanatory variables. The discrimination between parapneumonic and malignant effusions was difficult using LDH activity together with protein concentration. This discrimination improved by using BGD activity alone, however, no additional clinical value was achieved. In conclusion, BGD activity differed between effusions of various origin. However, including BGD in the biochemical work-up of pleural effusions revealed no additional discriminatory value in the assessment of the classification of exudative effusions.

Alterations in BALF reflect pathological changes in the lung. Cellular changes in BALF during inflammation include an activation of AMs and an influx of PMNs. An increase of the LDH activity in the recovered BALF was found to be associated with several pulmonary disorders. An increase in airway LDH activity might arise from diverse sources, including rupture of airway and/or epithelial cells or local inflammatory cells including AMs and PMNs. Transudation of serum proteins due to increased permeability of the alveolar/capillary barriers is another potential source of LDH activity. Alkaline phosphatase is a membrane bound enzyme secreted mainly by type II cells along with surfactant and is also present in PMNs.

We hypothesized that AMs and PMNs release different LDH isoenzymes. The purpose of the study in **chapter 7** was to determine whether it is possible to identify different ALP or LDH activities, or different LDH isoenzyme patterns for AMs and PMNs.



Therefore, BALF samples obtained from patients with various pulmonary disorders were studied. Out of these samples a group with mainly PMNs and another group with mainly AMs were selected. By measuring LDH activity both before and after sonication of the cells present in BALF, we were able to estimate the LDH isoenzyme patterns of the different cells. Cellular enzyme content was obtained by subtracting the enzyme activity present in the original cell-free supernatant of the BALF from the total enzyme activity of the sonicated BALF. Normal tissue samples were obtained from lung specimens of patients after resection of T₁N₀M₀ squamous cell bronchial carcinoma without further relevant pulmonary history. In the latter samples ALP, LDH activity and LDH isoenzymes were measured.

The cell-free fraction of BALF of the group with mainly AMs showed lower LDH and ALP activity compared to the group with mainly PMNs. No relation was found between the ALP activity and cells present in BALF. The LDH isoenzyme pattern differed, with the LDH3/LDH5 ratios being lower in all BALF samples with predominantly PMNs compared to the BALF samples with predominantly AMs. The LDH isoenzyme pattern of the group BALF samples with predominantly AMs resembled the isoenzyme pattern of the lung tissue the most, although LDH5 was significantly higher in the tissue samples. Sonication of cells present in BALF appeared not to influence these results.

In conclusion, measurement of enzymes in a BALF sample reflected the cells present in that BALF sample. Therefore, determination of enzyme activity in BALF appears to be useful in monitoring pulmonary inflammation.

Cultures of BALF are a generally accepted tool in the diagnostic work-up of patients with suspected pneumonia. The sensitivity of results from cultures recovered by BALF samples, in the diagnosis of bacterial infections ranges from 60% to 90%; in mycobacterial, fungal and most viral infections from 70% to 80% and in *Pneumocystis carinii* pneumonia 90% to 95%. This is when using histological diagnosis as a gold standard. However, quantitative cultures take 2 to 4 days before results can be interpreted. The aim of the study presented in **chapter 8** was to evaluate whether the cellular profile and/or enzyme activity, *e.g.* ALP, LDH and its isoenzymes in BALF, have additional practical value to distinguish between samples of an infectious and non-infectious etiology.

The BALF specimens of 80 patients were studied. Bronchoalveolar lavage was performed when a pulmonary infection or a diffuse interstitial lung disease was



suspected. These 80 BALF samples were divided into two groups: group I consisted of patients with a pulmonary infection ($n=33$) and group II of patients without signs of pulmonary infection ($n=47$). Classification between these two groups was based upon the results of microscopic analysis and quantitative cultures. The absolute as well as relative number of PMNs was significantly higher in the infectious group compared to the non-infectious group. The absolute number of PMNs showed a sensitivity for predicting the right group of 95.7% and a specificity of 84.8%. The LDH activity in BALF was significantly higher in the infectious group compared to the non-infectious group. The LDH4/LDH5 ratio in BALF was lower in the infectious group and appeared to be the best discriminator between the two groups with a sensitivity of 93.6% and a specificity of 93.9%. The ALP activity, the albumin nor the total protein concentrations in BALF, revealed significant differences between both groups.

This study demonstrated that the number of PMNs as well as the LDH activity - particularly its isoenzymes - in BALF appeared to be of potential practical value to distinguish between infectious and non-infectious pulmonary disorders.

CONCLUSIONS

The studies described in this thesis showed that enzymatic markers like LDH, ALP and BGD are indicative of pulmonary inflammation and/or damage. Determination of the LDH isoenzymes might be of additional value to establish the origin of the elevated LDH activity.

In ex-coalminers, the total serum LDH, predominantly the percentage of LDH3, was increased. Moreover, the LDH3/LDH5 ratio in serum was found to be high. This suggests that silica exposure induces pulmonary cell (AMs) damage followed by LDH release. Beta-glucuronidase, an enzymatic marker of activated phagocytic cells, was also increased in serum of ex-coalminers, even in those with a normal serum LDH and/or normal chest radiograph. In contrast to serum LDH activity no correlation was found between serum BGD activity and the studied clinical parameters, which indicates that serum BGD, at first sight, is not a marker of effect.

The LDH as well as BGD activity differed between pleural effusions of various origin. However, including BGD in the biochemical work-up of pleural effu-



sions did not reveal discriminatory value in the assessment of the classification of these effusions.

The LDH isoenzyme pattern in BALF samples with mainly PMNs differed from BALF samples with mainly AMs suggesting enzyme release from different cells. The LDH isoenzyme pattern of the lung appeared to be characterized by proportionally higher LDH3 and LDH4 compared to the normal serum isoenzyme pattern. Increase in ALP activity in BALF was noted as a marker of type II cell damage and/or proliferation. In line with this, no relationship was found between ALP activity and the cells present in BALF. Furthermore, in BALF the absolute number of PMNs and even more the LDH4/LDH5 isoenzyme ratio appeared to be sensitive discriminators between disorders of infectious and non-infectious etiology.

Cell counting is highly dependent on the volume fluid recovered during lavage. Moreover, the results vary between laboratories. In contrast, the enzyme assessment has a high reproducibility and is hardly related to the BALF volume recovered. Assessing enzymatic markers of inflammation and cell damage - such as ALP, LDH and LDH isoenzyme activities - appeared to be of additional value to identify which inflammatory cells are involved in the pathologic process. So, if it is not possible to assess the total and differential cell count, monitoring biochemical changes may be of additional value establishing the inflammatory cell status of a patient. Measurement of LDH, ALP and LDH isoenzymes can be achieved within a two hours period. Thus, the detection of these enzyme activities can be available with a very short delay. Moreover, these relatively cheap and easy to perform measurements are available in every hospital.

DIRECTIONS FOR FUTURE RESEARCH

The findings in this thesis demonstrate a promising role for enzymatic markers in monitoring pulmonary inflammation and cell damage. Lung parenchymal cells or inflammatory cells including AMs and PMNs are considered a potential source of ALP, BGD and LDH. Damage to these cells appeared to be associated with increase of enzyme activity in serum as well as in BALF. As stated previously, LDH, a cytoplasmic enzyme only occurs extracellularly in case of damaged or lysed cells [1-3]. The LDH isoenzyme pattern in BALF corresponded well with the inflammatory cells, such as AMs and PMNs present in BALF. The



LDH activity in BALF was higher in BALF samples with predominantly PMNs, compared to BALF with predominantly AMs. Moreover, the LDH isoenzyme pattern appeared to differ between AMs and PMNs. The isoenzyme pattern of AMs resembled the isoenzyme pattern of the lung the most. Alkaline phosphatase has been observed histochemically in type II cells [4]. An increase of ALP in bronchial aspirates has been used to detect type II cell injury or proliferation [4–6]. Normally, type II cells are not present in BALF. This was confirmed in our study as ALP activity in BALF did not correlate with any cell type present in BALF, and did not change after lysis of the cells. The lysosomal enzyme BGD was measured because of its presumed potential release during phagocytosis [2]. During necrosis the cell membrane loses its selective permeability and ion-pumping capacity as a result of direct membrane damage and leaking of cellular enzymes [7,8]. However, in apoptosis – an active bio-energy saving cell-elimination mechanism by which aged, unwanted or sublethal damaged cells are abolished – cell contents are used again by macrophages or by phagocytosing adjacent cells [9,10]. Therefore, no detectable leaking of cellular enzymes occurs. Thus, measurement of cellular enzymes gathered no information regarding apoptotic processes, contributing to the pathological changes in pulmonary disorders. Although the differential cell counting and enzyme measurements in BALF do not reflect the entire spectrum of inflammatory processes in the lung, these tests are easy to perform. The usefulness of enzyme activity for research and clinical purposes has long been neglected, in particular with respect to pulmonary disorders.

In patients at risk of developing pulmonary disorders it is important to identify accessible and repeatable markers. Furthermore, it is of importance to distinguish between different pulmonary disorders and to evaluate the disease status and pulmonary function impairment. In one of our studies we demonstrated that LDH in BALF, as well as the number of PMNs, can be considered as a marker of inflammatory response, and presumably differentiates between pulmonary disorders of infectious and of non-infectious etiology. Recently, Boldt *et al.* found that the serum LDH activity reflected the degree of radiographic abnormalities in both *Pneumocystis carinii* pneumonia (PCP) and non-PCP [11]. Future studies should examine whether an enzymatic profile of LDH, its isoenzymes (damage of inflammatory cells; mainly AMs and PMNs), ALP (type II cell proliferation or injury) and BGD (phagocytotic activity) can distinguish between acute inflammatory processes, such as infections, and more chronic in-



flammatory processes, such as fibrosis. Traditionally, the acute respiratory distress syndrome (ARDS) has been divided into three phases: exudative, proliferative and fibrotic [12,13]. By studying changes in the enzyme activities during the course of this disease, insight into the various entities of inflammation may be gained. Moreover, distinguishing ARDS from active pulmonary infections in an early stage is of great clinical and economic health care importance as both entities require a different therapeutic approach.

Some preliminary results in humans support the possible role of serum LDH as biomarker in pulmonary disorders. DeRemee reported elevated serum LDH activity in five cases of interstitial pneumonitis, indicating that LDH would be helpful in distinguishing interstitial pneumonitis from sarcoidosis [14]. More recently, Matusiewicz *et al.* reported that serum LDH reflected changes of disease activity in patients with cryptogenic fibrosing alveolitis (CFA) or hypersensitivity pneumonitis, but not in sarcoidosis [15]. In a patient with idiopathic fibrosis (IPF) the initial increased serum LDH activity returned to normal together with the improvement of other clinical parameters after successful treatment with corticosteroids and cyclophosphamide [16]. Prospective follow-up data are needed to assess the role of enzymes in the management process of patients with chronic interstitial disorders in respect to other functional and/or inflammatory lung parameters, and finally, in respect to therapeutic outcome. In this way, it is tempting to speculate that the enzyme profile might be useful to predict the progression to fibrosis in an early phase, and thus, indicates more advanced disease. Other studies have focussed on the role of several markers of pulmonary damage, such as Clara cell protein (CC16, a marker of Clara cell injury), protein surfactants (SP-A and SP-B) and KL-6 as markers of type II cell injury [17–20]. A cause-effect relationship has been clearly proven between inflammatory oxidative damage on the one hand, and over expression of fibrogenic cytokines and collagen I on the other [21–24]. Further studies are also necessary to examine the correlation of enzymes such as LDH, ALP and BGD, with other potential biological markers of inflammation and fibrosis. Moreover, it is of interest to examine whether these enzymatic markers are reflections of the same processes where oxidative stress and cytokine release are involved.

A possible role for the observation of an increased serum LDH in the assessments of pulmonary damage caused by pneumotoxics was found in increased serum LDH of a patient with lipoid pneumonia [25], in patients with drug-induced alveolitis, caused by amiodarone [26], carbamazepine [27] and mefloquine [28].



In our study, we found that coal dust exposure caused an increase of serum BGD, serum LDH activity and a change in the LDH isoenzyme pattern. This change was mainly characterized by a high percentage of LDH3 even in those subjects having a normal serum LDH activity. However, only a moderate correlation was demonstrated between the serum BGD and LDH and between serum BGD and LDH3 indicating different pathophysiological release mechanisms. Furthermore, we found a correlation between LDH and FEV₁. Therefore, BGD could possibly be a marker of exposure and LDH a marker of exposure or early effect of pneumotoxicants such as coal dust. Future studies are required to define the usefulness of LDH, BGD and ALP activity in serum to determine exposure and/or effect of pneumotoxicants. It is of special interest whether any dose related effect exists. Moreover, using the enzyme profiles in serum, the possibility of whether the exposure to pneumotoxicants causes either fibrotic or non-fibrotic effects, should be explored.

Early diagnosis and adequate treatment have implications on patients morbidity as well as on economic health care factors. Whether enzymes could be regarded as markers of a more general inflammatory response, independent of the course and type of inflammatory reactions or whether they are associated with certain pulmonary disorders, needs further study. Particularly, if they could be therapeutic targets or are just an epiphenomena. In summary, the question as to whether there is a role for monitoring enzyme activity in the diagnostic work-up and follow-up of certain pulmonary disorders, has to be illuminated.

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Samenvatting



Er is een toenemende belangstelling voor cellulaire en biochemische indicators (markers) van longbeschadiging. Normaal bevinden zich enzymen in een cel (intra-cellulair) en hebben een functie in het metabolisme van die cel. Als een cel dood gaat, komen intra-cellulaire enzymen uit de cel vrij in de extra-cellulaire ruimte. Een toename van het cytoplasmatisch enzym lactaat dehydrogenase (LDH) in de extra-cellulaire ruimte wordt gebruikt om celschade of celdood vast te stellen. Dit enzym is aanwezig in cellen van vrijwel alle organen. De vijf verschillende LDH-isoenzymen vormen tesamen de totale LDH-activiteit. De LDH-isoenzymenstelling is meer orgaanspecifiek. Ook andere cellulaire enzymen kunnen, wanneer ze extra-cellulair aanwezig zijn dienen als indicators voor cellulaire dysfunctie en/of pathologische condities welke toxisch voor de cel zijn. Het enzym alkalische fosfatase (ALP) komt vooral voor in de membraan van type II pneumocyten, die aanwezig zijn in de long. Het enzym β -glucuronidase (BGD) wordt aangetroffen in de lysosomen van cellen met een fagocyterende functie.

Het doel van de studies beschreven in dit proefschrift was te onderzoeken of het mogelijk is om met behulp van de drie genoemde enzymen beschadiging van longweefsel en inflammatie van de long aan te tonen en te vervolgen. Hiertoe zijn metingen van deze enzymen verricht in bloed (serum), pleuravocht en in vloeistof, verkregen door spoeling van een deel van de long (bronchoalveolaire lavage of BAL).

In **hoofdstuk 1**, de introductie, wordt beschreven door welke mechanismen enzymen uit een cel vrijkomen. In het kort wordt de mogelijke klinische relevantie van de enzymatische markers LDH, LDH-isoenzymen (zie ook hoofdstuk 2), ALP en BGD toegelicht. De procedure voor het verkrijgen van BAL-vloeistof wordt eveneens beschreven en tot slot worden in dit hoofdstuk de doelstellingen van de verschillende onderzoeken, zoals beschreven in de daarop volgende hoofdstukken, vermeld.

In **hoofdstuk 2** wordt een overzicht gegeven van wat er, voorafgaand aan de studie, in de literatuur reeds beschreven is over de waarde van LDH ter aanduiding van pathologische processen in de long. Aangezien het enzym LDH voorkomt in cellen van vrijwel alle organen kunnen vele ziekteprocessen leiden tot een verhoogde LDH-activiteit in het serum. Een toename van de LDH-activiteit in het serum derhalve erg specifiek. De LDH-isoenzymenstelling va-



rieërt tussen verschillende weefsels en organen. De mogelijke klinische betekenis van het vervolgen van de LDH-activiteit en LDH-isoenzymen in serum, BAL-vloeistof en pleuravocht wordt verder uiteengezet.

In studies met proefdieren werd een hoge LDH-activiteit gevonden in BAL-vloeistof na blootstelling aan voor de long toxische partikels, zoals kwarts en silica. Het doel van de studie, zoals gepresenteerd in **hoofdstuk 3**, was het onderzoeken van de LDH-activiteit en het LDH-isoenzympatroon in serum bij personen, die in het verleden blootgesteld waren aan koolstof ($n=201$, ex-mijnwerkers). Er werd gekeken of er een relatie was tussen dit LDH-isoenzym patroon en klinische parameters, zoals de longfunctie. De LDH-activiteit in het serum bleek bij 79.1% van deze ex-mijnwerkers verhoogd te zijn vergeleken met de enzymactiviteit in serum van een controlegroep ($n=48$). Bij 97.5% van de ex-mijnwerkers bleek het percentage van het isoenzym LDH3 verhoogd te zijn, derhalve ook bij het merendeel van de ex-mijnwerkers met een normaal serum LDH. Er werd een geringe negatieve correlatie gevonden zowel tussen geforceerde expiratoire volume in 1 seconde (FEV_1) en de totale LDH-activiteit, als tussen de FEV_1 en het percentage LDH3. Dit laatste werd ook gevonden ook in de subgroep met een normaal serum LDH. De concentraties van lever- en spierenzymen waren normaal, zodat deze weefsels als een mogelijke bron van het verhoogde LDH konden worden uitgesloten.

Deze resultaten toonden aan dat koolstof, zelfs jaren na de werkelijke expositie, een toename van de totale LDH-activiteit in serum kan veroorzaken. De veranderingen in het LDH-isoenzympatroon bleken met name gekarakteriseerd te zijn door een toename van het percentage LDH3.

Bèta-glucuronidase, een enzym dat voorkomt in de lysosomen van een cel, kan beschouwd worden als een biomarker voor fagocytose-activiteit. In onderzoek bij proefdieren werd een toename van de extra-cellulaire BGD-activiteit aangetoond na blootstelling aan kwarts. Deze toename werd verklaard door de door beschadiging toegenomen permeabiliteit van de lysosomale membraan. Het doel van de studie in **hoofdstuk 4** was te onderzoeken of 1) het meten van BGD-activiteit in serum van aanvullende waarde is bij het aantonen van longbeschadiging veroorzaakt door expositie van koolstof en 2) of LDH en BGD markers zijn van dezelfde of van mogelijk verschillende pathofysiologische condi-



ties. Daartoe werd de relatie tussen BGD- en LDH-activiteit in serum onderzocht en de relatie van de serumactiviteit met andere klinische parameters. Het serum van 191 ex-mijnwerkers werd onderzocht. Gezonde vrijwilligers ($n=48$), zonder een relevante medische voorgeschiedenis, werden gekozen als controlegroep. In het serum van de ex-mijnwerkers bleek de activiteit van BGD significant hoger te zijn dan in het serum van de controlegroep. Wanneer een subgroep van ex-mijnwerkers met een normaal serum LDH werd vergeleken met de controlegroep, bleef in deze subgroep van ex-mijnwerkers de gemeten serum BGD-activiteit significant hoger. Ex-mijnwerkers met een normale longfoto bleken ook een hogere serum BGD-activiteit te hebben.

Deze resultaten toonden aan dat de BGD-activiteit in serum van ex-mijnwerkers verhoogd is, zelfs bij personen met een normale serum LDH-activiteit en/of een normale longfoto. De serum BGD-activiteit toename lijkt derhalve nog eerder op te treden bij inflammatie veroorzaakt door koolstof dan de toename van de LDH-activiteit.

Lactaat dehydrogenase in pleuravocht wordt gebruikt om transudaat en exsudaat te onderscheiden. De mogelijke rol van LDH-isoenzymen bij het analyseren van de diverse soorten exsudaat, is in het verleden reeds onderzocht. De resultaten van de studies zijn echter tegenstrijdig. Het doel van de studie in **hoofdstuk 5** was de eventuele aanvullende waarde van de LDH-isoenzymen te onderzoeken bij het achterhalen van de oorzaak van het ontstane pleuravocht.

Daartoe werd pleuravocht van drie verschillende oorzaken onderzocht: transudatieve effusies (groep I), parapneumonische effusies (groep II) en maligne effusies of te wel pleuritis carcinomatosa (groep III). De totale LDH-activiteit en de LDH-isoenzymen samenstelling verschilden significant tussen de transudatieve (groep I) en exsudatieve (groep II en III) effusies. Exsudatieve effusies toonden een laag percentage van het isoenzym LDH1, terwijl bij transudatieve effusies hogere percentages van de isoenzymen LDH4 en LDH5 werden aangetroffen. Verder bleek in de maligne effusies het percentage LDH4 en LDH5 significant hoger te zijn dan in de parapneumonische effusies. In tegenstelling tot de percentages van de LDH-isoenzymen, bleken de absolute waarden van de isoenzymen in de laatst genoemde groepen niet te verschillen. Exsudatief pleuravocht was met behulp van een logistische regressie moeilijk te onderscheiden in vocht van parapneumonische en maligne origine.



Naast de totale LDH-activiteit, bleek het LDH-isoenzympatroon ook te verschillen tussen pleuravocht van transudatieve en exsudatieve oorsprong. Dit had echter geen toegevoegde diagnostische waarde.

De BGD-activiteit wordt gebruikt om activiteit en/of dood (lysis) te meten van fagocyterende cellen, zoals alveolaire macrofagen (AMs) en polymorphonucleaire neutrofielen (PMNs). Meting van BGD in pleuravocht zou mogelijk van toevoegende waarde kunnen zijn bij de analyse van exsudatief pleuravocht. Dit geldt met name voor pleuravocht met vermoedelijk infectieuze oorsprong. Maligne cellen produceren ook enzymen, zoals BGD, die glycosaminoglycanen kataboliseren. Deze glycanen zijn voornamelijk verantwoordelijk voor de viscositeit van de intracellulaire basissubstantie. Door productie van deze glycosaminoglycanen wordt invasie in omliggend weefsel vergemakkelijkt. Het doel van de studie in **hoofdstuk 6** was te evalueren of de bepaling van BGD-activiteit in pleuravocht van diagnostische waarde is bij het analyseren van de oorzaak van pleuravocht. De differentiatie tussen pleuravocht met een parapneumonische, infectieuze en een maligne oorsprong stond hierbij op de voorgrond.

Vier verschillende groepen werden onderzocht: transudatieve effusies (groep I), parapneumonische effusies (groep II), maligne effusies of te wel pleuritis carcinomatosa (groep III) en empyemen (groep IV). De BGD-activiteit was significant verschillend tussen transudatieve effusies (groep I) en exsudatieve effusies (groep II+III+IV), evenals tussen parapneumonische en maligne effusies, tussen parapneumonische effusies en empyemen, en tussen maligne effusies en empyemen. Wanneer de BGD-activiteit werd gebruikt als enige variabele, kon met behulp van een logistische regressie een onderscheid worden aangetoond tussen transudatief en exsudatief pleuravocht. Het beste onderscheidend vermogen tussen deze twee soorten pleuravocht werd verkregen door de LDH-activiteit en de totale eiwitconcentratie als variabelen te gebruiken. Met behulp van de LDH-activiteit en de totale eiwitconcentratie als variabelen konden parapneumonische en maligne effusies niet van elkaar worden onderscheiden. Door de BGD-activiteit te gebruiken konden deze vormen van pleura effusies wel onderscheiden worden, echter onvoldoende om van klinische betekenis te zijn. Het verschil in BGD-activiteit aangetoond tussen pleura effusies van verschillende oorzaak was van onvoldoende klinische betekenis.



Veranderingen in BAL-vloeistof reflecteren pathologische veranderingen in de long. Cellulaire veranderingen in de BAL-vloeistof optredend bij inflammatie van longweefsel zijn o.a. activatie van AMs en de instroom van PMNs. Een verhoging van de LDH-activiteit in de BAL-vloeistof is in het verleden geassocieerd met verschillende pulmonale aandoeningen. Een toename van de LDH-activiteit in de luchtwegen kan verschillende oorzaken hebben. Dit kan ontstaan door lysis van cellen van de long, of van lokale inflammatoire cellen, zoals AMs en PMNs. Diffusie van eiwitten uit het serum, als gevolg van een toegenomen permeabiliteit in de barrière tussen alveoli en capillairen, is een andere mogelijk bron van verhoogde LDH-activiteit. Alkalische fosfatase is een membraan gebonden enzym, dat samen met surfactant wordt uitgescheiden, onder andere door type II pneumocyten.

We veronderstelden dat AMs een andere LDH-isoenzymenstelling in het cytoplasma hebben dan PMNs. Het vrijkomen van LDH-isoenzymen in de extra-cellulaire ruimte kan een indicatie geven omtrent de aard van de beschadigde cellen. Het doel van het onderzoek beschreven in **hoofdstuk 7** was de verschillen in LDH-, ALP-activiteit en LDH-isoenzymenstelling van AMs en PMNs te identificeren.

BAL-vloeistof verkregen van patiënten met diverse pulmonale aandoeningen werd geanalyseerd. De lavages werden in verschillende groepen verdeeld. Er werd een groep geselecteerd met voornamelijk PMNs en een groep met voornamelijk AMs. De LDH-activiteit en de LDH-isoenzymactiviteit werd bepaald voor en na stuktrillen van de cellen. Op deze manier kon de LDH-activiteit, en de LDH-isoenzymenstelling van deze cellen afzonderlijk worden bepaald. De intra-cellulaire enzymenstelling werd als volgt berekend. De enzymactiviteit, gemeten in de originele BAL-vloeistof, werd afgetrokken van de enzymactiviteit gemeten in de BAL-vloeistof na stuktrillen van de aanwezige cellen. Voor het bepalen van de ALP-, LDH-activiteit en het LDH-isoenzym patroon in longweefsel, werd gebruik gemaakt van normaal longweefsel, verwijderd bij patiënten welke een lobectomie ondergingen in verband met een $T_1N_0M_0$ plaveiselcelcarcinoom. De patiënten hadden verder geen relevante pulmonale voorgeschiedenis.

In BAL-vloeistof met voornamelijk AMs werd een lagere LDH- en ALP-activiteit gemeten dan in BAL-vloeistof met voornamelijk PMNs. Het LDH-isoenzym patroon verschilde eveneens tussen deze beide groepen. De LDH3/LDH5-ratio was lager in de BAL-vloeistof met overwegend PMNs vergeleken met



BAL-vloeistof met overwegend AMs. Het LDH-isoenzympatroon van de lavages met overwegend AMs vertoonde de meeste overeenkomsten met het LDH-isoenzympatroon bepaald in longweefsel. Het percentage LDH5 in longweefsel was hoger. De ALP-activiteit voor en na stuktrillen van de in de BAL-vloeistof aanwezige cellen, toonde geen verschil. De gemeten LDH-activiteit was hoger na stuktrillen van de cellen. Het LDH-isoenzympatroon, uitgedrukt in percentage, voor en na stuktrillen van de cellen bleef gelijk.

De gemeten LDH-isoenzymactiviteit in de BAL-vloeistof bleek representatief voor de cellen aanwezig in die lavage. Het wel of niet stukmaken van de cellen, aanwezig in de BAL-vloeistof leverde geen verschil op voor de resultaten.

De kweekresultaten van BAL-vloeistof worden in de praktijk gebruikt om de diagnose pneumonie te bevestigen of verwerpen. Wanneer de diagnose gesteld door histologisch onderzoek als gouden standaard wordt gebruikt, blijkt de sensitiviteit van de kweekresultaten van BAL-vloeistof te liggen tussen de 60% en 90%, voor het vaststellen van bacteriële infecties. Voor mycobacteriële, schimmel en de meeste virale infecties ligt deze tussen de 70% en 80% en voor *Pneumocystis carinii* pneumonieën tussen de 90% en 95%. Echter, voordat deze kweken betrouwbaar geïnterpreteerd kunnen worden, zijn 2 tot 4 dagen nodig. Het doel van de studie gepresenteerd in **hoofdstuk 8** was na te gaan in hoeverre het cellulaire profiel en/of de enzymactiviteit van BAL-vloeistof van toegevoegde waarde zou kunnen zijn om een pneumonie te onderscheiden van een niet-infectieuze aandoening.

Van 80 patiënten werd BAL-vloeistof onderzocht. Er werd een BAL verricht indien er een verdenking bestond op een pulmonale infectie of een interstitiële longaandoening. De lavages werden verdeeld in twee groepen: groep I bevatte lavages afkomstig van patiënten met een bacteriële pulmonale infectie (n=33) en groep II bevatte lavages van patiënten met pulmonale afwijkingen zonder aanwijzingen voor een bacteriële infectie (n=47). Het onderscheid tussen deze twee groepen werd gebaseerd op basis van de resultaten van microscopische evaluatie en kwantitatieve kweekuitslagen. Zowel het absolute als relatieve aantal PMNs was in de infectieuze groep significant hoger dan in de niet-infectieuze groep. Het absolute aantal PMNs voor het aantonen van een infectie, toonde een sensitiviteit van 95.7% en een specificiteit van 84.8%. De LDH-activiteit in de BAL-vloeistof was in de infectieuze groep significant hoger dan in de niet-infectieuze groep. De LDH4/LDH5-ratio gemeten in de BAL-vloeistof was sig-



nificant lager in de infectieuze groep en bleek het best te discrimineren tussen beide groepen met een sensitiviteit van 93.4% en een specificiteit van 93.9%. De ALP-activiteit, de concentratie albumine en de totale eiwitconcentratie verschilden niet significant tussen beiden groepen.

Deze studie toonde aan dat zowel het aantal PMNs als de LDH-activiteit – met name de percentages LDH-isoenzymen – in BAL-vloeistof van potentiële waarde zijn om te differentiëren tussen infectieuze en niet-infectieuze longaandoeningen.

CONCLUSIES

De onderzoeken beschreven in dit proefschrift tonen aan dat enzymatische markers een toegevoegde waarde kunnen hebben bij de diagnostiek van longaandoeningen. Lactaat dehydrogenase, ALP en BGD bleken indicators van inflammatie en/of celschade. De bepaling van de LDH-isoenzymen bleek van additionele waarde om de mogelijke herkomst van het verhoogde LDH te kunnen achterhalen. Bij ex-mijnwerkers was naast de totale LDH-activiteit het percentage LDH3 in serum verhoogd. Dit suggereert dat koolstof pulmonale celbeschadiging induceert hetgeen leidt tot het vrijkomen van LDH uit de cel. Het enzym BGD, een marker van geactiveerde fagocyterende cellen, was ook verhoogd in het serum van ex-mijnwerkers, zelfs in een subgroep ex-mijnwerkers met een normaal serum LDH en in een subgroep met een normale longfoto. Deze laatste observatie suggereert dat de BGD-activiteit geschikt is om door koolstof veroorzaakte activatie van macrophagen aan te tonen.

In pleuravocht van verschillende oorzaak varieerde de activiteit van LDH en BGD. Het LDH-isoenzym patroon en de BGD-activiteit verschilden echter onvoldoende om van klinische betekenis te kunnen zijn.

Het LDH-isoenzym patroon in lavages met overwegend PMNs verschilde van dat in lavages met overwegend AMs. De gemeten enzymactiviteit in BAL-vloeistof bleek representatief te zijn voor de cellen aanwezig in die vloeistof. Het LDH-isoenzym patroon van de long werd gekarakteriseerd door hogere percentages LDH3 en LDH4 dan in het serum van controlepersonen. Een toename van ALP-activiteit in BAL-vloeistof wordt genoemd als marker van type II pneumocyt beschadiging en/of inflammatie. Dit verklaart dat er geen relatie aangetoond werd tussen ALP en de cellen voorkomend in de BAL-vloeistof. Het ab-



solute aantal PMNs en het LDH-isoenzym patroon was bruikbaar om BAL-vloeistof van een infectieuze oorsprong te onderscheiden van een niet-infectieuze.

Aangezien het LDH-isoenzym patroon een goede afspiegeling is van de cellen aanwezig in de BAL-vloeistof, kan bepaling van deze enzymen een aanwijzing geven welke van deze cellen betrokken zijn bij het inflammatoire proces. Celtelling is een arbeidsintensieve bezigheid. Bovendien kunnen de uitkomsten tussen verschillende beoordelaars en laboratoria verschillen. De bepaling van LDH-isoenzymen is betrouwbaar, makkelijk reproduceerbaar, binnen een korte tijd (2 uur) beschikbaar, reeds mogelijk in een geringe hoeveelheid BAL-vloeistof en in elk laboratorium uitvoerbaar.





Het idee van het onderzoek, beschreven in dit proefschrift, werd naar aanleiding van een klinische observatie op de werkvloer geboren. Dit proefschrift was niet mogelijk geweest, zonder de hulp van anderen.

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Nicolle Cobben was born on November 28th 1964 in Heerlen, the Netherlands. She graduated from the Gymnasium of the Bernardinus College (Heerlen) in 1983. Thereafter, she studied Medicine at the University of Maastricht where she obtained her medical degree in 1989. In the autumn of 1989 she started work as a "AGNIO" at the department of pulmonology at the Ikazia Hospital in Rotterdam. A year later she returned to Maastricht where she worked as a resident on the pulmonary ward of the University Hospital. In January 1992, she started her education for internal medicine at the Havenziekenhuis in Rotterdam (Head: Prof. Dr. PC Stuiver). She continued her training in pulmonary medicine at the University Hospital Maastricht (Head: Prof. Dr. EFM Wouters). On January 1st 1998, she was registered as a pulmonologist. She now works as a staff member at the Department of Pulmonology, University Hospital Maastricht. In September 1999 she intends to start her training as a pulmonary intensivist at the University Hospital Maastricht (Head: Dr. G Ramsay).

Nicolle Cobben werd geboren op 28 november 1964 te Heerlen. Ze behaalde haar Gymnasium β -diploma in 1983 aan het Bernardinus College te Heerlen. Hierna studeerde zij Geneeskunde aan de Universiteit Maastricht. In 1989 behaalde zij haar artsdiploma, waarna zij werkte als AGNIO longziekten in het Ikazia ziekenhuis te Rotterdam. In oktober 1990 keerde zij terug naar Maastricht en werkte zij een jaar als AGNIO longziekten in het Academisch Ziekenhuis Maastricht. Op 1 januari startte zij met haar 2-jarige vooropleiding Interne Geneeskunde in het Havenziekenhuis te Rotterdam (Opleider Prof. Dr. PC Stuiver), waarna zij haar opleiding Longziekten vervolgde in het Academisch Ziekenhuis Maastricht (Opleider Prof. Dr. EFM Wouters). Sinds januari 1998 is zij geregistreerd als longarts en werkzaam als tijdelijk stafid, afdeling Longziekten te Maastricht. Per 1 september 1999 hoopt zij te beginnen aan een verdere opleiding als intensivist, afdeling Intensive Care van het academisch ziekenhuis Maastricht (Opleider Dr. G Ramsay).





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THE HUNCHBACKED FLUTEPLAYER

Of the multitude of miscellaneous drawings, paintings and scratchings on the rocks and in the caves of the pre-Columbian people of the Southwest of America, only one anthropomorphic subject can claim both an identity and a proper name as well as gender. Without question, that figure is decidedly male. A personality, an individual, the persification of a legend, a benificent god to some, such is Kokopelli, the famous hunchbacked flute player, thousands of years old but figuratively speaking very much in the present. Kokopelli appears from the San Juan Basin and Monument Valley to Cases Grandes in Mexico, among the Navajos, the Hopis, the Rio Grande Pueblos and others westward to desert California. Not surprisingly, his figure is among the thousands at Arizona's Painted Rocks State Park on the Gila River west of Gila bend. Early Spanish explorers made note of the rock carvings and called them "piedras pintadas" or painted rocks, although the pictographs are actually incised or scratched rather than painted. Kokopelli's likeness varies almost as much as the legends about him, but he is unmistakable, grotesquely hunchbacked and nearly always playing some sort of flute or flageolet. The Kokopelli figure has been found in ruins of pithouse people dating as far back as 2000 AD, and as late as the 16th century where it appears in association with drawings of men on horseback, men armoured and men in cowls. The reason Kokopelli has a name is fairly simple. The Hopi people of central Arizona, make a variety of kachina dolls to sell to tourists. Among the dolls is one they call Kokopelli, and his "wife" is called Kokopelli-mana. The name Kokopelli may derive from Zuni and Hopi names for a god (Koko), and a desert robberfly they call pelli. This predatory insect has a hump on his back and some deplorable habits such as stealing the larvae of other flies. Formerly Kokopelli was vividly phallic, but the missionaries persuaded the Indians to omit this feature in the interest of decency. Kokopelli's exaggerated phallic appearance could have been due to priapism (Priapus, fertility god), his hunchbacked appearance due to tuberculosis, or more likely to the common superstition that holds all hunchbacks to be fertility symbols. Many primitive peoples welcomed Kokopelli around corn-planting time. Barren wives seek his company; unmarried maidens flee from him in terror.



